The Base of Spectrum Analyzers
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1. What is a Spectrum Analyzer?

A measuring instrument that displays an electrical signal according to its frequency.

Each frequency component contained in the input signal is displayed as a signal level corresponding to that frequency.

Anritsu Spectrum Analyzer Lineup

MS269x Series  MS2830A  MS2840A  MS272xT  MS271xE
## 2. Measurement Categories

<table>
<thead>
<tr>
<th>Signal Measurement</th>
<th>Instrument</th>
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<tbody>
<tr>
<td>• Power (Level)</td>
<td>Power meter</td>
</tr>
<tr>
<td>• Frequency</td>
<td>Frequency counter</td>
</tr>
<tr>
<td>• Spectrum</td>
<td>Spectrum analyzer</td>
</tr>
<tr>
<td>• Transmission/Reflection characteristics</td>
<td>Network analyzer</td>
</tr>
<tr>
<td>• Time characteristics</td>
<td>Oscilloscope</td>
</tr>
<tr>
<td>• Modulation characteristics</td>
<td>Modulation analyzer</td>
</tr>
</tbody>
</table>
Describing Electrical Signals

Oscilloscope waveforms

Spectrum analyzer waveforms

<table>
<thead>
<tr>
<th>Frequency</th>
<th>Power (dBm) (W)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$f_0$</td>
<td>-10 dBm</td>
</tr>
<tr>
<td>2$f_0$</td>
<td>-20 dBm</td>
</tr>
<tr>
<td>3$f_0$</td>
<td>-54 dBm</td>
</tr>
<tr>
<td>(Sum)</td>
<td></td>
</tr>
</tbody>
</table>

Power 0.11 mW (-9.6 dBm)
Measurement Categories

Frequency vs. Level
Spectrum analyzer
(Frequency domain)

Frequency vs. Time
Modulation analyzer
(Modulation domain)

Time vs. Level
Oscilloscope
(Time domain)
Analysis of Electrical Signals

**Time Domain**
- Changes in time can be seen.
- If a signal has many frequency elements, the analysis is difficult.

**Frequency Domain**
- Each element of a complex signal can be separated easily.
- Low-level distortion signals can be detected.
- Spurious elements can be measured.

**Modulation Domain**
- Changes in frequency can be seen.
- The modulation accuracy can be analyzed.
- Changes in amplitude cannot be seen.
3. Principals of a Spectrum Analyzer

Block Diagram of the Super-Heterodyne Method
4. Characteristics

4.1 Suitable Input Level
4.2 Maximum Input Level
4.3 Measurement Frequency Range
4.4 Sideband Noise
4.5 Resolution bandwidth for frequency (RBW)
4.6 RBW and Sweep Time
4.7 Detection methods
4.8 Video filter (VBW)
4.9 Dynamic Range
   (Average Noise Level, Residual response, Distortion)
4.1 Suitable Input Level

When the signal and local oscillator are added at the mixer input, the suitable input level is the distortion level specification that doesn't influence the measurement. The level relationship between the input signal and the distortion is specified at the mixer input level, not at the input connector.

Therefore, the RF attenuator attenuates the input signal to a suitable mixer input level.

2nd harmonic distortion $\leq -90$ dBc at MIX input level -10 dBm

e.g The specification of MS269xA.

2nd harmonic distortion $\leq -90$ dBc at MIX input level -10 dBm
4.2 Maximum Input Level

The maximum input level prevents damage to the input circuit. It is based on the input levels to the Attenuator and Mixer.

\[ \text{Maximum input level: } +30 \text{ dBm (Input ATT } \geq 10 \text{ dB)} \]

e.g. The specification of MS269xA

Maximum input level: +30 dBm (Input ATT \geq 10 dB)
4.3 Measurement Frequency Range

The measurement frequency range is determined by the center frequency of the IF filter and the local oscillator frequency range.

\[
\text{Input Signal Freq.} = \text{Local Signal Freq.} - \text{IF Freq.}
\]

The input signal and the local signal are mixed by the mixer. The mixer output is filtered by the IF filter with center frequency \( f_c \) and displayed on the screen.
4.4 Sideband Noise

It appears in the base of the spectrum because of noise in the internal local signal source. Sideband noise shows the signal purity, and the performance of nearby signal analysis is determined by this characteristic. It is specified by how many dB down from the center at an offset of 10 kHz (or 100 kHz) when the resolution bandwidth (RBW) is narrow enough, and a high purity signal is input.

For the local signal source, the dotted line spectrum is the ideal. However, it actually has sideband noise like the solid line. Masking occurs by the sideband noise when there is a nearby A or B signal and it is not possible to detect it.
4.4 Sideband Noise

e.g. The specification of MS2830A (With Opt.066)
-118 dBc/Hz at offset 10 kHz
-133 dBc/Hz at offset 100 kHz

$F_0 = 1 \text{ GHz}, \text{ Offset } 10 \text{ kHz}, \text{ RBW } 300 \text{ Hz}, \text{ VBW } 10 \text{ Hz}$

Sideband Noise:
-92 dBc/300 Hz $\rightarrow$ -117 dBc/Hz

$F_0 = 1 \text{ GHz}, \text{ Offset } 100 \text{ kHz}, \text{ RBW } 10 \text{ kHz}, \text{ VBW } 10 \text{ Hz}$

Sideband Noise:
-89 dBc/10 kHz $\rightarrow$ -129 dBc/Hz
4.5 Resolution bandwidth for frequency (RBW)

Two input signals can be seen as two spectrum waveforms only if they exceed the 3 dB bandwidth of the IF filter.

The 3 dB bandwidth of this IF filter is called the resolution bandwidth RBW.

e.g The specification of MS2830A

RBW: 1 Hz to 3 MHz, 5 MHz, 10 MHz

f1=199,990 MHz, f2=200,010 MHz at RBW 10 KHz
4.5 Resolution bandwidth for frequency (RBW)

Selectivity = \[
\frac{60 \text{ dB Bandwidth}}{3 \text{ dB Bandwidth}}
\]

e.g  The specification of MS2830A: Selectivity < 4.5 : 1

When a narrow RBW is selected, the 3 dB bandwidth and 60 dB bandwidth become small, the frequency resolution is greater, the average noise level falls, and you can see low-level signals.
4.6 RBW and Sweep Time

A signal displayed with the proper sweep time is shown in the 1st wave.

The amplitude in the display decreases in the 2nd and 3rd waves when the sweep is made too early, and the frequency shifts.

When the sweep speed is not proper, UNCAL is displayed in the screen.

Proper Sweep time = $K \times \frac{\text{Frequency span}}{\text{RBW} \times \text{VBW}}$

Sweep time = $K \times \frac{\text{Frequency span}}{\text{RBW} \times \text{RBW}}$

$K=3$
4.7 Detection methods

**Normal**: Displays both the maximum level and the minimum level present between the current sample point and the next sample point.

**Pos Peak**: Displays the maximum level present between the current sample point and the next sample point. Pos Peak is used to measure the peak value of signals near the noise level.

**Sample**: Displays the instantaneous signal level at each sample point. Sample is used for noise level measurement and time domain measurement.

**Neg Peak**: Displays the minimum level present between the current sample point and the next sample point.

**RMS**: Displays the root-mean-square (effective) value of the signal input between the current sample point and the next sample point.
4.7 Detection methods

Pos Peak is used for Normal signal measurement, Occupied bandwidth measurement, and Adjacent channel leakage power ratio measurement as a Digital method.
Sample is used for Random noise measurement, Occupied bandwidth measurement, and Adjacent channel leakage power ratio measurement as an Analog method.
When an early frequency change is seen, such as in pulsed noise, the Sample mode is used.
4.8 Video Filter (VBW)

When a small signal included in noise is measured, this effect is demonstrated.

e.g The specification of MS2830A: 1 Hz to 10 MHz and Off

A noisy signal can be removed by lowering the VBW. However, the signal disappears if VBW is lowered too much when measuring a pulsed signal.
4.9 Dynamic Range

It is the range that can be measured without making it suffer in the noise level, residual responses, and distortion.

However, there is no definition common to all manufacturers, and the value is different depending on what it is based on.

(1) Average Noise Level

(2) Residual response

(3) Distortion: $2^{\text{nd}}$ harmonic Distortion

   Two-tone $3^{\text{rd}}$ order Distortion
(1) Average Noise Level

For noise generated internally, a key factor is thermal noise and the noise generated from active elements such as transistors and ICs. Therefore, the average noise level becomes the lower limit of the input signal level that can be measured.

The method of stating the average noise level varies according to the manufacturer. For example, it may be stated in the measurement specification, or the value in change per Hz.

\[ P_n (\text{average level}) = 10 \log_{10}(kTB) + N_0 \]

- \( k \): Boltzmann constant \((1.38054 \times 10^{-23} \text{J/K})\), \( T \): Absolute temperature \((k)\), \( B \): IF bandwidth, \( N_0 \): Noise figure (active element)

- e.g. The specification of MS269xA
  Average Noise Level \( \leq -155 \text{ dBm (} f_0=2 \text{ GHz, ATT 0 dB)} \)
The average noise level changes with RBW and ATT

The average noise level increases 10 dB when RBW value is changed from 1 kHz to 10 kHz.

The average noise level changes by 10 dB when ATT value is changed by 10 dB.
(2) Residual response

Residual response is a phenomenon that appears as an input signal on the screen even though there is no real input signal.

Various local oscillators are used internally in spectrum analyzers. Residual response appears when the basic waveform and the harmonic components are mixed, producing the IF frequency.

Residual responses appear in a specific frequency band, and the average noise level relates to all frequency bands.

e.g The specification of MS269xA

Residual response ≤ -100 dBm (f₀=2 GHz band)
(3) **2nd harmonic Distortion**

The 2\textsuperscript{nd} harmonic and the 3\textsuperscript{rd} harmonic of the input signal occur by the mixer generating distortion when a high level signal is input to the mixer.

\[ f_0 \quad f_2 \quad f_3 \]

\[ f_0 \quad f_2 \quad f_3 \]

- **e.g.** The specification of MS269xA

\[ \text{2nd harmonic distortion} \leq -90 \text{ dBC} \quad (f_0=2 \text{ GHz, MIX input } -10 \text{ dBM}) \]

When a basic waveform is enlarged by 10 dB, the 2nd harmonic distortion grows by 20 dB and the 3rd harmonic distortion grows by 30 dB.

\[ \text{90 dBc} \]

\[ \text{20 dB} \]

\[ \text{30 dB} \]
What is Second Harmonic Intercept point (SHI)?

y1 = x + a

y2 = 2x + b

For MS269xA

1) 2nd harmonic distortion: <-90 dBc (≥1.5 GHz)
Mixer input: -10 dBm
They mean that the absolute value of 2nd harmonic distortion is -100 dBm when Mixer input level is -10 dBm.

\[ \text{SHI} = 2a - b \]
\[ = 2x(-10) -(-100) = +80 \text{ dBm} \]

2) 2nd harmonic distortion: <-75 dBc (0.4 to 1.5 GHz)
Mixer input: -30 dBm

\[ \text{SHI} = 2a - b \]
\[ = 2x(-30) -(-105) = +45 \text{ dBm} \]
(4) Two-tone 3\textsuperscript{rd} Order Distortion

When two high-level signals with nearby frequencies are input to the mixer, the two signals influence each other.

As a result, a frequency not contained in the input signal appears. These phenomena are called Two-tone 3\textsuperscript{rd} Order Distortion.

e.g. The specification of MS269xA

Two-tone 3\textsuperscript{rd} order distortion $\leq -74$ dBc

($f_0=2$ GHz, MIX input -15 dBm)
What is Third Order Intercept point (TOI)?

For MS269xA

1) Two-tone 3\textsuperscript{rd} order distortion: 
\(< -66 \text{ dBc} (400 \text{ to } 700 \text{ MHz})
Mixer input: -15 dBm
They mean that the absolute value of 3\textsuperscript{rd} order distortion is -81 dBm when Mixer input level is -15 dBm.

\[ \text{TOI} = \frac{(a - b)}{2} + a \]
\[ = \frac{(-15 + 81)}{2} - 15 = +18 \text{ dBm} \]

2) Two-tone 3\textsuperscript{rd} order distortion: 
\(< -74 \text{ dBc} (0.7 \text{ to } 4 \text{ GHz})
Mixer input: -15 dBm

\[ \text{TOI} = \frac{(a - b)}{2} + a \]
\[ = \frac{(-15 + 89)}{2} - 15 = +22 \text{ dBm} \]

3) Two-tone 3\textsuperscript{rd} order distortion: 
\(< -45 \text{ dBc} (4 \text{ to } 26.5 \text{ GHz})
Mixer input: -15 dBm

\[ \text{TOI} = \frac{(a - b)}{2} + a \]
\[ = \frac{(-15 + 60)}{2} - 15 = +7.5 \text{ dBm} \]

\[ y_1 = x + a \quad (1) \]
\[ y_2 = 3x + b \quad (2) \]

The intersection between (1) and (2) is TOI.
\[ x + a = 3x + b \quad \rightarrow \quad x = \frac{(a - b)}{2} \]
\[ y = \frac{(a - b)}{2} + a \]
4.9 Dynamic Range

e.g. The specification of MS269xA

A: Average noise
\[ \leq -155 \text{ dBm/Hz} \]  
\((f_0=2 \text{ GHz})\)

B: Residual response
\[ \leq -100 \text{ dBm (2 GHz band)} \]

C: 2\textsuperscript{nd} harmonic distortion
\[ \leq -90 \text{ dBc at MIX input} -30 \text{ dBm} \]

e.g. Input level is -30 dBm

A: Average noise
-30 dBm – (-155 dBm) = 125 dB/Hz

B: Residual response
-30 dBm – (-100 dBm) = 70 dB

C: 2\textsuperscript{nd} harmonic distortion
-30 dBm – 90 dBc = -120 dBm
## 5. Application Areas

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<th>Mobile phones, Wireless LANs</th>
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<tr>
<td>3. Satellite broadcasting</td>
<td>BS, CS, Digital Broadcasting</td>
</tr>
<tr>
<td>4. CATV</td>
<td>CATV, Analog/Digital TV, Broadcasting, Transmitter Amplifiers, Distributors</td>
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<td>5. EMI</td>
<td>IEC, EN (Europe), FCC (America), JIS (Japan)</td>
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