## Material Composition Survey and Response Manual [Survey and Response Format Ver4.3 compliant]

## 2013.09.10: Edition 1.1

## Green Procurement (formerly JGPSSI) Survey Response Tools Ver4.31 Compliant

<JIG-101 Ed 4.1 and substance added to IEC62474 DB substance list D4.00 excerpted>

(Data Format Ver4.31compliant)

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## **Revision History:**

2013.07.31: Edition 1 newly published due to compatibility with Green Procurement (formerly JGPSSI) Survey Response Tools Ver4.30

2013.09.10: Publication of Edition 1.1 due to an update associated with revision of substance group classification numbers (Compatible with Tools Ver4.31)

Major contents of update

- Since some of the substance group classification numbers that were set for the 28 substances that were added in Tools Ver4.30 were already used in the survey forms compatible with JIG-201 (packaging materials), all of the substance classification numbers for the 28 substances were discontinued, and new numbers were set.
- In association with the above, parts of Annex A-1, Annex A-2, Exhibits 5 and 6 (Intended Use Classification List), Exhibit 8 (JIG Detailed Substance Lists), and Exhibit 10 (Format for Handwritten Response) in this manual were revised.
- The above revisions were reflected and the version of the Survey Response Tools was updated to Ver4.31.

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#### Introduction <u>Publication of and background behind Green Procurement (formerly JGPSSI) Survey</u> Response Tools Ver4.30:

The domestic VT (Validation Team) 62474 was established in April 2012 as a sectional committee within the IEC/TC111's domestic committee (JPNC: Japan National committee). As one of its activities, the team has started reviewing the development of XML-compatible tools that conform to IEC62474. However, as it is necessary to assess the contents of the IEC62474 guideline (description) document that is created by the IEC TC111 WG1 (international team) as well as the state of other reviews conducted within Japan (METI's research group/chemical substances information sharing WG that was inaugurated in May of this year), it is expected for further time to be required in the future for such reviews to be completed. At the same time, as it cannot be said that the substances subject to survey that were included in the old JGPSSI Tools Ver4.20 that was published in May 2012 were the latest, there have been requests from users for an upgraded version that supports the latest laws and regulations and that also has proactive IEC62474 compatibility in the future. Taking such conditions into consideration, the data format of the old JGPSSI was followed and the substances added in the revised edition (D4.00) of the substance list in the IEC62474 database (hereinafter referred to as IEC62474 DB) were added. Together with this, the Green Procurement (formerly JGPSSI) Survey Response Tools Ver4.30 (hereinafter referred to as Tools Ver4.30 or Survey Response Tools Ver4.30) in which usage classifications were revised to reflect a renewal of exemption items in the RoHS/ELV Directives was published on the Japanese VT62474 homepage in July 2013.

### Precaution:

The substance groups and substances subject to survey that are integrated into Tools Ver4.31 are the same substance groups and substances in Table A of JIG-101 Ed4.1 that were subject to survey in the old Tools Ver4.20. Furthermore, in Ver4.31, the 28 substances <2 substances + 26 substances (SVHC)> that were added in D4.00 of the IEC62474 DB substance list are included (for details, see Annexes A-1 and A-2 in this manual).

### 1. Purpose

This Survey and Response Manual follows the old JGPSSI data format, and specifies guidelines related to the material composition survey and response methods based on Ver4.31 that reflects only reexaminations of usage classifications and addition of substances to the old Tools Ver4.20; this Manual explains each of the format items built into this Tool and how to answer each survey item

The material composition survey defined in this manual is designed for a requester to receive a response from the primary suppliers. Therefore, a survey is conducted on items that each requester purchases from the primary suppliers. As shown in the figure below, it is a premise that each responder conducts a similar survey by going back along the supply chain and that the information on material composition of products is accurately communicated from upstream suppliers (material manufacturers) to downstream suppliers (final product manufacturers).



This survey is intended for companies to manage material composition of products and does not serve the following purposes:

- (1) Proof of non-containing of certain chemical substances, assurance for compliance with applicable laws and regulations, and analysis requirements for assurance.
- (2) Interpretation of laws and regulations.
- (3) Provision of information to end-users upon making purchase decisions, etc.

With regard to information on how to handle conveyance of information on material composition as part of management of material composition of products, refer to "Guidelines for the Management of Chemical Substances in Products Version 2" published by the JGPSSI, or Version 3 published by JAMP.

### 2. Scope of Application

The survey established based on this manual is conducted on products, parts and materials that consist of electrical and electronic equipment (including accessories). The survey also covers batteries. It is not addressing:

- (1) Packing materials used by a respondent to transport and store the product sold to the requester.
- (2) Indirect components and sub-materials used in the manufacturing process that do not comprise products/parts.

### 3. Definition of Terms

(1) Product:

The item that the respondent is supplying to the electrotechnical industry (e.g., assembly, subassembly, component). The term "product" also covers a product family if the products within that family perform the same function and have consistent material declarations.

(2) Substance group:

A generic term for surveyed chemical elements and their compounds. See Annex A-1, Annex A-2 and Exhibit 8 (JIG Detailed Substance Lists)

(3) Intentionally added:

Deliberate use in the formulation of a product where its continued presence is desired to provide a specific characteristic, appearance or quality.

(4) Threshold level (Reporting Level):

Concentration level which defines the limit above which the presence of a substance or material contained in a product or subpart must be declared based on the requirements of this guide. A threshold level indicates an intentionally added threshold and/or a numerically set threshold (xx% ppm).

(5) Impurity:

Substance contained in a natural material that cannot be completely removed using industrial technology during the industrial refining process or a substance produced during a synthetic reaction process that cannot be completely removed using industrial technology. If a substance is used for the purpose of changing material properties, it should be indicated as "intentionally added."

(6) Recycled materials:

Although there is no absolute definition of "recycling," it is generally used to mean the "reuse or recycling of natural resources and waste" and "recycled materials" refer to materials that are to be reused or recycled.

Recycled materials are classified into "closed recycled materials" whose identity and chemical substances and other materials intentionally added to them are known and "open recycled materials" obtained from the market whose identity and inclusion of chemical substances are unknown.

(7) Material contamination:

A substance mixed in the material during the manufacturing process. Although contamination at less than the threshold level is tolerated, it is desirable to reduce it.

(8) Application area:

An area among the constituent components of parts that contains surveyed chemical substances.

- (9) Purpose of use/intended use: Performance and functions intended to be enhanced by adding chemical substances to a product or subpart.
- (10) Homogeneous material:

A material that cannot be mechanically disjointed into different materials.

The term "homogeneous" means "of uniform composition throughout." Examples of "homogeneous materials" are individual types of plastics, ceramics, glass, metals, alloys, paper, board, resins and coatings.

(11) Mechanically disjointed:

The term "mechanically disjointed" means that the materials can, in principle, be separated by mechanical actions such as: unscrewing, cutting, crushing, grinding and abrasive processes.

### 4. Response Format

This manual is written for the Survey and Response Format Ver4.3. However, the response format is for responses on the substance group level and succeeds the standard concept from the former Survey and Response Format Ver.3. Content information regarding SVHC that are covered by REACH (refer to 5. (5) Information on specific substances contained) are of a format where responses for SVHC are made on a CAS number level based on the newly established substance unit line (Refer to Exhibit 9. Data Format).

Note) In the Survey and Response Format Ver4.3 (Data Format Ver4.31), changes have not been made to survey items (data items) from Survey and Response Format Ver.4.

### 5. Survey Items (Input method for each item)

- (1) **Requester information:** (Level 1 of Survey Response Tools)
  - Reference number: Used by a requester to manage a survey by survey file, and is entered by the requester.
  - Requester's date of data entry: Enter the date of survey request by the requester. The date format is year/month/day (YYYY/MM/DD).
  - Company name: Information on the requester.
  - 4) DUNS number: Information on the requester. (Note) DUNS number is a nine-digit company identification code issued by D&B.
  - 5) Division name: Information on the requester.
  - 6) Contact name: Information on the requester.
  - 7) Telephone number (contact information): Information on the requester.

- 8) Fax number: Information on the requester.
- 9) E-mail address: Information on the requester.
- 10) Requester's management items 1-3:

Additional information on requester should be entered here. These items are used based on the requester's settings. Do not use these items for any other purposes. (Ex: section code, factory code)

11) Remarks by requester:

Enter the requester's notes or comments at the input of a response. Do not misuse this field for requesting guarantees or listing additional requirements

### (2) Respondent information: (Level 1 of Survey Response Tools)

In principle, enter your information according to the instructions below. If any instructions are given from the requester, however, follow them.

- 1) Respondent's date of data entry: Enter the date of response. This field is required.
- 2) Company name: Enter respondent's company name. This field is required.
   If the respondent is a trading company, enter the information as a trading company instead of a manufacturer.
- DUNS number: DUNS: Leave it blank if it is unknown.
- 4) Address: Input the respondent's address.
- 5) Division name: Enter respondent's division name.
- 6) Contact name: Enter the name of the person in charge of reporting the survey data. This field is required.
- Telephone number: This field is required. Enter the telephone number of the person indicated in item 6) or the person in charge of inquiries regarding the survey data.
- Fax number: Enter the fax number of the person indicated in item 6) or the person in charge of inquiries regarding the survey data.
- 9) E-mail address:

Enter the e-mail address of the person indicated in item 6) or the person in charge of inquiries regarding the survey data.

- 10) Requester's management items 4-6: These items are set and used by the requester to manage the information on the respondent. Do not use these items for any other purposes. (Ex: supplier's name, supplier's code)
- 11) Additional information regarding survey response:This includes overall comments on survey responses (and is entered by the respondent).

### (3) Product/subpart/material information: (Level 1 of Survey Response Tools)

- Product/subpart number of requester: This field is required (only when there is no data in 3) Material identification information below).
   The product/subpart management number used by the requester. In principle, it is entered by the requester.
- Product/subpart/material name of requester: The product/subpart/material name the requester uses for the surveyed items. In principle, it is entered by the requester.
- 3) Material identification information:

This information is used when the requester intends a survey on such materials as metals or resins but the materials do not have product/subpart numbers of 1) above. Based on this information, the respondent can identify surveyed materials. In principle, this is entered by the requester.

- 3)-1 Material grade number: The grade number identifying materials should be entered here. It is mainly used for resins and related materials.
- 3)-2 Metal/JIS symbols:

Metal symbols identifying metals or metal symbols specified by JIS should be entered here. These symbols are used primarily for metal materials.

3)-3 Coloring number:

The coloring number identifies the color of a material. This number is equivalent to a number managed by the material manufacturer or the colorant company. It is used primarily for resins and related materials.

- 3)-4 Thickness (mm): This information identifies the thickness of a material. The unit is measured in mm. It is mainly used for flat materials (e.g. metal steel plates, sheet materials).
- 3)-5 Color:

This is used when the coloring number in the above item 3)-3 is not available and the information on material color can be identified by letters.

3)-6 Diameter (mm):

This information identifies the diameter of a material. The unit is measured in mm. It is primarily used for cylindrical materials.

4) Requester's items 1-3:

In principle, these items are entered by the requester to identify and manage the surveyed items.

(Note) This is the data field used for data management. Do not use it for individual survey. Respondents should not enter these items.

- Manufacturer name: Enter the name of the manufacturer of the survey items. This field is required.
- Respondent's product/subpart/material number: This field is required. This number identifies respondent's product/subpart/material. In principle, it is entered by the respondent.
- Respondent's product/subpart/material name: A product provided or to be provided by the respondent. In principle, it is entered by the respondent.
- Respondent's items 1-3: Respondent's items 1-3 are used by the respondent (respondent's memo).
- 9) Data version:

Enter the management number that identifies the version of the survey response data used by the respondent. Keep it blank if it is not applicable. 10) Revision date:

Enter the date when you respond data or finalize the data of the version responded at 9) above.

11) Survey unit: This field is required.

Choose the unit of the survey item when reporting its content. If the survey unit is specified by the requester, follow the requester's instructions.

- e.g.) In the case of a subpart, "piece" is used in principle. For raw materials, choose the most appropriate unit from "g", "kg", "mm", "m", "cm2", "m2", "cc", "liter" or "m3".
- 12) Survey unit mass (g/survey unit): This field is required.

Enter the total mass per surveyed unit chosen in item 11).

e.g.) If the survey unit is "piece"  $\rightarrow$  Mass per piece of survey item If the survey unit is "kg"  $\rightarrow$  Mass per 1kg of surveyed item = 1000g

Attention: Relationship between "survey unit" and "survey unit mass"

- For a subpart, the content per piece is usually answered.. Therefore, specify "piece" and enter its mass in "survey unit mass." If the unit is kg as for substance, specify "kg" and enter "1000" (g) in "survey unit mass." For a wire, specify "m" or a similar unit of length and enter the mass per meter in "survey unit mass." For materials in a vessel, either specify "piece" and enter the mass per vessel or specify "g" and enter "1" (g).
- 13) Overall content flag: This field is required.

Input Y when one or more content flag out of all substance groups is Y. Input N when all of content flags are N.

(The Survey and Response Tool Ver4.31 automatically displays Overall content flag.)

#### (4) Substance group information:

(How to input for each surveyed item for contained substance groups [Other than SVHCs]) (Level 2 of Survey Response Tools)

- (Note 1) This survey is not to receive chemical substance information about each subpart individually that forms the surveyed product. Its intention is to receive integrated (totaled) chemical substance information about the surveyed product.
- (Note 2): The items subject to survey and response in this chapter (4) are the substances in Annex A-1 of "9. Attachments" in this manual (hereinafter, Annex A-1). Make sure to confirm which substances are covered by the respective substance groups by referring to the JIG Detailed Substance Lists (Exhibit 8). In addition, the items related to SVHC covered by REACH that are subject to survey and response are the substances in Annex A-2. For details, refer to Chapter 5. (5).
- (Note 3) The reporting of azocolourants and azodyes, formaldehyde, and nickel is only required for specific applications. For details, see Annex A-1, "Reportable Application."
- (Note 4) The reporting of the content flag by threshold level and total content related to "B08 (Brominated flame retardants)," "B18 (Chlorinated flame retardants)," and "B19 (Polyvinyl chloride (PVC) and PVC copolymers)" in Annex A-1 is fundamentally based on the standard of the mass of contained bromine or chlorine. However, if it is difficult to obtain bromine or chlorine conversion data, it is possible to report the content flag by threshold level and total content using the content where bromine or chlorine is not converted (mass of the compound) as a standard.

| Substance group<br>Information<br>(Each survey item)                                  | How To Answer Each Survey Item   |   |        |  |
|---|--|---|--------|--|
| 1) Content flag by<br>threshold level (Y/N)<br>(Required for all<br>substance groups) | <ol> <li>Answer Y or N for each substance group in Annex A-1 dependent if the substance exceeds the threshold level or not.</li> <li>The threshold level (Reporting Level) is set in Annex A-1 for each substance group (Annex A-1 and A-2 handles even a single substance as a substance group.)</li> <li>If the reporting product belongs to "Reportable Application "specified in Annex A-1, the corresponding threshold level in "Reportable Application " applies. If "Reportable Application " is set for specific products and parts only and the product to be reported does not belong to "Reportable Application," the content flag is N irrespective of the amount of content.</li> <li>Note 1) For each substance group, refer to the following when judging the relationship between "Reportable Application" and content flag (Y/N) in cases involving products and composite components that include parts with "Reportable Application," such as batteries. The items that are subject to judgment regarding "Reportable Application" are products supplied by the surveyed company (survey items). (Refer to Exhibit 1. Content Judgment Flow 1)</li> </ol> |   |        |  |
|   | Does the supplied product (survey<br>item) correspond to "Reportable<br>Application"?Does the content of the<br>applicable substance<br>exceed the threshold level?Content flag<br>(Y/N) of<br>applicable<br>substance group   |   |        |  |
|   | Yes (Refer to Note 2 below)  | Yes<br>No   | Y<br>N |  |
|   | No   | Yes<br>No   | N<br>N |  |
|   | Cannot be determined (Refer to   | Yes   | Y      |  |
|   | Note 3 below)  | No  | N      |  |
|   | Note 2): Cases in which a product of<br>into the product supplied by<br>Composite components, un<br>material is included (Refer t<br>Note 3): When it cannot be judged<br>company corresponds to a<br>surveying company, rate th<br>applicable substance.<br>The following are substan<br>determine whether they co  | <ul> <li>bote 2): Cases in which a product or part of "Reportable Application" is incorporated into the product supplied by the surveyed company are applicable. Example: Composite components, units or products in which a battery or a plastic material is included (Refer to response examples 1 in Exhibit 3)</li> <li>bote 3): When it cannot be judged whether a product supplied by the surveyed company corresponds to a reportable application for the product of the surveying company, rate the content flag as Y by assuming that it contains the applicable substance.</li> <li>The following are substances groups for which it is particularly difficult to determine whether they correspond to a reportable application. For responses regarding these substance groups, refer to 3) (1) Note 2 as</li> </ul> |        |  |

| Substance group<br>Information<br>(Each survey item)                                  | How To Answer Each Survey Item  |  |  |
|---|---|--|--|
| 1) Content flag by<br>threshold level (Y/N)<br>(Required for all<br>substance groups) | A11: Nickel<br>A24: Dioctyltin (DOT) compounds<br>B08: Brominated flame retardants (other than PBBs,PBDEs, or HBCDD)<br>C02: Azocolourants and azodyes which form certain aromatic amines<br>C09: Selected Phthalates Group 1 (BBP, DBP, DEHP)<br>C10: Selected Phthalates Group 2 (DIDP, DINP, DNOP)   |  |  |
| (Continued)   | Note 4): The purpose of Note 1, Note 2 and Note 3 above is to further clarify the rating standards for content flag (Y/N), and fundamentally do not represent a change in past rating standards (JIG-101 Ed 2.0).   |  |  |
|   | (4) If several "Reportable Applications" and threshold levels exist for the same substance group, the content flag is Y for this substance group if for any of the reportable applications the respective threshold is exceeded (e.g. Lead and its compounds)   |  |  |
|   | (5) Even if the intended use of a substance/substance group is exempted from a legislation e.g from the RoHS directive, the content flag Y/N is purely based on the decision whether the corresponding threshold level is exceeded or not. (Provision of information as to whether an item is exempted is carried out in selection of 3) Intended use classification as mentioned below.)   |  |  |
|   | (6) When a threshold level is set for "intentionally added" only, the content flag is Y if<br>there is a substance intentionally added, irrespective of the amount of content, but<br>N if there is no such a substance.  |  |  |
|   | (7) When the threshold level is set for "numeric value% (ppm)," the content flag is Y if<br>the concentration is over the corresponding value whether the substance is<br>intentionally added or irrespective of any other reason. Note that the denominator<br>in the concentration calculation formula may differ depending on the threshold<br>level. (See Annex A-1.)   |  |  |
|   | Note) "Other reasons" refer to cases where materials/substances are not<br>intentionally added but where they derived from impurities in natural<br>resources, residuals of manufacturing processes, contamination or use of<br>recycled materials.   |  |  |
|   | <ul> <li>(8) Refer to Exhibit 2. Content Judgment Flow 2. with regard to the method for content flags in cases where the threshold level is set based on the intentionally added threshold and numerically set threshold (xx% ppm). (Cases involving tributyl tin oxide (TBTO) and mercury other than batteries.)</li> <li>Note): However, for content flag (Y/N) of A17: Tributyl Tin Oxide (TBTO) as a substance group, the threshold level is "Intentionally added" only.</li> </ul> |  |  |
|   | (9) In calculations of the threshold level of C09: Selected Phthalates Group 1 (BBP, DBP, DEHP) and C10: Selected Phthalates Group (DINP, DIDP, DNOP) in Annex A-1, the total value of the content of the three substances in each of the groups serves as the standard.  |  |  |
|   | (10) The following 2) – 7) needs to be answered for all substance groups if the content flag is Y.  |  |  |
|   | (11) In case that the content flag is rated as N, but the content is known enter<br>necessary information into 2) - 7) in the same way as when the content flag is Y.<br>The intention of this is avoid an interruption of the information flow in the supply<br>chain. By providing this information a re-survey can be avoided.   |  |  |
|   | Note) For the intended use classification to select when the content flag is N, refer to 3) (4) Note) as mentioned below.   |  |  |

| Substance group<br>Information<br>(Each survey item)                                    | How To Answer Each Survey Item  |  |  |
|---|---|--|--|
| 2) Total content (mg)<br>(Required for all<br>substance groups if<br>content flag is Y) | <ul> <li>(1) Enter in mg and two significant digits (round the third digit) the content of chemic substances per survey unit as set by 11) in "(3) "Product/subpart/materi information."<br/>Note) See "Attention: Relationship between survey unit and survey unit mass at 12) in (3).</li> <li>(2) The known maximum content should be entered, in principle.</li> <li>(3) If the substance group is a metal/metal compound or a metal compound, the tot content is based on the following : <ul> <li>i. Substance group with CAS number in Annex A-1:</li> <li>the mass of the metal compound .</li> <li>ii. Substance group with no CAS number in Annex A-1:</li> <li>the mass of the pure metal = (mass of the metal compound multiplied by th metal conversion factors). (See Exhibit 8 "JIG Detailed Chemical Lists.")</li> </ul> </li> <li>Note1) If each category is a metal and its compounds, the maximum content rate in homogeneous material of 6) below is based on the metal compound material by the respective metal conversion.</li> <li>Note 2): Although a CAS number is listed for A17: Tributyl Tin Oxide (TBTO) in Annex A-it may be applicable to A28: Tri-substituted organostannic compounds. If calculatin the content of TBTO as tri-substituted organostannic compounds, metal conversion is necessary. (See Exhibit 8)</li> </ul> |  |  |
| 3) Intended use<br>classification   | (1) Select an applicable intended use classification code from the list. If there are<br>several application areas, select all applicable intended use classification codes<br>from the list.   |  |  |
| (Required for all<br>substance groups if<br>content flag is Y)                          | <ul> <li>Note1): For each intended use classification, corresponding items are assigned based on whether the content flag is Y or N. Select the intended use classification that is consistent with the content flag (Y/N). (Refer to the intended use classification lists and corresponding content flags (Y/N) in Exhibit 4 and Exhibit 5)</li> <li>Note 2): For some substance groups, intended use classifications codes that are selected when it is difficult to judge whether a product supplied by the surveyed company corresponds to a reportable application for the product of the surveying company are set.</li> </ul>   |  |  |
|   | Ni-J-2: Nickel<br>A24-J-1: Dioctyltin (DOT) compounds<br>B08-J-4: Brominated flame retardants (other than PBBs,PBDEs, or HBCDD)<br>C02-J-3: Azocolourants and azodyes which form certain aromatic amines<br>C09-J-2: Selected Phthalates Group 1 (BBP, DBP, DEHP)<br>C10-J-1: Selected Phthalates Group 2 (DIDP, DINP, DNOP)  |  |  |
|   | <ul> <li>(2) If exemption defined in the RoHS or ELV Directive applies to the content, select the corresponding intended use classification code.</li> <li>(e.g. Cd-R-2 signifies exemption in the RoHS Directive and Pb-RE-2 signifies exemption common to the RoHS Directive and the ELV Directive. For details, see Exhibit 4.)</li> </ul>   |  |  |
|   | Note 1): If the content corresponds to an exemption in the ELV Directive (lead in high-melting point solder), select Pb-R-2, since an exclusive intended use classification code has not been established.  |  |  |
|   | Note 2): If the content corresponds to an exemption in the ELV Directive (aluminum materials containing 0.4% or less of lead by weight), select Pb-R-1, since likewise, an exclusive intended use classification code has not been established.   |  |  |
|   | Note 3): For intended use classification codes (Hg-R-O) for A10: Mercury/mercury compounds, please refer to Note 2) in Exhibit 4.   |  |  |

| Substance group<br>Information<br>(Each survey item)                          | How To Answer Each Survey Item  |  |  |
|---|---|--|--|
| 3) Intended use classification  | Note 4): "Specific use," which is included in the descriptions for some intended use classifications, refers to exemptions in the RoHS Directive and ELV Directive. In addition, Pb-J-1 (wires, cords) and Pb-B-1 (batteries) also signify specific use.  |  |  |
| (Required for all<br>substance groups if<br>content flag is Y)<br>(Continued) | <ul> <li>(3) When appropriate intended use classification is not listed, select "content not for specific use" as Cd-J-0, then enter details at 7) "Additional information on material composition of products."</li> <li>(4) When the content flag is N and further information will be voluntarily provided, make sure to select the corresponding intended use classification code.</li> </ul> |  |  |
|   | Note): Depending on the intended use classification, there is no code that corresponds to when the content flag is N. in such a case, select the intended use classification that corresponds to when the content flag for the substance group is N, and write down an explanation in the field for additional information.   |  |  |
|   | e.g.: With regard to the intended use classification to be selected when the content flag for Pb-J-1 (wires, cords) and Pb-B-1 (batteries) is Y (exceeding the threshold level), there is no intended use classification that corresponds to when the content flag is N individually set. In such a case, select Pb-RE-98, for which the content flag corresponds to N.                           |  |  |
| 4) Purpose of<br>intended use   | (1) Briefly explain the purpose of using chemical substance.  |  |  |
|   | Ex. 1: Stabilizer, plasticizer, colorant, flame retardant, anti-rust agent, soldering   |  |  |
| (Required for all<br>substance groups if<br>content flag is Y)                | Ex. 2: Main constituent, to increase thermostability, to enhance electric properties, to improve mechanical properties  |  |  |
|   | Note) For substance groups covered by REACH (see Note 4 Annex A), "purpose of intended use" needs to be filled out following "5.3. information on specific substances contained "   |  |  |
| 5) Application area   | (1) An application area refers to an area among the constituent components of parts<br>that contains surveyed chemical substances. The name of the application area<br>should be a generic name that is used in specifications and drawings or used by<br>vendors.  |  |  |
| substance groups if content flag is Y)  | <ul><li>(2) If the same chemical substance is contained in several application areas, enter major application areas only. If this is the case, indicate "etc." at the end.</li></ul>  |  |  |
|   | (3) If the survey item is a single electronic subpart or other product, the application area should be indicated in the drawing, material composition list, etc. of the subpart. (See Exhibit 7.)   |  |  |
|   | Examples 1) to 3) are shown below.  |  |  |
|   | Ex. 1) Ceramic materials and internal and external electrode materials in the<br>laminated ceramic capacitor  |  |  |
|   | Ex. 2) Lead wire, electrolytic solution, sealant, and electrode foil in the electrolytic Capacitor  |  |  |
|   | Ex. 3) Rubber contact point, spring and plastic cover in the switch   |  |  |
|   | <ul> <li>(4) If the survey item is a device/equipment or electronic assembly subpart, the application area should be indicated in the drawing, parts list, etc. of this device or equipment</li> <li>e.g.) Laminated ceramic capacitor, electrolytic capacitor, printed circuit board,</li> </ul>   |  |  |
|   | assembling solder<br>Note) For substance groups covered by REACH (see Note 4 Annex A), "Application area"<br>needs to be filled out following "5.3. information on specific substances contained "  |  |  |
|   |   |  |  |

| Substance group<br>Information<br>(Each survey item)                        | How To Answer Each Survey Item   |  |  |  |
|---|--|--|--|--|
| 6) Maximum content<br>rate of homogeneous<br>material (ppm)                 | (1) Enter the content rate (ppm) of each substance group in a homogeneous material where the substances are contained.   |  |  |  |
|   | (2) If there is a substance of the same intended use classification code used in several areas (=different homogenous materials), enter the maximum value (ppm).                         |  |  |  |
| (Required for specific<br>substance groups<br>only if content flag is<br>Y) | <ul><li>(3) If the content flag is Y, a response about this item is required for the following twelve groups but optional for others.</li></ul>  |  |  |  |
| ,   | <ul> <li>A05: Cadmium/cadmium compounds (excludes batteries)</li> <li>A07: Chromium VI compounds</li> </ul>  |  |  |  |
|   | <ul> <li>A09: Lead/lead compounds (excludes batteries)</li> </ul>  |  |  |  |
|   | <ul> <li>A10: Mercury/mercury compounds (excludes batteries)</li> </ul>  |  |  |  |
|   | A28: Tri-substituted organostannic compounds   |  |  |  |
|   | <ul> <li>A23: Dibutyltin (DBT) compounds</li> <li>A24: Dioctyltin (DOT) compounds</li> </ul>   |  |  |  |
|   | B02: Polybrominated Biphenyls (PBBs)   |  |  |  |
|   | B03: Polybrominated Diphenylethers (PBDEs)   |  |  |  |
|   | B13: Perfluorooctane sulfonate (PFOS)  |  |  |  |
|   | C09: Selected Phthalates Group 1 (BBP, DBP, DEHP)  |  |  |  |
|   | <ul> <li>C10: Selected Phthalates Group 2 (DIDP, DINP, DNOP)</li> </ul>  |  |  |  |
| 7) Additional<br>information on   | (1) Enter additional information on material/substances if any.  |  |  |  |
| materials/substances  | e.g.) - CAS No. and ISO No. of materials/substances  |  |  |  |
| (On the next)   | - Alternative plans, reduction plans   |  |  |  |
| (Optional)  | <ul> <li>Information on radioactivity must be reported. For example, radioactivity<br/>isotope name and code, max activity level (MBq), and typical activity level<br/>(MBq).</li> </ul> |  |  |  |

## (5) Substance Information: (How to input at the CAS number level in relation to SVHC corresponding to REACH) (Level 3 of Survey Response Tools)

- (Note 1): Refer to Annex A-2 for the list of applicable SVHC covered by REACH.
- SVHC is short for "substance of very high concern."
- (Note 2): SVHCs in Annex A-2 may also correspond to detailed substances of substance groups in Annex A-1 (Exhibit 8. JIG Detailed Substance Lists). In such cases, please note that the applicable SVHCs are also subject to survey and response at the substance group level in "5. (4) Substance Information." For compounds that are applicable to the substance groups in Annex A-1, the calculation denominator for the threshold level differs, even if it is the same SVHC.
  - Example 1): Lead chromate (CAS No. 7758-97-6) is subject to survey responses for the substance group classification No. A07: Chromium VI compounds and A09: Lead/lead compounds in Annex A-1, and the calculation denominator for the threshold level is homogeneous materials. (Refer to Exhibit 3 Response Example 3)
  - Example 2): Each of the phthalates (BBP, DBP, DEHP) that are SVHCs are also subject to response for C09: Phthalates Group 1 (BBP, DBP, DEHP). (Refer to Exhibit 3 Response Example 4))

| Substance<br>Information<br>(Each survey item)  | How To Answer Each Survey Item  |
|---|---|
| 1) Content flag by<br>threshold level<br>(Y/N)  | <ol> <li>Enter Y or N for each SVHC as to whether the ratio by weight per survey unit exceeds 0.1%. If it exceeds 0.1%, enter Y; if it does not, enter N.</li> <li>Note 1): The "Reportable Application" of SVHC is "All." For the content flag, make ratings based only on the threshold level.</li> <li>Note 2): As with other SVHC, the threshold level for A17: Tributyl Tin Oxide (TBTO) in Annex A-2 is judged based on whether the ratio by weight per survey unit exceeds 0.1%.</li> <li>Note 3): Some SVHC might not have a corresponding CAS number. For detailed information on these SVHC, refer to Exhibit 8. JIG Detailed Substance Lists. (Example: Refractory ceramic fiber as in substance group classification numbers C16 and C17)</li> <li>For SVHC with a flag content of Y, enter the necessary items related to content information into 2) to 6) below.</li> <li>Even when the content flag is rated as N, when the content is known, enter the necessary information into 2) to 6) in the same way as when the content flag is Y. The intention of this is to avoid an interruption of the information flow in the supply chain. By providing this information, a re-survey can be avoided.</li> </ol> |
| <ul> <li>2) Content of<br/>compound per<br/>survey unit (mg)</li> <li>(Response required<br/>when the content flag<br/>for each SVHC is Y)</li> </ul> | <ul> <li>(1) Enter in mg and two significant digits (round the third digit) the content of compound of the CAS number per survey unit.<br/>(Enter the content even for SVHCs with no CAS number.)</li> <li>Note 1) Even for a metal compound, enter its content without metal conversion.<br/><see 8.="" exhibit="" in="" note)=""></see></li> <li>Note 2): Do not carry out metal conversion for the content of A17: Tributyl Tin Oxide<br/>(TBTO) in Annex A-2.</li> </ul>  |
| <ul> <li>3) Purpose of intended use</li> <li>(Response required when the content flag for each SVHC is Y)</li> </ul>                                  | <ul> <li>Briefly explain the purpose of using chemical substances of the intended CAS number in the "intended use" column.<br/>(Enter the content even for SVHCs with no CAS number.)</li> <li>Ex.1) Stabilizer, plasticizer, colorant, flame retardant, anti-rust agent, soldering Ex.2) Main constituent, to increase thermostability, to enhance electric properties, to improve mechanical properties</li> </ul>  |

| Substance<br>Information<br>(Each survey item)  | How To Answer Each Survey Item  |  |  |
|---|---|--|--|
| <ul> <li>4) Application area</li> <li>(Response required<br/>when the content flag<br/>for each SVHC is Y)</li> </ul>                                 | <ol> <li>Enter application areas where the compound of the CAS number is contained. The name of the application area should be a generic name that is used in specifications and drawings or used by vendors. (Enter the content even for SVHCs with no CAS number.)</li> <li>If the same chemical substance is contained in several application areas, enter major application areas only. If this is the case, indicate "etc." at the end.</li> <li>If the survey item is a single electronic subpart or other product, the application area should be indicated in the drawing, material composition list, etc. of the subpart. (See Exhibit 7.)</li> <li>If the survey item is a device/equipment or electronic assembly subpart, the application area should be indicated in the drawing, parts list, etc. of this device or equipment</li> <li>Laminated ceramic capacitor, electrolytic capacitor, printed circuit board, assembling solder</li> </ol> |  |  |
| <ul> <li>5) Weight<br/>concentration per<br/>survey unit (%)</li> <li>(Response required<br/>when the content flag<br/>for each SVHC is Y)</li> </ul> | <ul> <li>(1) Enter the weight concentration of compound of the CAS number per survey unit in %.</li> <li>Formula = &lt;(Content mg x 10<sup>-3</sup>)÷ Survey unit mass g&gt; x 100 (Enter the content even for SVHCs with no CAS number.)</li> <li>(The Survey Response Tool Ver4.31 automatically calculates weigh concentration.)</li> </ul>   |  |  |
| 6) Additional<br>information on<br>substances<br>(Optional)   | <ul> <li>(1) Enter additional information on the content of the chemical substance of the CAS number if any.</li> <li>(Enter the content even for SVHCs with no CAS number.)</li> </ul>   |  |  |

## 6. Response Methods and Survey Response Format (Data Format)

In the old JGPSSI, rules were formed regarding conditions for arranging the data, etc. at the time of response, and these were specified as Survey and Response Format Ver.4 (Data Format Ver.4) that supports JIG-101. Tools Ver4.31, to which this manual is applicable, also follows this format and was developed based on Data Format Ver4.31 in Exhibit 1. Refer also to Exhibit 9. for information on version management rules for Data Format. In principle, all survey responses should be exchanged via electronic data (JGP4 file) based on the survey response format (data format). We also provide free software of survey response tools Ver4.31 that help respondents create JGP4 file in accordance with our survey response format (data format). It is acceptable to reply to a survey by creating JGP4 file without using the survey response tool.

Please see "Survey Response Tool Ver4.31 Operation Manual" for how to create response data using the survey response tool.

## 7. Formats for Handwritten Response

As explained in section 6 above, while in principle the survey response favors the exchange of JGP files, this manual also provides formats for handwritten response (see Exhibits 10). This format is an alternative for those who are unable to utilize the electronic formats (JGP file). Exhibits 10 may be copied and used when making a handwritten response.

For handwritten response, circle the applicable content flag (Y/N). For "Intended use classification," "Purpose of intended use," "Application area," "Content rate," and "Additional information on materials/substances," fill in the corresponding fields of "Contained substance group - Detailed information" on the second hierarchical level (Level 2). For the content information of SVHCs to REACH on the CAS number level, fill in the corresponding fields of "Contained substance - Detailed information" on the third hierarchical level (Level 3).

For how to use and fill out this format, please follow the instructions in this manual. Note that the formats for handwritten response are only provided in PDF form as shown in this manual's exhibits. Please do not change the survey items.



## 8. Operation Flow

Note: Preparing response data without using the survey tool is permitted.

# Annex A-1: Surveyed substance group List (Excerpted from JIG-101 Ed 4.1 Annex A tables and part of IEC62474 DB declarable substance list)

Note 1) This Annex A-1 lists only substance groups that are applicable in "5. (4) Substance group information" of this Manual, and represent substance groups for which responses are made in Level 2 in the Survey Response Tools Ver4.31.

Similarly, the SVHC covered by REACH for which responses are made in Level 3 are listed in Annex A-2.

- Note 2) The order in which the substance groups are listed is the same as in Survey and Response Tool Ver4.31, and differ from the alphabetical order of JIG-101.
- Note 3) "Criteria" in this table is taken from JIG-101 Ed 4.1.
- Note 4) Each of the substance group classification numbers were uniquely established in the old JGPSSI. New numbers have been set for the substances (including in Annex A-2) added to the latest version (D4.00) of the IEC62474 DB substance list (<u>Changes made to Annex A-1</u> are indicated with an underline (two substances added)). A substance group classification number of A xx (Ex: A09) represents a metallic compound, B xx (Ex: B08) represents a halogenated organic compound, and C xx (Ex: C909) represents other compounds.
- Note 5) Please note that the threshold level for A17: Tributyl Tin Oxide (TBTO) in this Annex differs from the threshold level of A17.
- Note 6) For calculation of the threshold levels for C09: Phthalates Group 1 (BBP, DBP, DEHP) and C10: Phthalates Group 2 (DIDP, DINP, DNOP) in this Annex, the total value of the content of the three substances in each group serves as the standards.

| Criteria | Substance<br>group<br>classification<br>No. | Substance groups                               | Reportable<br>Application(s)   | Threshold Level<br>(Reporting level)  |
|----------|---|--|--|---|
| R        | A05   | Cadmium/cadmium<br>compounds                   | All, except batteries  | 0.01% by weight (100 ppm) of homogeneous materials  |
| R        | A05   | Cadmium/cadmium<br>compounds                   | Batteries  | 0.001% by weight (10 ppm) of battery  |
| R        | A07   | Chromium VI compounds                          | All  | 0.1% by weight (1000ppm) of<br>homogeneous materials  |
| R        | A09   | Lead/lead compounds                            | All, except as noted<br>below  | 0.1% by weight (1000 ppm) of homogeneous materials  |
| R        | A09   | Lead/lead compounds                            | Consumer products<br>designed or intended<br>primarily for children 12<br>years of age or younger.     | 0.01% by weight (100 ppm) of children's product   |
| R        | A09   | Lead/lead compounds                            | Paint and similar<br>surface coatings<br>of toys and other articles<br>intended for use by<br>children | 0.009% by weight of surface coating   |
| R        | A09   | Lead/lead compounds                            | Cables/cords with<br>thermoset or<br>thermoplastic coatings  | 0.03% by weight (300 ppm) of surface coating  |
| R        | A09   | Lead/lead compounds                            | Batteries  | 0.004% by weight (40 ppm) of battery  |
| R        | A10   | Mercury/mercury compounds                      | All, except batteries  | Intentionally added or 0.1% (1,000<br>ppm) at homogeneous material<br>(See Exhibit 2. Content Judgment<br>Flow) |
| R        | A10   | Mercury/mercury compounds                      | Batteries  | Intentionally added or 0.0001% by weight (1 ppm) of battery   |
| R        | A11   | Nickel   | All, where prolonged skin contact is expected  | Intentionally added   |
| R        | A17   | Tributyl Tin Oxide (TBTO)<br>(CAS No. 56-35-9) | All  | Intentionally added   |
| R        | A28   | Tri-substiituted organostannic compounds       | All  | Intentionally added or 0.1% by<br>weight (1 000 ppm) of tin in a<br>material                                    |

| Criteria | Substance<br>group<br>classification<br>No. | Substance groups  | Reportable<br>Application(s)  | Threshold Level<br>(Reporting level)  |
|----------|---|---|---|---|
| R        | A23   | Dibutyltin (DBT) compounds  | All   | 0.1% by weight (1 000 ppm) of tin in a material                                 |
| R        | A24   | Dioctyltin (DOT) compounds  | <ul> <li>(a) textile and leather articles<br/>intended to come into<br/>contact with the skin,</li> <li>(b) childcare articles</li> <li>(c) two-component room<br/>temperature vulcanisation<br/>moulding kits (RTV-2<br/>moulding kits)</li> </ul> | 0.1% by weight (1 000 ppm) of tin in a material                                 |
| I        | A19   | Beryllium Oxide (BeO)<br>(CAS No. 1304-56-9)                        | All   | 0.1 % by weight (1,000 ppm) of the product                                      |
| R        | B02   | Polybrominated Biphenyls (PBBs)                                     | All   | 0.1% by weight (1000 ppm) of homogeneous materials                              |
| R        | B03   | Polybrominated<br>Diphenylethers<br>(PBDEs)                         | All   | Intentionally added or 0.1% by<br>weight (1000 ppm) of<br>homogeneous materials |
| I        | B08   | Brominated flame retardants<br>(other than PBBs,PBDEs, or<br>HBCDD) | Plastic materials except<br>printed wiring board<br>laminates   | 0.1% total bromine content by weight (1 000 ppm) in the plastic material        |
| I        | B08   | Brominated flame retardants<br>(other than PBBs,PBDEs, or<br>HBCDD) | Printed wiring board<br>laminates   | 0.09% total bromine content by weight (900 ppm) in the laminate                 |
| I        | B18   | Chlorinated flame retardants  | Plastic materials except<br>printed wiring board<br>laminates   | 0.1% total chlorine content by weight (1 000 ppm) in the plastic material       |
| I        | B18   | Chlorinated flame retardants  | Printed wiring board laminates  | 0.09% total chlorine content by weight (900 ppm) in the laminate                |
| R        | B05   | Polychlorinated Biphenyls<br>(PCBs) and specific<br>substitutes     | All   | Intentionally added   |
| R        | B15   | Polychlorinated Terphenyls (PCTs)                                   | All   | 0.005% by weight<br>(50 ppm) in material  |
| R        | B06   | Polychlorinated<br>Naphthalenes<br>(more than 3 chlorine atoms)     | All   | Intentionally added   |
| R        | B12   | Perchlorates  | All   | 0.0000006 % by weight (0.006 ppm) of the product                                |
| R        | B13   | Perfluorooctane sulfonate (PFOS)                                    | All   | Intentionally added or 0.1% by weight (1000 ppm) in material                    |
| R        | B10   | Fluorinated greenhouse gases (PFC, SF <sub>6</sub> , HFC)           | All   | Intentionally added   |
| I        | B19   | Polyvinyl chloride (PVC) & PVC Copolymers                           | Plastic materials except<br>printed wiring board<br>laminates   | 0.1% total chlorine content by weight (1 000 ppm) in the plastic material       |
| R        | C01   | Asbestos  | All   | Intentionally added   |
| R        | C02   | Azocolourants and azodyes<br>which form certain aromatic<br>amines  | Textiles and leather  | 0.003% by weight (30 ppm) of the finished textile/leather product               |
| R        | C04   | Ozone Depleting Substances  | All   | Intentionally added   |
| R        | C06   | Radioactive substances  | All Intentionally added   |   |
| R        | C07   | Formaldehyde  | Textiles  | 0.0075%by weight (75 ppm) of textile product                                    |
| R        | C07   | Formaldehyde  | Composite wood<br>(plywood, particle board,<br>MDF) products or<br>Components   | Intentionally added   |

| Criteria | Substance<br>group<br>classification<br>No. | Substance groups  | Reportable<br>Application(s)   | Threshold Level<br>(Reporting level)                  |
|----------|---|---|--|---|
| R        | C08   | Phenol,2-(2H-benzotriazol-2-<br>yl)-4,6-bis(1,1-dimethylethyl)<br>(CAS No. 3846-71-7) | All  | Intentionally added                                   |
| R        | C09   | Selected Phthalates<br>Group 1<br>(BBP, DBP, DEHP)                                    | Children's toy or child care article   | 0.1% by weight<br>(1 000 ppm) in plasticized material |
| R        | C10   | Selected Phthalates<br>Group 2<br>(DIDP, DINP, DNOP)                                  | Children's toy or child<br>care article that can be<br>placed in a child's mouth | 0.1% by weight<br>(1 000 ppm) in plasticized material |
| R        | C11   | Dimethyl fumarate<br>(CAS# 624-49-7)  | All  | 0.00001% by weight (0.1 ppm) in a material            |
| R        | <u>C47</u>                                  | Di-isodecyl phthalate (DIDP)  | All  | Intentionally added                                   |
| <u>R</u> | <u>C48</u>                                  | <u>Di-n-hexyl Phthalate (DnHP)</u>  | All  | Intentionally added                                   |

Note 7) <u>C47</u> and <u>C48</u> (phthalate esters) in the above substance group classifications are substances that were added to the IEC62474 DB substance list (Version D4.00) in June 2013. Proposition 65 (warning label requirements) of the State of California in the United States is excerpted, and intended uses include cable plasticizer, etc.

# Annex A-2. List of SVHCs Covered by REACH (Excerpted from JIG-101 Ed 4.1 Annex A tables and part of IEC62474 DB declarable substance list)

Note 1) This Annex lists only SVHCs that are substance groups that are applicable in "5. (4) Substance group information" of this Manual, and represent <u>substance name</u> for which reponses are made in Level 3 in the Survey Response Tools Ver4.31.

(Substances that were added to Tools Ver4.20 (26 substances) are indicated with an <u>underline.</u>) These 26 substances were selected based on the results of a screening by VT62474's validation team (international) of SVHCs (total of 67 substances) published by the ECHA in June and December of 2012.

Note 2) The "Reportable Application" of SVHCs in this Annex is "All."

Note 3) The threshold level is 0.1% by weight (1,000 ppm) of the product.

Note 4) As with other SVHCs, the threshold level of A17: Tributyl Tin Oxide (TBTO) in this Annex is 0.1% by weight (1,000 ppm) of the product.

|          | Substance                      |   |             |   |
|----------|--------------------------------|---|-------------|---|
| Criteria | group<br>classification<br>No. | Substance groups<br>(SVHC)  | CAS #       | Substance name  |
| R        | A17                            | Tributyl Tin Oxide<br>(TBTO)  | 56-35-9     | Tributyl Tin Oxide (TBTO)   |
| R        | A20                            | Diarsenic Pentoxide   | 1303-28-2   | Diarsenic Pentoxide   |
| R        | A21                            | Diarsenic Trioxide  | 1327-53-3   | Diarsenic Trioxide  |
|          |                                |   | 25637-99-4  | Hexabromocyclododecane (HBCDD)  |
|          |                                | Hexabromocyclododecane  | 3194-55-6   | 1,2,5,6,9,10-Hexabromocyclododecane   |
| R        | B11                            | (HBCDD) and all major   | 134237-50-6 | α-Hexabromocyclododecane  |
|          |                                | diastereoisomers  | 134237-51-7 | β-Hexabromocyclododecane  |
|          |                                |   | 134237-52-8 | γ-Hexabromocyclododecane  |
| R        | B09                            | Shortchain Chlorinated<br>Paraffins (C10 - C13)                                       | 85535-84-8  | Shortchain Chlorinated Paraffins (C10 - C13)  |
| R        | B16                            | Tris (2-chloroethyl) phosphate<br>(TCEP)  | 115-96-8    | Tris (2-chloroethyl) phosphate (TCEP)   |
| R        | C12                            | Di(2-ethylhexyl) phthalate<br>(DEHP)  | 117-81-7    | Di(2-ethylhexyl) phthalate (DEHP)   |
| R        | C13                            | Dibutyl phthalate (DBP)   | 84-74-2     | Dibutyl phthalate (DBP)   |
| R        | C14                            | Butylbenzyl phthalate (BBP)   | 85-68-7     | Butylbenzyl phthalate (BBP)   |
| R        | A22                            | Cobalt dichloride (CoCl2)   | 7646-79-9   | Cobalt dichloride (CoCl2)   |
| R        | A25                            | Lead chromate   | 7758-97-6   | Lead chromate   |
| R        | A26                            | Lead chromate molybdate<br>sulphate red (C.I. Pigment<br>Red 104)                     | 12656-85-8  | Lead chromate molybdate sulphate red (C.I.<br>Pigment Red 104)                        |
| R        | A27                            | Lead sulfochromate yellow<br>(C.I. Pigment Yellow 34)                                 | 1344-37-2   | Lead sulfochromate yellow (C.I. Pigment<br>Yellow 34)                                 |
| R        | C15                            | Diisobutyl phthalate (DIBP)   | 84-69-5     | Diisobutyl phthalate (DIBP)   |
| R        | C16                            | Refractory Ceramic Fibres,<br>Aluminosilicate   | -           | Refractory Ceramic Fibres, Aluminosilicate  |
| R        | C17                            | Refractory Ceramic Fibres,<br>Zirconia Aluminosilicate                                | -           | Refractory Ceramic Fibres, Zirconia<br>Aluminosilicate                                |
| R        | C18                            | Boric acid  | 10043-35-3  | Boric acid  |
| ĸ        | 010                            | DUIIC ACIU  | 11113-50-1  | Boric acid  |
|          |                                |   | 1303-96-4   | Disodium tetraborate decahydrate  |
| R        | C19                            | Disodium tetraborate,<br>anhydrous  | 1330-43-4   | Disodium tetraborate, anhydrous   |
|          |                                | annyarous   | 12179-04-3  | Disodium tetraborate, pentahydrate  |
| R        | C20                            | Tetraboron disodium<br>heptaoxide, hydrate  | 12267-73-1  | Tetraboron disodium heptaoxide, hydrate   |
| R        | C21                            | 1,2-Benzenedicarboxylic acid,<br>di-C6-8-branched alkyl esters,<br>C7-rich (DIHP)     | 71888-89-6  | 1,2-Benzenedicarboxylic acid,<br>di-C6-8-branched alkyl esters, C7-rich<br>(DIHP)     |
| R        | C22                            | 1,2-Benzenedicarboxylic acid,<br>di-C7-11-branched and linear<br>alkyl esters (DHNUP) | 68515-42-4  | 1,2-Benzenedicarboxylic acid,<br>di-C7-11-branched and linear alkyl esters<br>(DHNUP) |

| Criteria | Substance<br>group<br>classification<br>No. | Substance groups<br>(SVHC)  | CAS #             | Substance name   |
|----------|---|---|-------------------|--|
| A        | B17   | 4-[4,4'-bis(dimethylamino)<br>benzhydrylidene]<br>cyclohexa-2,5-dien-1-ylidene]<br>dimethylammonium chloride<br>(C.I. Basic Violet 3) | 548-62-9          | 4-[4,4'-bis(dimethylamino) benzhydrylidene]<br>cyclohexa-2,5-dien-1-ylidene]<br>dimethylammonium chloride (C.I. Basic Violet<br>3) |
| R        | A29   | Strontium chromate  | 7789-06-2         | Strontium chromate   |
| R        | B20   | 2,2'-dichloro-4,4'-methylenedi aniline (MOCA)   | 101-14-4          | 2,2'-dichloro-4,4'-methylenedianiline (MOCA)   |
| R        | A30   | Potassium<br>hydroxyoctaoxodizincate<br>dichromate  | 11103-86-9        | Potassium hydroxyoctaoxodizincate dichromate   |
| R        | A31   | Pentazinc chromate<br>octahydroxide   | 49663-84-5        | Pentazinc chromate octahydroxide   |
| R        | C23   | Bis(2-methoxyethyl) phthalate   | 117-82-8          | Bis(2-methoxyethyl) phthalate  |
| R        | C24   | 4-(1,1,3,3-tetramethylbutyl)ph<br>enol,<br>(4-tert-Octylphenol)   | 140-66-9          | 4-(1,1,3,3-tetramethylbutyl)phenol,<br>(4-tert-Octylphenol)  |
| R        | C25   | Bis(2-methoxyethyl) ether   | 111-96-6          | Bis(2-methoxyethyl) ether  |
| R        | C26   | N,N-dimethylacetamide<br>(DMAC)   | 127-19-5          | N,N-dimethylacetamide (DMAC)   |
| <u>R</u> | <u>B23</u>                                  | Decabromodiphenyl ether   | <u>1163-19-5</u>  | Decabromodiphenyl ether  |
| <u>R</u> | <u>A48</u>                                  | <u>Sulfurous acid, lead salt,</u><br>dibasic  | <u>62229-08-7</u> | Sulfurous acid, lead salt, dibasic   |
| <u>R</u> | <u>C38</u>                                  | <u>1,2-bis(2-methoxyethoxy)etha</u><br>ne (TEGDME; triglyme)  | <u>112-49-2</u>   | <u>1,2-bis(2-methoxyethoxy)ethane (TEGDME;</u><br>triglyme)  |
| <u>R</u> | <u>A49</u>                                  | Trilead dioxide phosphonate   | <u>12141-20-7</u> | Trilead dioxide phosphonate  |
| <u>R</u> | <u>C39</u>                                  | <u>1,2-dimethoxyethane:</u><br>ethylene glycol dimethyl ether<br>(EGDME)  | <u>110-71-4</u>   | <u>1,2-dimethoxyethane; ethylene glycol</u><br>dimethyl ether (EGDME)  |
| <u>R</u> | <u>C40</u>                                  | 4-Aminoazobenzene   | <u>1960/9/3</u>   | 4-Aminoazobenzene  |
| <u>R</u> | <u>A50</u>                                  | Tetralead trioxide sulfate  | <u>12202-17-4</u> | Tetralead trioxide sulfate   |
| <u>R</u> | <u>A51</u>                                  | Orange lead (lead tetroxide)  | <u>1314-41-6</u>  | Orange lead (lead tetroxide)   |
| <u>R</u> | <u>A52</u>                                  | Pyrochlore, antimony lead<br>yellow   | <u>8012-00-8</u>  | Pyrochlore, antimony lead yellow   |
| <u>R</u> | <u>A53</u>                                  | Pentalead tetraoxide sulphate   | <u>12065-90-6</u> | Pentalead tetraoxide sulphate  |
| <u>R</u> | <u>C41</u>                                  | 1,2-Diethoxyethane  | <u>629-14-1</u>   | 1,2-Diethoxyethane   |
| <u>R</u> | <u>C42</u>                                  | Diboron trioxide  | <u>1303-86-2</u>  | Diboron trioxide   |
| <u>R</u> | <u>A54</u>                                  | Dibutyltin dichloride (DBTC)  | <u>683-18-1</u>   | Dibutyltin dichloride (DBTC)   |
| <u>R</u> | <u>A55</u>                                  | Lead cynamidate   | <u>20837-86-9</u> | Lead cynamidate  |
| <u>R</u> | <u>C43</u>                                  | N,N-dimethylformamide   | <u>1968/12/2</u>  | N,N-dimethylformamide  |
| <u>R</u> | <u>A56</u>                                  | Silicic acid (H2Si2O5), barium<br>salt (1:1), lead-doped  | <u>68784-75-8</u> | Silicic acid (H2Si2O5), barium salt (1:1),<br>lead-doped   |
| <u>R</u> | <u>C44</u>                                  | 1.2-Benzenedicarboxylic acid,<br>dipentylester, branched and<br>linear  | <u>84777-06-0</u> | 1.2-Benzenedicarboxylic acid, dipentylester,<br>branched and linear  |
| <u>R</u> | <u>C45</u>                                  | Diisopentylphthalate (DIPP)   | <u>605-50-5</u>   | Diisopentylphthalate (DIPP)  |
| <u>R</u> | <u>C46</u>                                  | N-pentyl-isopentylphthalate   | 776297-69-9       | N-pentyl-isopentylphthalate  |
| <u>R</u> | <u>A57</u>                                  | Lead titanium trioxide  | <u>12060-00-3</u> | Lead titanium trioxide   |
| <u>R</u> | <u>A58</u>                                  | Lead titanium zirconium oxide   | <u>12626-81-2</u> | Lead titanium zirconium oxide  |
| <u>R</u> | <u>A59</u>                                  | Lead oxide sulfate  | <u>12036-76-9</u> | Lead oxide sulfate   |
| <u>R</u> | <u>A60</u>                                  | [Phthalato(2-)]dioxotrilead   | <u>69011-06-9</u> | [Phthalato(2-)]dioxotrilead  |
| <u>R</u> | <u>A61</u>                                  | Dioxobis(stearato)trilead   | <u>12578-12-0</u> | Dioxobis(stearato)trilead  |
| <u>R</u> | <u>A62</u>                                  | Fatty acids, C16-18, lead salts   | <u>91031-62-8</u> | Fatty acids, C16-18, lead salts  |
| <u>R</u> | <u>A63</u>                                  | Lead dinitrate  | <u>10099-74-8</u> | Lead dinitrate   |

### Exhibit 1: Content Judgment Flow 1.

(Correlation between reportable application and threshold level)

Follow the flow chart below to rate Y or N for the content flag (Y/N) for each of the substance groups in Annex A-1.



## Exhibit 2: Content Judgment Flow 2. (Dual threshold level)

< Quotation from JIG -101 Ed 4.1 Annex C>

Note 1: This flow chart is applicable to the following substance groups.

A10: Mercury/mercury compounds (All, except batteries)

A17: Tributyl Tin Oxide (TBTO)

A28: Tri-substiituted organostannic compounds

B03: Polybrominated Diphenylethers (PBDEs)

B13: Perfluorooctane sulfonate (PFOS)

- Note 2: "Does the content of the substance based on the defined denominator exceed the specific numerical value?" in the flow chart refers to, for example, the "0.1% by weight of homogeneous materials" for whether the homogeneous materials exceed 0.1% by weight as a denominator in the case of A10: Mercury/mercury compound. In the case of A17: Tributyl tin oxide (TBTO), this refers to "0.1% by weight of product" for whether the product exceeds 0.1% by weight as a denominator.
- Note 3: In the case of A17: Tributyl tin oxide (TBTO), only "intentionally added" is applied to the threshold level as a Level 2 substance group in the Survey Response Tools, and only "0.1% by weight of product" is applied as a Level 3 SVHC.



### Exhibit 3: Response Example 1. (Unit that includes a battery)

- Example situation: A "battery unit," which is a product by a certain unit manufacturer, is comprised of a battery (single) and battery case. The following is an example of a response (lead/lead compounds only) from this unit manufacturer to the customer, which was created based on the content information (response) obtained by the supplier of each of these component parts.
- Points: For the content flag (Y/N) for the applicable substance groups, Y/N is rated even when a part that is subject to "Reportable Application" is installed in the product supplied by the surveyed company, as a part that is subject to "Reportable Application." (Refer to 5. (4) and Exhibit 1. Content Judgment Flow 1.)
  - In the example below, the content information associated with the content flag (Y) relating to the battery that was obtained from the supplier is reflected in the response as a battery unit.
  - The threshold level for when the "Reportable Application" of A09: Lead/lead compounds is "All" is "0.1% by weight of homogeneous material (1,000 ppm)." However, when the total content (2.5 mg) of lead contained in the battery is calculated in comparison to the weight of the battery (5 g), the concentration is 500 ppm, and since this exceeds the threshold level (40 ppm) of the battery, the content flag for lead/lead compounds as a battery unit was rated as Y.

|                           | Example of responses from each supplier; <regarding compounds="" lead="" only=""></regarding> |                            |  |                              |                                |                              |   |  |   |
|---------------------------|---|----------------------------|--|------------------------------|--------------------------------|------------------------------|---|--|---|
| nponent part<br>Supplier) | Survey unit<br>mass(g)  | Substance groups           | Content flag<br>(Y/N)                            | Total content<br>(mg)        | Intended use classification    |                              | Purpose of<br>intended use  | Application  | Maximum content rate<br>of homogeneous<br>material (ppm)  |
| Battery<br>ompany A)      | 5   | A09:Lead/lead<br>compounds | Y  | 2.5                          | Pb-B-1                         | exceeding 40ppm by weight of | Alloy   | Cathode of<br>the battery:<br>Zinc CAN   | 150   |
|                           |   |                            |  |                              |                                |                              | [   |  |   |
| ompany B)                 | 10  | A09:Lead/lead<br>compounds | Ν  | -                            | -                              | -                            | -   | -  | -   |
|                           |   |                            |  |                              |                                |                              |   |  |   |
|                           | Battery<br>impany A)  | Battery<br>mpany A) 5      | Battery<br>mpany A) 5 A09:Lead/lead<br>compounds | tery case 10 A09:Lead/lead N | tery case 10 A09:Lead/lead N - | tery case 10 A09:Lead/lead N | Supplier)     mass(g)     Image: Compound set of the battery       Battery mpany A)     5     A09:Lead/lead compound set of the battery.       tery case     10     A09:Lead/lead N | Supplier)     mass(g)     Intended use       Battery<br>mpany A)     5     A09:Lead/lead<br>compounds     Y     2.5     Pb-B-1     Batteries containing lead<br>exceeding 40ppm by weight of<br>the battery.     Alloy | Supplier)     mass(g)     read/lead     Y     2.5     Pb-B-1     Batteries containing lead<br>exceeding 40ppm by weight of<br>the battery.     Alloy     Cathode of<br>the battery:<br>Zinc CAN       tery case     10     A09:Lead/lead<br>compounds     N     -     -     -     -     - |

| -   | Example of response regarding battery unit (survey item); < Regarding lead/lead compounds only> |                            |                       |                       |        |   |                            |  |  |  |
|---|---|----------------------------|-----------------------|-----------------------|--------|---|----------------------------|--|--|--|
| 2. Response to customer of unit<br>manufacturer | Survey unit<br>mass(g)  | Substance groups           | Content flag<br>(Y/N) | Total content<br>(mg) | Int    | ended use classification  | Purpose of<br>intended use | Application                            | Maximum content rate<br>of homogeneous<br>material (ppm) |  |
| Battery unit                                    | 15  | A09:Lead/lead<br>compounds | Y                     | 2.5                   | Pb-B-1 | Batteries containing lead<br>exceeding 40ppm by weight of<br>the battery. | Alloy                      | Cathode of<br>the battery:<br>Zinc CAN | 150  |  |

### Exhibit 3: Response Example 2.

(Case where contained substance is applicable to responses for both substance group and SVHC, Part 1)

- Situation: 1. The unit mass of a plastic part (homogeneous material) that is a product supplied by the surveyed company is 200 q.
  - 2. This plastic part contains 0.2% (0.4 g) lead chromate (CAS No. 7758-97-6) as a colorant.
- · Although lead chromate is on the REACH candidate list of SVHC, it is also a substance Points: applicable to the RoHS Directive (lead compound, hexavalent chromium compound) depending on the state of its content and thus, a response at the substance group level
  - In this example, the content flag is Y since the content of lead chromate exceeds the threshold level(1000ppm) for A09: Lead/Chromium VI compounds, and a response for each content information is required.
  - The content flag for A07: Chromium VI compounds is N due to the threshold level(1000ppm) falling below the specified level, but this is an example where responses have been voluntarily entered regarding information on each of the contents.
  - Since the lead chromate as an SVHC on level 3 exceeds the threshold level of 0.1% (denominator for the calculation formula is the unit mass of the supplied product). responses are entered for information on each of the contents.

#### State of content:

- •Mass of lead in lead chromate (0.4 g) = 0.4 g X 0.641 (metal conversion factor) = 0.2564 g Content rate of lead in homogeneous material =  $(0.2564 \text{ g} \div 200 \text{ g}) \times 100 = 0.1282\% \Rightarrow$ 1300 ppm
- Mass of hexavalent chromium in lead chromate (0.4 g) = 0.4 g X 0.161 (metal conversion factor) = 0.0644q = 64mg, content rate of hexavalent chromium n homogeneous material  $= (0.0644 \text{ g} \div 200 \text{ g}) \times 100 = 0.0322\% = 320 \text{ ppm}$
- Weight concentration per surveying unit of lead chromate  $(0.4 \text{ g}) = (0.4 \text{ g} \div 200 \text{ g}) \times 100 =$ 0.2%

| Response to<br>customer | Response at            | substance group level  | (Image of Le | evel 2 of Survey a         | and Respo        | onse Tool)  |          |                      |      |
|-------------------------|------------------------|--|--------------|----------------------------|------------------|---|----------|----------------------|------|
| Survey item             | Survey unit<br>mass(g) | Substance groups Content flag (Y/N) Total content (mg) Intended use classification |              | Purpose of<br>intended use | Application area | Maximum content<br>rate of<br>homogeneous<br>material (ppm)   |          |                      |      |
| Plastic                 | 200                    | A09:Lead/lead compounds  | Y            | 260                        |                  | Cases containing intentionally<br>added lead above 1000ppm in<br>homogeneous material, excluding<br>specified uses. (* Details in column<br>on the right.)        | Colorant | Plastic<br>component | 1300 |
| component               | 200                    | A07:Hexavalent Chromium<br>Compounds   | N            | 64                         |                  | Cases containing 100ppm or less<br>of intentionally added cadmium in<br>homogeneous material, excluding<br>specified uses. (* Details in column<br>on the right.) | Colorant | Plastic<br>component | 320  |

## Response as SVHC (Image of Level 3 of Survey and Response Tool)

| Substance<br>group<br>classification<br>No. | Substance groups   | Intended use<br>classification<br>codes           |  |  |  |  |  |  |
|---|--|---|--|--|--|--|--|--|
| J01   | Specific REACH candidate list of SVHC<br>for authorization.<br>See Material Composition Survey and<br>Response Manual, Ver.4.1 in Annex A-2. |   | In cases where an individual substance(SVHC) | In cases where an individual substance(SVHC) exceeds 0.1% by weight of a survey unit |  |  |  |  |
| CAS #                                       | Information on specific substances contained   | Compound<br>content per<br>surveying unit<br>(mg) | Purpose of<br>intended use                   | Application area   | Weight<br>concentration per<br>surveying unit<br>(wt%) |  |  |  |
| 7758-97-6                                   | Lead chromate  | 400   | Colorant                                     | Plastic component  | 0.2  |  |  |  |

\*Note: Substance group classification no. J01 is a hypothetical substance group that is set only for the Survey and Response Tool.

### Exhibit 3: Response Example 3.

(Case where contained substance is applicable to responses for both substance group and SVHC, Part 2)

- Situation: 1. The unit mass of a product supplied by the surveyed company (Product A) is 200 g.
  - 2. 5% (2.5 g) of Bis (2-ethylhexyl) phthalate (DEHP) CAS No. 117-81-7 only is contained in the plasticized material (50 g) of this product.
  - 3. In addition to the plasticized materials, this product contains 150 g of an electronic component. (No contained substances)
- Points: Although DEHP is on the REACH candidate list of SVHCs, it is also applicable to Appendix 17 (restricted substances) of the REACH Regulation depending on the state of its content, and responses at the substance group level on Level 2 are necessary. In such a case, the applicable substance group is C09: Phthalates Group 1 (BBP, DBP, DEHP).
  - In general, for this supplied product, it is difficult for the surveyed company to judge whether this requester's product corresponds to "toy or child care article," which is a "reportable application." Accordingly, this example represents intended usage classification code: C09-J-2 (content flag is Y) that is selected when the content in homogeneous material of C09: Selected Phthalates Group 1 (BBP, DBP, DEHP) exceeds a threshold level of 0.1% (1,000 ppm).
    - Note) Even if the content of C09 in supplied product exceeds 0.1A% (1,000 ppm), if it is known that the product will not be used as a "toy or child care article," it is possible to make the response one where C09-J-96 (content flag is N; for use other than as a product and content exceeds 0.1%) is selected.
  - In this response example, there is DEHP content that exceeds the threshold level as both the substance group C09 on level 2: Phthalates Group 1 (BBP, DBP, DEH) and SVHC on level 2, due to the following content state, and responses are necessary.

State of content:

- Content rate of homogeneous material of DEHP = (2.5 g÷50 g) X 100 = 5.00% = 50,000 ppm
- Weight concentration per surveying unit of DEHP = (2.5 g÷200 g) X 100 = 1.25%

| Response to customer |    | Response a             | at substance group level                             | (Image of Le          | evel 2 of Survey a | and Respon | nse Tool)  |                            |                  |   |
|----------------------|----|------------------------|--|-----------------------|--------------------|------------|--|----------------------------|------------------|---|
| Survey item          |    | Survey unit<br>mass(g) | Substance groups                                     | Content flag<br>(Y/N) | Total content (mg) | Ir         | tended use classification  | Purpose of<br>intended use | Application area | Maximum content<br>rate of<br>homogeneous<br>material (ppm) |
| Product A            | -> | 200                    | C09: Selected Phthalates<br>Group 1 (BBP, DBP, DEHP) | Y                     | 2500               | C09-J-1    | In cases where the total content of<br>BBP, DBP, and DEHP in<br>homogeneous material is over 0.1%<br>by weight | Plasticizer                | XYZ              | 50000   |

#### Response as SVHC (Image of Level 3 of Survey and Response Tool)

| Substance<br>group<br>classification<br>No. | Substance groups   | Intended use<br>classification<br>codes        | Intended use classification  |                  |  |  |  |  |
|---|--|--|--|------------------|--|--|--|--|
| J01   | Specific REACH candidate list of SVHC for<br>authorization.<br>See Material Composition Survey and<br>Response Manual, Ver.4.1 in Annex A-2. | J01-J-0  | In cases where an individual substance(SVHC) exceeds 0.1% by weight of a survey unit |                  |  |  |  |  |
| CAS #                                       | Information on specific substances contained   | Compound content<br>per surveying unit<br>(mg) | Purpose of<br>intended use   | Application area | Weight<br>concentration per<br>surveying unit<br>(wt%) |  |  |  |
| 117-81-7                                    | Di(2-ethylhexyl) phthalate (DEHP)  | 2500   | Plasticizer  | XYZ              | 1.25   |  |  |  |

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\*Note: Substance group classification no. J01 is a hypothetical substance group that is set only for the Survey and Response Tool.

### Exhibit 4: Intended Use Classification List (Annex A-1: Four Heavy Metals) 1/3

Note 1) The ! mark in the column for Relevant regulation in the chart indicates that the intended use classification corresponds to an exemption as defined in the RoHS Directive (R), ELV Directive (E), or both Directives (RE).

Note 2): About the intended use classification codes (Hg-R-xx) for A10: Mercury/mercury compounds These codes were created based on the contents of exemption revisions in the RoHS Directive published in the EU gazette in September 2010, but the validity date and content may be set in a further detailed manner depending on the various types of lamps. Accordingly, when there is mercury content, refer to the latest official gazette when judging application, etc. of RoHS exemption, and select an appropriate intended use classification code.

This manual (compatible with Tools Ver4.31) reflects the exceptions in the RoHS Directive and ELV Directive as of May 2013, and deletion, revision and addition of usage classifications have been carried out.

| Classific |                            | Relevant | regulation | Content flag                 | Intended use         |  |
|-----------|----------------------------|----------|------------|------------------------------|----------------------|--|
| ation No. | Substance group            | RoHS     | ELV        | coresponding to<br>the right | classification codes | Intended use classification  |
|           |                            | !        |            |                              | Cd-R-3               | Printing inks for the application of enamels on glasses, such as borosilicate and soda lime glasses containing cadmium exceeding 100ppm in homogeneous<br>material.  |
|           |                            | !        |            |                              | Cd-R-4               | Cadmium exceeding 100ppm in homogeneous material in alloys as electrical/mechanical solder joints to electrical conductors located directly on the voice<br>coil in transducers used in high-powered loudspeakers with sound pressure levels of 100 dB (A) and more.                 |
|           |                            | !        |            |                              | Cd-R-6               | Cadmium, exceeding 100ppm in homogeneous material, in cadmium oxide in thick film pastes used on aluminium bonded beryllium oxide.   |
|           |                            | 1        |            |                              | Cd-R-8               | Cadmium in an electric point that exceeds 100ppm in homogeneous material   |
|           |                            | !        |            |                              | Cd-R-9               | Cadmium in glass used for a filter glass or reflectance standards that exceeds 100ppm in homogeneous material  |
|           | Cadmium and                | !        |            | Y                            | Cd-R-10              | Cadmium in a color conversion II-VI family LED used for solid-state lighting or a display system that exceeds 100ppm in homogeneous material ("cadmium per<br>square millimeter in a light emission area" < 10 µ g Cd)   |
| A05       | Cadmium<br>Compounds       | !        |            |                              | Cd-R-11              | Cadmium in photoresistor of an analog optical coupler used for professional audio equipment that exceeds 100 ppm in homogeneous material   |
|           |                            |          | !          |                              | Cd-E-2               | Batteries for electric vehicles containing cadmium exceeding 100ppm in homogeneous material.   |
|           |                            |          |            |                              | Cd-B-2               | Batteries containing cadmium exceeding 10ppm by weight of the battery.   |
|           |                            |          |            |                              | Cd-J-0               | Cases containing intentionally added cadmium exceeding 100ppm in homogeneous material, excluding specified uses. (* Details in column on the right.)   |
|           |                            |          |            |                              | Cd-J-99              | Containing cadmium above 100pmm in homogeneous material. : Impurities/recycled materials/contamination   |
|           |                            |          |            |                              | Cd-R-0               | Cases containing 100ppm or less of intentionally added cadmium in homogeneous material, excluding specified uses. (* Details in column on the right.)  |
|           |                            |          |            | N                            | Cd-RE-98             | Containing 100ppm or less of cadmium in homogeneous material. : Impurities/recycled materials/contamination  |
|           |                            | !        |            |                              | Cr-R-2               | Hexavalent chromium up to 0.75% by weight as antirust for a carbon steel cooling system in an absorption refrigerator that exceeds 1000ppm in<br>homogeneous material  |
|           | -                          |          | !          | Y                            | Cr-E-1               | Anti-corrosion coatings containing hexavalent chromium exceeding 1000ppm in homogeneous material.(Other than below Cr-E-2)   |
|           |                            |          | !          |                              | Cr-E-2               | Corrosion preventive coating related to bolt and nut assembles for chassis applications, containing hexavalent chromium exceeding 1000ppm in homogeneous<br>material.  |
|           | Hexavalent                 |          | !          | Ŷ                            | Cr-E-3               | (Absorption) refrigerators in motor caravans containing hexavalent chromium up to 0.75% by weight, exceeding 1000 ppm in homogeneous material  |
| A07       | Chromium<br>Compounds      |          |            |                              | Cr-J-0               | Cases containing intentionally added hexavalent chromium exceeding 1000ppm in homogeneous material, excluding specified uses. (* Details in column on the right.)  |
|           |                            |          |            |                              | Cr-J-99              | Containing hexavalent chromium above 1000ppm in homogeneous material. : Impurities/recycled materials/contamination  |
|           |                            |          |            | N                            | Cr-R-0               | Cases containing 1000ppm or less of intentionally added hexavalent chromium in homogeneous material, excluding specified uses. (* Details in column on the right.)   |
|           |                            |          |            |                              | Cr-RE-98             | Containing 1000ppm or less of hexavalent chromium in homogeneous material. : Impurities/recycled materials/contamination.  |
|           |                            | !        | !          |                              | Pb-RE-3              | Steel materials (including batch type molten zinc plating, free-machining steel) containing up to 0.35% of lead by weight, exceeding 1000 ppm in<br>homogeneous material   |
|           |                            | !        | !          |                              | Pb-RE-4              | Copper alloy containing 4% or less, exceeding 1000ppm in homogeneous material, of lead by weight (e.g. brass, phosphor bronze)   |
|           |                            | !        | !          |                              | Pb-RE-5              | Lead in a cathode ray tube that exceeds 1000ppm in homogeneous material  |
|           |                            | !        | !          |                              | Pb-RE-6              | Lead up to 0.2% by weight in glass of a fluorescent tube that exceeds 1000ppm in homogeneous material  |
|           |                            | !        | !          |                              | Pb-RE-7              | An electricity or electronic component of other than dielectric ceramics (e.g., piezoelectric device), automobile valves, and automobile plugs in a capacitor that<br>contains lead in glass, ceramic, or glass/ceramic matrix compounds, exceeding 1000 ppm in homogeneous material |
|           |                            | !        | !          |                              | Pb-RE-8              | Lead in dielectric ceramic materials used in capacitors with a rated voltage of 125V AC or 250V DC or higher, exceeding 1000ppm in homogeneous material<br>and excluding the intended uses indicated in Pb-RE-12 and Pb-E-20.  |
|           |                            | !        | !          |                              | Pb-RE-12             | Lead in PTZ-based dielectric ceramic materials in a capacitor part of an IC chip or a discrete semiconductor (single function semiconductor), exceeding 1000 ppm in homogeneous material   |
| A09       | Lead and Lead<br>Compounds |          | !          | Y                            | Pb-E-20              | Lead in a dielectric ceramic for a capacitor part of ultrasonic sonar sensor, exceeding 1000 ppm in homogenous material  |
|           | (continuing)               | !        | !          |                              | Pb-RE-10             | Lead used for a C-press compliant pin connector/system that exceeds 1000ppm in homogeneous material  |
|           |                            | !        |            |                              | Pb-R-1               | Aluminum materials containing 0.4% or less, exceeding 1000ppm in homogeneous material, of lead by weight   |
|           |                            | 1        |            |                              | Pb-R-2               | Lead in high-melting point solder (lead-based alloys containing 85 % by weight or more lead)   |
|           |                            | !        |            |                              | Pb-R-3               | Lead, exceeding 1000ppm in homogeneous material, in soldering for servers, storage and storage array systems, and network infrastructure equipment for<br>switching, signaling, transmission and network management for telecommunication  |
|           |                            | !        |            |                              | Pb-R-5               | Coating material for thermal conduction module C-rings, containing lead exceeding 1000ppm in homogeneous material.   |
|           |                            | -        |            |                              | Pb-R-8               | Lead, exceeding 1000ppm in homogeneous material, in solder for connecting semiconductor dies and carriers in flip chip IC packages   |
|           |                            | !        |            |                              | Pb-R-10              | Lead, exceeding 1000ppm in homogeneous material, in linear incandescent lamps with silicate coated tubes.  |
|           |                            | !        |            |                              | Pb-R-11              | Lead halide, containing lead exceeding 1000ppm in homogeneous material, as radiant agent in High Intensity Discharge(HID) lamps used for professional<br>reprography applications.   |

## Exhibit 4: Intended Use Classification List (Annex A-1: Four Heavy Metals) 2/3

| Classific |                          | Relevant | regulation | Content flag                 | Intended use   |  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|-----------|--------------------------|----------|------------|------------------------------|--|--|--|---|---|---|---------|---|---|--|--|--------|---|--------|--|
| ation No. | Substance group          | RoHS     | ELV        | coresponding to<br>the right | classification codes   | Intended use classification  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-15  | Lead, exceeding 1000ppm in homogeneous material, in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glasses  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-17  | Lead contained in finishing agents of 0.65 mm or finer pitch components other than connectors, exceeding 1000ppm in homogeneous material   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              | Pb-B-18  | Lead, exceeding 1000ppm in homogeneous material, in solders for the soldering to machined through hole discoidal and planar array ceramic multilayer   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | :        |            |                              | F D=R=10   |  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-22  | Lead, exceeding 1000ppm in homogeneous material, in lead bound in crystal glass as defined in Annex I (Categories 1,2,3 and 4) of Council Directive 69/493/EEC.  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-23  | Lead, exceeding 1000ppm in homogeneous material, in soldering materials in mercury free flat fluorescent lamps (which e.g. are used for liquid crystal<br>displays, design or industrial lighting).  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-24  | Lead, exceeding 1000ppm in homogeneous material, in lead oxide in seal frit used for making window assemblies for Argon and Krypton laser tubes.   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-25  | Lead, exceeding 1000ppm in homogeneous material, in solders for the soldering of thin copper wires of 100 µm diameter and less in power transformers.  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-26  | Lead, exceeding 1000ppm in homogeneous material, in cermet-based trimmer potentiometer elements.   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-27  | Lead, exceeding 1000ppm in homogeneous material, in the plating layer of high voltage diodes on the basis of a zinc borate glass body.   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-30  | Lead in white glass used for an optical purpose containing lead that exceeds 1000ppm in homogeneous material   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | Pb-R-31  | Lead in glass used for a filter glass or reflectance standards containing lead that exceeds 1000ppm in homogeneous material  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              |  | Lead in a shell (exterior casing) or bushing (a cylindrical component fitted inside a hole) of a bearing for a compressor containing coolant for heating,  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            |                              | -  | Pb-R-32  | ventilation, air-conditioning, refrigeration, chilling, and HVACR, exceeding 1000ppm in homogeneous material |   |   |   |         |   |   |  |  |        |   |        |  |
|           | !<br>!<br>!              | !        |            |                              | Pb-R-33  | Lead (lead of 1% or less by weight) as activator in fluorescent powder of a discharge lamp used as a suntan lamp containing phosphor such as BPS (BaSi2O5/Pb) that exceeds 1000ppm in homogeneous material   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | ļ        |            |                              | Pb-R-34  | Lead, exceeding 1000ppm in homogeneous material, as activator in the fluorescent powder (1% lead by weight or less) of discharge lamps when used as sun<br>tanning lamps containing phosphors such as BSP (BaSi2O5:Pb) as well as when used as speciality lamps for diazo-printing reprography, lithography, insect<br>traps, photochemical and curing processes containing phosphors such as SMS ((Sr, Ba)2MgSi2O7:Pb). |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            | 1                            | Pb-R-35  | Lead oxide, exceeding 1000ppm per unit of homogeneous material, used for structural elements on the surfaces of surface conduction<br>electron emitter displays (SED), notably seal frit and frit ring.  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          | !        |            | Pb-E-1                       | Aluminum with a lead content up to 1.5% by weight (0.4 <pb≦1.5wt%)< td=""></pb≦1.5wt%)<> |  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-3   | Lead, exceeding 1000ppm in homogeneous material, in bearing shells and bushes (alloy).   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-4   | Batteries containing lead exceeding 1000ppm in homogeneous material.   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           | Lead and Lead            |          | !          |                              | Pb-E-5   | Vibration dampers containing lead, exceeding 1000ppm in homogeneous material.  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           | Compounds<br>(continued) |          | !          | -                            | Pb-E-6   | Lead, exceeding 1000ppm in homogeneous material, in vulcanising agents and stabilisers for elastomers in fluid handling and powertrain applications<br>containing up to 0.5% lead by weight.   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | _  | _  | _  | _   | _ | - |         |   |   |  |  |        |   | Pb-E-7 | Lead, exceeding 1000ppm in homogeneous material, in bonding agents for elastomers in powertrain applications containing up to 0.5% lead by weight. |
|           |                          |          | !          |                              |  |  |  |   |   |   | Pb-E-10 | Valve seats containing lead exceeding 1000ppm in homogeneous material.  |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-11  | Pyrotechnic initiators containing lead exceeding 1000ppm in homogeneous material.  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-12  | Lead in solder for electric use that exceeds 1000ppm in homogeneous material (excluding solder on electronic circuit boards and glass)   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          | -                            | -  |  |  | -   |   |   | Pb-E-13 | Lead in solder for installing an electric or electronic component on an electronic circuit board or for a component terminal that exceeds 1000ppm in<br>homogeneous material (excluding electrolysis aluminum capacitors) |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-15  | Lead for soldering an air mass sensor on a glass surface that exceeds 1000ppm in homogeneous material  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-16  | Lead in solder for attaching a heat spreader and heat sink of a power semiconductor that exceeds 1000ppm in homogeneous material (The chip size is 1cm2<br>at least. The electric current density is 1A/mm2 at least.)   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-17  | Lead in solder for electric glazing on a glass surface that exceeds 1000ppm in homogeneous material (excluding solder for laminate glazing)  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-18  | Lead in solder used for laminate glazing that exceeds 1000ppm in homogeneous material  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | 1          |                              | Pb-E-19  | Lead content in a zincked serial steel sheet of up to 0.35% by weight, exceeding 1000 ppm in homogenous material   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              | Pb-E-21  | Lead-containing thermoelectric material used for automobile electrical equipment that reduces CO2 emission by exhaust heat exchange  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-22  | Lead in dielectric ceramic materials used in capacitors with a rated voltage of 125V AC or 250V DC or lower, exceeding 1000ppm in homogeneous material<br>and excluding the intended uses indicated in Pb-RE-12 and Pb-E-20.   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          | !          |                              | Pb-E-23  | Lead used for a compliant pin connector/system that exceeds 1000ppm in homogeneous material  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              | Pb-J-1   | Containing lead above 300ppm in homogeneous material, for use in vinyl chloride wires.   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              | Pb-J-3   | Containing above 0.009% of lead per surface treatment layer such as coating in parts/material used in toys.<br>(Select this item only when the surveyor states that your product is used for a toy.)   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              | Pb-J-4   | Use in products for children 12 years old and younger, containing lead exceeding 100ppm per surveying unit.<br>(Select this item only when the surveyor states that your product is used for a surveyor's product for children of twelve years of age or under.)   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              | Pb-B-1   | Batteries containing lead exceeding 40ppm by weight of the battery.  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              | Pb-J-0   | Cases containing intentionally added lead above 1000ppm in homogeneous material, excluding specified uses. (* Details in column on the right.)   |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            | -                            |  | -  | Pb-J-99  | Containing lead above 1000ppm in homogeneous material : Impurities/recycled materials/contamination |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              |  |  |  |   |   |   | +       | +   | + |  |  | Pb-R-0 | Cases containing 1000ppm or less of intentionally added lead in homogeneous material, excluding specified uses. (* Details in column on the right.) |        |  |
|           |                          |          |            | N                            | Pb-RE-98   | Containing 1000ppm or less of lead in homogeneous material : Impurities/recycled materials/contamination.  |  |   |   |   |         |   |   |  |  |        |   |        |  |
|           |                          |          |            |                              | 10-112-30  |  |  |   |   |   |         |   |   |  |  |        |   |        |  |

## Exhibit 4: Intended Use Classification List (Annex A-1: Four Heavy Metals) 3/3

| Classific | Substance areas                  | Relevant   | regulation  | Content flag                 | Intended use         | Intended use classification   |                                     |   |   |   |   |        |   |
|-----------|----------------------------------|--|---|------------------------------|----------------------|---|-------------------------------------|---|---|---|---|--------|---|
| ation No. | Substance group                  | RoHS   | ELV   | coresponding to<br>the right | classification codes | intended use classification   |                                     |   |   |   |   |        |   |
|           |                                  | !  |   |                              | Hg-R-26              | Mercury in a single-capped fluorescent lamp that does not exceed the following limitations (per burner):<br>(a) For general illumination less than 30W: 2.5mg<br>(b) For general illumination of 30W or higher and less than 50W: 3.5 mg<br>(c) For general illumination of 50W or higher and less than 150W: 5 mg<br>(d) For general illumination of 150W or higher. 15mg<br>(e) Having a circular or square structure, 17mm or less in tube diameter, and for general illumination: 7mg<br>(f) For a specific use: 5 mg |                                     |   |   |   |   |        |   |
|           |                                  | !         Hg-R-17         Mercury in a double-capped strip fluorescent lamp (in each individual lamp) for generi<br>(a) A three-wavelength phosphor of less than 9mm in tube diameter (e.g., 12) with no<br>(c) A three-wavelength phosphor of over 17mm and 28mm or less in tube diameter (e)<br>(d) A three-wavelength phosphor of over 28mm in tube diameter (e, d) A three-wavelength phosphor of over 28mm in tube diameter (e, d) A three-wavelength phosphor of over 28mm in tube diameter (e, d) A three-wavelength phosphor of over 28mm in tube diameter (e, d) A three-wavelength phosphor of over 28mm in tube diameter (e, d) A three-wavelength phosphor of over 28mm in tube diameter (e, d) A three-wavelength phosphor with long lifetime (> 25.000 h): 5mg           !         Hg-R-28         Mercury in a double-capped fluorescent lamp (in each individual lamp) not for general<br>(c) Mercury ocnetin not exceeding 15 mg in a nonlinear three-wavelength phosphor | Mercury in a double-capped strip fluorescent lamp (in each individual lamp) for general purposes that does not exceed the following limitations:<br>(a) A three-wavelength phosphor of less than 9mm in tube diameter (e.g., T2) with normal lifetime: 4mg<br>(b) A three-wavelength phosphor of 9mm or larger and of 17mm or less in tube diameter (e.g., T8) with normal lifetime: 3mg<br>(c) A three-wavelength phosphor of over 17mm and 28mm or less in tube diameter (e.g., T8) with normal lifetime: 3.5mg<br>(d) A three-wavelength phosphor of over 27mm in tube diameter (e.g., T12) with normal lifetime: 3.5mg<br>(e) A three-wavelength phosphor of over 27mm in tube diameter (e.g., T12) with normal lifetime: 3.5mg<br>(e) A three-wavelength phosphor with long lifetime (> 25,000 h): : Smg |                              |                      |   |                                     |   |   |   |   |        |   |
|           |                                  |  | Mercury in a double-capped fluorescent lamp (in each individual lamp) not for general purposes under the following conditions:<br>(b) Nonlinear white lamps of all tube diameter: mercury that does not exceed 15mg<br>(c) Mercury content not exceeding 15 mg in a nonlinear three-wavelength phosphor lamp of over 17 mm tube diameter (e.g., T9)<br>(d) Mercury content not exceeding 15 mg in a lamp for any other general illumination or specific purposes (e.g., induction lamps)  |                              |                      |   |                                     |   |   |   |   |        |   |
|           |                                  | !  |   | Y                            | Hg-R-9               | Mercury in a cold cathode ray fluorescent lamp or external electrode fluorescent lamp (CCFL or EEFL) for a specific purpose   |                                     |   |   |   |   |        |   |
| A10       | Mercury and Mercury<br>Compounds | !  |   |                              | Hg-R-20              | Mercury in a low-pressure discharge lamp (each individual lamp) other than Hg-R-26, Hg-R-17, Hg-R-28, or Hg-R-9   |                                     |   |   |   |   |        |   |
|           |                                  | ļ  |   |                              | Hg-R-11              | Mercury in an extra-high voltage sodium (vapor) lamp for general illumination with an improved color rendering index over 60  |                                     |   |   |   |   |        |   |
|           |                                  | !  |   |                              | Hg-R-12              | Mercury in an extra-high voltage sodium (vapor) lamp for general illumination of any other type (Hg-R-11)   |                                     |   |   |   |   |        |   |
|           |                                  | !  |   |                              | Hg-R-13              | Mercury in a high-pressure mercury vapor lamp (HPMV)  |                                     |   |   |   |   |        |   |
|           |                                  | !  |   | -                            | -                    | Hg-R-14   | Mercury in a metal halide lamp (MH) |   |   |   |   |        |   |
|           |                                  | ļ  |   |                              |                      | -   | -                                   | - |   | - |   |        |   |
|           |                                  |  |   |                              | Hg-B-1               | Containing intentionally added or 0.0001% by weight (1ppm) of mercury in of the battery   |                                     |   |   |   |   |        |   |
|           |                                  |  |   |                              | -                    | -   | -                                   | - | - | - | - | Hg-J-0 | Cases containing intentionally added mercury above 1000ppm in homogeneous material, excluding specified uses. (* Details in column on the right.) |
|           |                                  |  |   |                              | Hg-J-99              | Containing mercury above 1000ppm in homogeneous material : Impurities/recycled materials/contamination.   |                                     |   |   |   |   |        |   |
|           |                                  |  |   |                              | Hg-R-0               | Cases containing 1000ppm or less of intentionally added mercury in homogeneous material, excluding specified uses. (* Details in column on the right.)  |                                     |   |   |   |   |        |   |
|           |                                  |  |   | N                            | Hg-RE-98             | Containing 1000ppm or less of mercury in homogeneous material. : Impurities/recycled materials/contamination.   |                                     |   |   |   |   |        |   |

## Exhibit 5: Intended Use Classification List (Other than Four Heavy Metals in Annex A-1) 1/2

| Classific |  | Relevant | regulation | Content flag                 | Intended use         |   |
|-----------|--|----------|------------|------------------------------|----------------------|---|
| ation No. | Substance group  | RoHS     | ELV        | coresponding to<br>the right | classification codes | Intended use classification   |
|           |  |          |            |                              | Ni-J-1               | Cases containing intentionally added nickel, for use consisting of long-term contact with skin.   |
| A11       | Nickel   |          |            | Ý                            | Ni-J-2               | In cases where a product has an unclear purpose and nickel is intentionally added   |
|           |  |          |            | N                            | Ni-J-98              | Cases containing nickel other than Ni-J-1and Ni-J-2 (a product not staying in contact with skin for a considerable duration of time or containing impurities)   |
| A17       | Tributyl Tin Oxide   |          |            | Y                            | A17-J-4              | Cases containing intentionally added TBTO.  |
| All       | (TBTO, CAS.No.56-35-9)                                       |          |            | N                            | A17-J-98             | Cases containing impurities.  |
|           |  |          |            | Y                            | A28-J-4              | In cases where tin content in homogeneous material is over 0.1% by weight   |
| A28       | Tri-substiituted organostannic<br>compounds                  |          |            | Y                            | A28-J-5              | Cases intentionally added and contained for uses other than A28-J-4   |
|           |  |          |            | N                            | A28-J-97             | In cases where tin content in homogeneous material is 0.1% or less by weight  |
|           |  |          |            |                              | A23-J-1              | In cases where tin content in 1-component or 2-component room temperature vulcanized sealant (RTV-1/RTV-2 sealant) and adhesives exceeds 0.1% by weight per<br>homogeneous material   |
|           |  |          |            |                              | A23-J-2              | In cases where tin content exceeds 0.1% by weight in homogeneous material, and DBT compound is added to paint or coating for a molded item as accelerator   |
| A23       | Dibutyltin (DBT) compounds                                   |          |            | Ý                            | A23-J-3              | In cases where tin in soft PVC profile exceeds 0.1% by weight, regardless of soft PVC itself or co-extrusion with hard PVC  |
|           |  |          |            |                              | A23-J-4              | In cases where tin content per homogeneous material exceeds 0.1% by weight, excluding special uses (A23-J-1, 2, 3)  |
|           |  |          |            | N                            | A23-J-98             | In cases where tin content in homogeneous material is 0.1% or less by weight  |
|           |  |          |            | Y                            | A24-J-0              | <ul> <li>A cloth/leather part included in a surveyed product</li> <li>In cases where tin content in homogeneous material is over 0.1% by weight with a dual humoral room temperature curing molding kit (RTV-2 sealant molding kit) in use</li> </ul> |
| A24       | Dioctyltin (DOT) compounds                                   |          |            |                              | A24-J-1              | In cases where a product has an unclear purpose and DOT is intentionally added  |
|           |  |          |            | N                            | A24-J-98             | In cases where any dioctyl tin compound other than A24-J-0 and A24-J-1 is contained   |
| A19       | Beryllium Oxide  |          |            | Y                            | A19-J-0              | Cases containing above 0.1% beryllium oxide by weight per surveying unit.   |
|           | (CAS No. 1304-56-9)  |          |            | N                            | A19-J-98             | Cases containing up to 0.1% beryllium oxide by weight per surveying unit.   |
|           |  |          | Y          |                              | B02-J-0              | Cases containing intentionally added PBB exceeding 1000ppm in homogeneous material. (* Details in column on the right.)   |
| B02       | Polybrominated Biphenyls                                     |          |            |                              | B02-J-99             | Containing PBB above 1000ppm in homogeneous material. : Impurities/recycled materials/contamination   |
| 502       | (PBBs)   |          |            | N                            | B02-R-0              | Cases containing 1000ppm or less of intentionally added PBB in homogeneous material. (* Details in column on the right.)  |
|           |  |          |            | N                            | B02-R-98             | Containing 1000ppm or less of PBB in homogeneous material. : Impurities/recycled materials/contamination  |
|           |  |          |            | Y                            | B03-J-0              | Cases containing intentionally added PBDE exceeding 1000ppm in homogeneous material. (* Details in column on the right.)  |
|           | Polybrominated Diphenyl ethers                               |          |            | Ť                            | B03-J-99             | Containing PBDE above 1000ppm in homogeneous material. :Impurities/recycled materials/contamination   |
| B03       | (PBDEs)  |          |            | N                            | B03-R-0              | Cases containing 1000ppm or less of intentionally added PBDE in homogeneous material. (* Details in column on the right.)   |
|           |  |          |            | N                            | B03-R-98             | Containing 1000ppm or less of PBDE in homogeneous material. :Impurities/recycled materials/contamination  |
|           |  |          |            |                              | B08-J-3              | In cases where bromine element is used for a printed wiring board laminate and its content is above 0.09% by weight in the printed wiring board laminate  |
| B08       | Brominated flame retardants<br>(other than PBBs,PBDEs, or    |          |            | Y                            | B08-J-5              | In cases where total bromine content exceeds 0.1% by weight for the use of plastic material.  |
|           | HBCDD)   |          |            |                              | B08-J-4              | In cases where a product has an unclear purpose and Brominated flame retardants is intentionally added  |
|           |  |          |            | N                            | B08-J-97             | In cases where any brominated flame retardant other than B08-J-3, B08-J-4 or B08-J-5 is contained   |
|           |  |          |            |                              | B18-J-0              | In cases where the chlorine element contained exceeds 0.09% by weight per the printed wiring board laminate, for the use of printed wiring board laminate   |
|           |  |          |            | Y                            | B18-J-1              | In cases where total chlorine content exceeds 0.1% by weight for the use of plastic material.   |
| B18       | Chlorinated flame retardants                                 |          |            |                              | B18-J-2              | In cases where chlorine content is intentionally added as chlorinated flame retardant although the product's application is unclear   |
|           |  |          |            | N                            | B18-J-98             | In cases where any Chlorinated flame retardants other than B18-J-0, B18-J-1 or B18-J-2 is contained   |
|           |  |          |            | Y                            | B05-J-1              | Cases containing intentionally added PCBs and specific substitutes.   |
| B05       | Polychlorinated Biphenyls<br>(PCBs) and specific substitutes |          |            | N                            | B05-J-98             | Cases containing impurities.  |
| a         | Polychlorinated Terphenyls                                   |          |            | Y                            | B15-J-2              | Cases containing above 0.005% PCTs by weight per surveying unit.  |
| B15       | (PCTs)   |          |            | N                            | B15-J-97             | Cases containing up to 0.005% PCTs by weight per surveying unit.  |
|           | Polychlorinated Naphthalenes                                 |          |            | Y                            | B06-J-1              | Cases containing intentionally added polychlorinated naphthalenes.  |
| B06       | (more than 3 chlorine atoms)                                 |          |            | N                            | B06-J-98             | Cases containing impurities.  |
| <u> </u>  |  |          |            | Y                            | B12-J-0              | Cases containing above 6ppb perchlorates by weight per surveying unit.  |
| B12       | Perchlorates   |          |            | N                            | B12-J-98             | Cases containing up to 6ppb perchlorates by weight per surveying unit.  |
|           |  |          |            |                              |                      |   |

## Exhibit 5: Intended Use Classification List (Other than Four Heavy Metals in Annex A-1) 2/2

| Classific  | Substance group                                   | Relevant | regulation | Content flag<br>coresponding to | Intended use         | Intended use classification  |
|------------|---|----------|------------|---------------------------------|----------------------|--|
| ation No.  | group   | RoHS     | ELV        | the right                       | classification codes |  |
|            |   |          |            |                                 | B13-J-0              | Cases intentionally containing PFOS in photoresist or anti-reflective coatings for the photolithography process  |
|            |   |          |            |                                 | B13-J-1              | Cases Intentionally containing PFOS in photo coating used in films, documents, and printing plates   |
|            |   |          |            |                                 | B13-J-2              | Cases intentionally containing PFOS in mist suppressants used in chrome plating, chromium oxidation treatment, and reverse etching   |
|            |   |          |            |                                 | B13-J-3              | Cases intentionally containing PFOS in mist suppressants used in electroless nickel-polytetrafluoroethylene (PTFE) plating   |
|            |   |          |            | Y                               | B13-J-4              | Cases intentionally containing PFOS in mist suppressants used in etching of plastic base materials in front of metallic films  |
|            |   |          |            | -                               | B13-J-6              | Cases intentionally containing above 0.1wt% of PFOS in homogeneous material as a component of molding, or cases intentionally containing above 1µg/m <sup>2</sup> of<br>PFOS in cladding for textiles or other coated material, excluding specified uses (B13-J-0, 1, 2, 3, 4)   |
|            | ·   |          |            |                                 | B13-J-7              | Cases intentionally containing PFOS, excluding specified uses (B13-J-0, 1, 2, 3, 4, 6, 8, 9)   |
|            |   |          |            |                                 | B13-J-8              | Cases containing above 0.1wt% of PFOS in homogeneous material as an impurity, excluding specified uses (B13-J-0, 1, 2, 3, 4, 6, 7, 9)  |
|            | Perfluorooctane sulfonate                         |          |            |                                 | B13-J-9              | Cases containing PFOS exceeding 0.001wt% as a component of a drug or substance, excluding specified uses (B13-J-0, 1, 2, 3, 4, 6, 7, 8)  |
|            | (PFOS)  |          |            |                                 | B13-J-92             |  |
|            |   |          |            |                                 |                      | Cases containing less than 1µg/m <sup>2</sup> of PFOS as an impurity in photoresist or anti-reflective coatings for the photolithography process   |
|            |   |          |            |                                 | B13-J-93             | Cases containing less than 1µg/m2 of PFOS as an impurity in photo coating used in film, documents, or printing plates  |
|            |   |          |            |                                 | B13-J-94             | Cases containing less than 0.001wt% of PFOS as an impurity in mist suppressants used in chrome plating, chromium oxidation treatment, and reverse etching  |
|            |   |          |            | N                               | B13-J-95             | Cases containing less than 0.001wt% of PFOS as an impurity in mist suppressants used in electroless nickel-polytetrafluoroethylene (PTFE) plating  |
|            |   |          |            | N                               | B13-J-96             | Cases containing less than 0.001wt% of PFOS as an impurity in mist suppressants used in etching of plastic base materials in front of metallic films   |
|            |   |          |            |                                 | B13-J-89             | Cases containing less than 0.001wt% of PFOS as an impurity and as a component of a drug or substance, excluding specified uses (B13-J-92, 93, 94, 95, 96)  |
|            |   |          |            |                                 | B13-J-90             | Cases containing less than 0.1wt% of PFOS in homogeneous material as an impurity and as a component of molding, or cases containing less than 1µg/m2 of<br>PFOS as an impurity in cladding for textiles or other coated material, excluding specified uses (B13-J92, 93, 94, 95, 96)   |
|            |   |          |            |                                 | B13-J-91             | Cases containing PFOS as in impurity, excluding specified uses (B13-J-92, 93, 94, 95, 96, 89, 90)  |
|            | Fluorinated greenhouse gases                      |          |            | Y                               | B10-J-0              | Cases containing intentionally added fluorinated greenhouse gases.   |
|            | (PFC, SF6, HFC)                                   |          |            | N                               | B10-J-98             | Cases containing impurities.   |
| D40        | Polyvinyl chloride (PVC) and                      |          |            | Y                               | B19-J-0              | Cases containing a total chlorine content of over 0.1% by weight for the use of plastic material.  |
| B19        | PVC copolymer                                     |          |            | N                               | B19-J-98             | Cases containing up to 0.1% of total chlorine by weight for the use of plastic material.   |
| C01        | Asbestos  |          |            | Y                               | C01-J-1              | Cases containing intentionally added asbestos.   |
|            |   |          |            | N                               | C01-J-98             | Cases containing impurities.   |
|            | Azocolourants and azodyes                         |          |            | Y                               | C02-J-2              | Leather products and fiber products containing azocolourants and azodyes, which form 0.003% of certain aromatic amines by weight.  |
| 002        | which form certain aromatic<br>amines             |          |            | N                               | C02-J-3              | In cases where a product has an unclear purpose and "Azocolourants and azodyes which form certain aromatic amines" is intentionally added  |
|            |   |          |            | N<br>Y                          | C02-J-98<br>C04-J-1  | Cases containing azocolourants and azodyes which form certain aromatic amines other than C02-J-2 or C02-J-3.<br>Cases containing intertionally added ozone depleting substances.   |
| C04        | Ozone Depleting Substances                        |          |            | N                               | C04-J-98             | Cases containing impurities.   |
| C06        | Radioactive Substances                            |          |            | Y                               | C06-J-1              | Cases containing intentionally added radioactive substances.   |
|            |   |          |            | N                               | C06-J-98             | Cases containing impurities.   |
| C07        | Formaldehyde                                      |          |            | Y                               | C07-J-0<br>C07-J-1   | Cases containing above 0.0075% formaldehyde by weight in fiber products. Cases containing intentionally added formaldehyde (excluding C07-J-0 above).  |
|            |   |          |            | N                               | C07-J-98             | Cases containing impurities (excluding C07-J-0 above).   |
|            | Phenol,2-(2H-benzotriazol-2-yl)-                  |          |            | Y                               | C08-J-0              | Cases containing intentionally added phenol, 2-(2H-benzotriazol-2-yl)-4, 6-bis(1, 1-dimethylethyl).  |
|            | 4,6-bis(1,1-dimethylethyl) (CAS<br>No. 3846-71-7) |          |            | N                               | C08-J-98             | Cases containing impurities.   |
|            |   |          |            |                                 | C09-J-1              | Cases containing above 0.1wt% of plasticized material (homogeneous material) for use as a toy or child care article as the total of BBP, DBP and DEHP.   |
|            | Coloridad Distribution Convert                    |          |            | Ý                               | C09-J-2              | Cases containing above 0.1wt% of plasticized material (homogeneous material) for a product with an unclear purpose as the total of BBP, DBP and DEHP.  |
|            | Selected Phthalates Group 1<br>(BBP, DBP, DEHP)   |          |            |                                 | C09-J-96             | Cases containing over 0.1% of BBP, DBP and DEHP as combined total by weight in plasticized material (homogeneous material) that is used for products other   |
|            |   |          |            | N                               | C09-J-97             | than the uses in C09-J-1<br>   |
|            |   |          |            |                                 |                      | Cases containing 0.1wt% or less of plasticized material (homogeneous material) as the total of BBP, DBP and DEHP, for uses other than C09-J-1 and C09-J-2.<br>Cases containing above 0.1wt% of plasticized material (homogeneous material) for use as a children's toy that can be placed in a child's mouth or child care article |
|            |   |          |            | Y                               | C10-J-0              | as the total of DIDP, DINP and DNOP.   |
| C10        | Selected Phthalates Group 2<br>(DIDP, DINP, DNOP) |          |            |                                 | C10-J-1              | Cases containing above 0.1%% of plasticized material (homogeneous material) for a product with an unclear purpose as the total of DIDP, DINP and DNOP.<br>Cases containing over 0.1% of DIDP, DINP and DNOP as a combined total by weight in plasticized material (homogeneous material) that is used in products other            |
|            |   |          |            | N                               | C10-J-97             | Cases containing over 0.1% of DILP, DINP and DNUP as a combined total by weight in plasticized material (nonogeneous material) that is used in products other<br>than uses in C10-J0   |
|            |   |          |            |                                 | C10-J-98             | Cases containing 0.1wt% or less of plasticized material (homogeneous material) as the total of DIDP, DINP and DNOP, for uses other than C10-J-0 and C10-J-1.   |
| C11        | Dimethyl fumarate                                 |          |            | Y                               | C11-J-0              | In cases where the content in homogeneous material is over 0.00001% by weight  |
|            |   |          |            | N                               | C11-J-98             | In cases where the content in homogeneous material is 0.00001% or less by weight   |
| <u>C47</u> | Di-isodecyl phthalate (DIDP)                      |          |            | Y                               | <u>C47-J-0</u>       | Cases containing intentionally added Di-isodecyl phthalate (DIDP).   |
|            |   |          |            | N                               | <u>C47-J-98</u>      | Cases containing impurities.   |
| <u>C48</u> | Di-n-hexyl Phthalate (DnHP)                       |          |            | Y                               | <u>C48-J-0</u>       | Cases containing intentionally added Di-n-hexyl Phthalate.   |
|            |   |          |            | N                               | <u>C48-J-98</u>      | Cases containing impurities.   |

## Exhibit 6: Intended Use Classification List (SVHC covered by REACH in Annex A-2) 1/2

Note): For each of the SHVC on the Level 3 screen of the Survey and Response Tools Ver4.31, the following usage classification codes are not displayed, but the <u>substance group numbers are displayed</u>. (Both are saved as JGP file data.)

| Classific<br>ation No. | Substance group   | Content flag<br>coresponding to the<br>right | Intended use classification codes | Intended use classification                               |
|------------------------|---|--|-----------------------------------|---|
| A17                    | Tributyl Tin Oxide  | Y  | A17-J-3                           | Cases containing above 0.1% by weight per surveying unit. |
|                        | (TBTO)  | Ν  | A17-J-97                          | Cases containing up to 0.1% by weight per surveying unit. |
| A20                    | Diarsenic Pentoxide   | Y  | A20-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        | Biarbenie Ferrexide   | Ν  | A20-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| A21                    | Diarsenic Trioxide  | Y  | A21-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        | Diarsenic Trioxide  | Ν  | A21-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| B11                    | Hexabromocyclododecane<br>(HBCDD)                               | Y  | B11-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | B11-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| B09                    | Shortchain Chlorinated Paraffins                                | Y  | B09-J-1                           | Cases containing above 0.1% by weight per surveying unit. |
| 500                    | (C10 – C13)   | N  | B09-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| B16                    | Tris (2-chloroethyl) phosphate<br>(TCEP)                        | Y  | B16-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | Ν  | B16-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C12                    | Di(2-ethylhexyl) phthalate<br>(DEHP)                            | Y  | C12-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | Ν  | C12-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C13                    | Dibutyl phthalate (DBP)   | Y  | C13-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | C13-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C14                    | Butylbenzyl phthalate (BBP)                                     | Y  | C14-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| 0.4                    | balyibenzyr printialate (BBF )                                  | Ν  | C14-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| A22                    | Cobalt dichloride (CoCl2)                                       | Y  | A22-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | Ν  | A22-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| A25                    | l d - ht-   | Y  | A25-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| A23                    | Lead chromate   | Ν  | A25-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| A26                    | Lead chromate molybdate<br>sulphate red                         | Y  | A26-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| 7420                   | (C.I. Pigment Red 104)  | Ν  | A26-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| A27                    | Lead sulfochromate yellow                                       | Y  | A27-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| 7121                   | (C.I. Pigment Yellow 34)  | Ν  | A27-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C15                    | Dischart (shift-late (DIDD)                                     | Y  | C15-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| 0.0                    | Diisobutyl phthalate (DIBP)                                     | Ν  | C15-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C16                    | Refractory Ceramic Fibres,<br>Aluminosilicate                   | Y  | C16-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| 010                    |   | Ν  | C16-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C17                    | Refractory Ceramic Fibres,<br>Zirconia Aluminosilicate          | Y  | C17-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| 0                      |   | Ν  | C17-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C18                    | Boric acid  | Y  | C18-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | C18-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C19                    | Disodium tetraborate, anhydrous                                 | Y  | C19-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | Ν  | C19-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C20                    | Tetraboron disodium heptaoxide,                                 | Y  | C20-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        | hydrate   | Ν  | C20-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C21                    | 1,2-Benzenedicarboxylic acid,<br>di-C6-8-branched alkyl esters, | Y  | C21-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        | C7-rich (DIHP)  | Ν  | C21-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C22                    | 1,2-Benzenedicarboxylic acid,<br>di-C7-11-branched and linear   | Y  | C22-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        | alkyl esters (DHNUP)  | N  | C22-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
|                        | 4-[4,4'-bis(dimethylamino)<br>benzhydrylidene] cyclohexa-2,5-   | Y  | B17-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| B17                    | dien-1-ylidene]<br>dimethylammonium chloride                    | N  | B17-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
|                        | (C.I. Basic Violet 3)   | Y  |                                   |   |
| A29                    | Strontium chromate  |  | A29-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | A29-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| B20                    | 2,2'-dichloro-4,4'-<br>methylenedianiline (MOCA)                | Y  | B20-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | B20-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| A30                    | Potassium<br>hydroxyoctaoxodizincate                            | Y  | A30-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        | dichromate<br>Pentazinc chromate<br>octahydroxide               | N  | A30-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| A31                    |   | Y  | A31-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        | -   | N  | A31-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C23                    | Bis(2-methoxyethyl) phthalate                                   | Y  | C23-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | C23-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C24                    | 4-(1,1,3,3-<br>tetramethylbutyl)phenol,                         | Y  | C24-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        | (4-tert-Octylphenol)  | N  | C24-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C25                    | Bis(2-methoxyethyl) ether                                       | Y  | C25-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| <u> </u>               |   | N  | C25-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
| C26                    | N,N-dimethylacetamide (DMAC)                                    | Y  | C26-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | C26-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |

## Exhibit 6: Intended Use Classification List (SVHC covered by REACH in Annex A-2) 2/2

| Classific<br>ation No. | Substance group   | Content flag<br>coresponding to the<br>right | Intended use classification codes | Intended use classification                               |
|------------------------|---|--|-----------------------------------|---|
|                        |   | Y  | <u>B23-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>B23</u>             | Decabromodiphenyl ether   | Ν  | <u>B23-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| <u>A48</u>             | Sulfurous acid, lead salt,  | Y  | <u>A48-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>A40</u>             | dibasic   | Ν  | <u>A48-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| <u>C38</u>             | 1,2-bis(2-<br>methoxyethoxy)ethane<br>(TEGDME; triglyme)          | Y  | <u>C38-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | Ν  | <u>C38-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| <u>A49</u>             | Trilead dioxide phosphonate                                       | Y  | <u>A49-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | <u>A49-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| <u>C39</u>             | 1,2-dimethoxyethane;<br>ethylene glycol dimethyl<br>ether (EGDME) | Y  | <u>C39-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | Ν  | <u>C39-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| <u>C40</u>             | 4-Aminoazobenzene   | Y  | <u>C40-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | <u>C40-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| <u>A50</u>             | Tetralead trioxide sulfate  | Y  | <u>A50-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | <u>A50-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| <u>A51</u>             | Orange lead (lead tetroxide)                                      | Y  | <u>A51-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        | orange icad (icad terroxide)                                      | Ν  | <u>A51-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| <u>A52</u>             | Pyrochlore, antimony lead   | Y  | <u>A52-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>-132</u>            | yellow  | Ν  | <u>A52-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| 4.52                   | Pentalead tetraoxide  | Y  | <u>A53-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>A53</u>             | sulphate  | Ν  | <u>A53-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| C41                    |   | Y  | <u>C41-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>C41</u>             | 1,2-Diethoxyethane  | Ν  | <u>C41-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | Dihaana talavida  | Y  | <u>C42-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>C42</u>             | Diboron trioxide  | N  | <u>C42-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | Dibutyltin dichloride (DBTC)                                      | Y  | <u>A54-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>A54</u>             |   | N  | <u>A54-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | Lead cynamidate   | Y  | <u>A55-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>A55</u>             |   | Ν  | <u>A55-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | NINI dias atta dés any sur isla                                   | Y  | <u>C43-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>C43</u>             | N,N-dimethylformamide   | N  | <u>C43-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | Silicic acid (H2Si2O5),   | Y  | <u>A56-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>A56</u>             | barium salt (1:1), lead-doped                                     | Ν  | <u>A56-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | 1.2-Benzenedicarboxylic   | Y  | <u>C44-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        | acid, dipentylester, branched<br>and linear                       | N  | <u>C44-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        |   | Y  | <u>C45-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>C45</u>             | Diisopentylphthalate (DIPP)                                       | N  | <u>C45-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | N-pentyl-isopentylphthalate                                       | Y  | <u>C46-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>C46</u>             |   | Ν  | <u>C46-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | Lead titanium trioxide  | Y  | <u>A57-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>A57</u>             |   | Ν  | A57-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |
|                        | Lead titanium zirconium<br>oxide                                  | Y  | <u>A58-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | <u>A58-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | Lead oxide sulfate  | Y  | A59-J-0                           | Cases containing above 0.1% by weight per surveying unit. |
| <u>A59</u>             |   | N  | <u>A59-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | [Phthalato(2-)]dioxotrilead                                       | Y  | <u>A60-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>A60</u>             |   | N  | <u>A60-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
| <u>A61</u>             | Dioxobis(stearato)trilead   | Y  | <u>A61-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | <u>A61-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | Fatty acids, C16-18, lead<br>salts                                | Y  | <u>A62-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
|                        |   | N  | <u>A62-J-98</u>                   | Cases containing up to 0.1% by weight per surveying unit. |
|                        | Lead dinitrate  | Y  | <u>A63-J-0</u>                    | Cases containing above 0.1% by weight per surveying unit. |
| <u>A63</u>             |   | N  | A63-J-98                          | Cases containing up to 0.1% by weight per surveying unit. |

## **Exhibit 7: Examples of Application Areas**

The following are example names of application areas that serve as references when completing the "application area" column of the survey.

Note: These examples do not represent all the application areas.

[Component Part Example 1] Aluminum electrolytic capacitor

Component parts: Sleeve, Case, Internal Element, Electrolytic Solution, Lead Terminal



[Component Part Example 2] Connectors Component parts: Housing and contacts



[Component Part Example 3] Switches, relays, and other parts with mechanical components Component parts: Part case (molded plastic etc.), metal components (lever, frame, terminals, etc.), moving part (contact points, etc.) Moving part Contact point



\* Please pay particular attention to special metals (alloys) used for plastic flame retardants, and electrical characteristics and lubrication of contact points.

[Component Part Example 4] Surface-mounted chip parts Component parts: Terminal and main body



\* The main body of the part is made of multiple materials and the substance concerned is present, break it down. e.g.) Part (main body) \_\_\_\_ ceramic and internal electrode [Component Part Example 5] Semiconductor devices

Component parts: Lead terminal (lead frame, etc.), package main body (molded plastic, etc.), and device chip

Outward appearance:



Cross section:



- \* Please pay particular attention to any flame retardants in the package plastic, and the lead material and treatment
- \* Make the response concerning the device chip as best you can

[Component Part Example 6] Transformers and inductors

Component parts: Core, coil, bobbin, lead wire, insulator, case frame, etc.



\* Pay particular attention to flame retardants in plastic materials or insulating parts, impregnant in the coil, PVCs or flame retardants in the lead wire.
[Component Part Example 7] DC motors

Component parts: Part case (molded plastic, etc.), metal parts (shaft, rotor core, terminal, frame, etc.) brush, magnet, coil, and other



- \* Pay particular attention to special metals (alloys) used for flame retardants in plastic, and electrical characteristics and lubrication in commutators, as well as grease in bearings.
- \* Calculate the amount contained per part from the amounts contained in each of the part components, when the substance is contained in lead wire and electronic circuits.

[Component Part Example 8] Electrical cable (power cord)

Component parts: Conductor, plating, insulator (interior coating), and jacket (exterior coating)



### Exhibit 8: JIG Detailed Chemical Lists (with Metal Conversion Factor)

Quotation from Annex B of JIG-101 Ed 4.1 (Including substances added in IEC 62474 DB declarable substance list Version D4.00)

<Substance group classifications in alphabetical order>

- 1/16 Note 1) :If there is \* in the column of Metal Conversion Factor, see Note) of 2) in "(5) Substance Information" of the text.
  - Note 2): A \*\* in the same column as described above indicates that it is not possible to calculate the metal coefficient factor due to the structure of the applicable substance not being specified.

### Note 3): The 28 substances added to Version D4.00 of the IEC 62474 DB declarable substance list are indicated with an underline.

| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor | CAS No.    |
|----------|-----------------------|---|--|----------------------------|------------|
| R        | A05                   | Cadmium/cadmium compounds   | Cadmium  | 1.000                      | 7440-43-9  |
|          |                       |   | Cadmium oxide  | 0.875<br>0.778             | 1306-19-0  |
|          |                       |   | Cadmium sulfide  |                            | 1306-23-6  |
|          |                       |   | Cadmium chloride   | 0.613                      | 10108-64-2 |
|          |                       |   | Cadmium sulfate  | 0.539                      | 10124-36-4 |
|          |                       |   | Other cadmium compounds  | -                          | -          |
| R        | A07                   | Chromium VI compounds   | Chromium (VI) oxide  | 0.520                      | 1333-82-0  |
|          |                       |   | Barium chromate  | 0.205                      | 10294-40-3 |
|          |                       |   | Calcium chromate   | 0.333                      | 13765-19-0 |
|          |                       |   | Chromium trioxide  | 0.520                      | 1333-82-0  |
|          |                       |   | Lead (II) chromate   | 0.161                      | 7758-97-6  |
|          |                       |   | Lead chromate molybdate sulphate red                                 | **                         | 12656-85-8 |
|          |                       |   | Lead sulfochromate yellow  | **                         | 1344-37-2  |
|          |                       |   | Sodium chromate  | 0.321                      | 7775-11-3  |
|          |                       |   | Sodium dichromate  | 0.397                      | 10588-01-9 |
|          |                       |   | Strontium chromate   | 0.255                      | 7789-06-2  |
|          |                       |   | Potassium dichromate   | 0.353                      | 7778-50-9  |
|          |                       |   | Potassium chromate   | 0.268                      | 7789-00-6  |
|          |                       |   | Zinc chromate  | 0.287                      | 13530-65-9 |
|          |                       |   | Pentazinc chromate octahydroxide                                     | 0.090                      | 49663-84-5 |
|          |                       |   | Potassium hydroxyoctaoxodizincate                                    | 0.250                      | 11103-86-9 |
|          |                       |   | dichromate<br>Other hexavalent chromium                              | 0.230                      | 11103-00-9 |
|          |                       |   | compounds  | -                          | -          |
| R        | A09                   | Lead/lead compounds   | Lead   | 1.000                      | 7439-92-1  |
|          |                       |   | Lead(II) sulfate   | 0.683                      | 7446-14-2  |
|          |                       |   | Lead(II) carbonate   | 0.775                      | 598-63-0   |
|          |                       |   | Lead(II) chromate  | 0.641                      | 7758-97-6  |
|          |                       |   | Lead chromate molybdate sulphate red                                 | **                         | 12656-85-8 |
|          |                       |   | Lead hydroxidcarbonate   | 0.801                      | 1319-46-6  |
|          |                       |   | Lead acetate   | 0.637                      | 301-04-2   |
|          |                       |   | Lead (II) acetate, trihydrate  | 0.546                      | 6080-56-4  |
|          |                       |   | Lead phosphate   | 0.766                      | 7446-27-7  |
|          |                       |   | Lead selenide  | 0.724                      | 12069-00-0 |
|          |                       |   | Lead (IV) oxide  | 0.866                      | 1309-60-0  |
|          |                       |   | Lead (II,IV) oxide   | 0.907                      | 1314-41-6  |
|          |                       |   | Lead (II) sulfide  | 0.866                      | 1314-87-0  |
|          |                       |   | Lead (II) oxide  | 0.928                      | 1317-36-8  |
|          |                       |   | Lead(II) carbonate basic   | 0.801                      | 1319-46-6  |
|          |                       |   | Lead hydroxidcarbonate   | 0.801                      | 1344-36-1  |
|          |                       |   | Lead(II) phosphate   | 0.766                      | 7446-27-7  |
|          |                       |   | Lead sulfochromate yellow  | **                         | 1344-37-2  |
|          |                       |   | Lead(II) titanate  | 0.686                      | 12060-00-3 |
|          |                       |   | Lead sulfate, sulphuric acid, lead salt                              | 1.000                      | 15739-80-7 |
|          |                       |   | Lead sulphate,tribasic   | 0.850                      | 12202-17-4 |
|          |                       |   | Lead stearate  | 0.268                      | 1072-35-1  |
|          |                       |   | Other lead compounds   | -                          | -          |
| R        | A10                   | Mercury/mercury compounds   | Mercury  | 1.000                      | 7439-97-6  |
|          |                       |   | Mercuric chloride  | -                          | 33631-63-9 |
|          |                       |   | Mercury (II) chloride  | 0.739                      | 7487-94-7  |
|          |                       |   | Mercuric sulfate   | 0.676                      | 7783-35-9  |
|          |                       |   | Mercuric nitrate   | 0.618                      | 10045-94-0 |
|          |                       |   | Mercuric (II) oxide  | 0.926                      | 21908-53-2 |
|          |                       |   |  |                            |            |
|          |                       | Mercuric sulfide  | 0.862  | 1344-48-5                  |            |

| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex>   | Metal Conversion<br>Factor | CAS No.                 |
|----------|-----------------------|---|--|----------------------------|-------------------------|
| R        | A11                   | Nickel  | -  | 1.000                      | 7440-02-0               |
| R        | A17                   | Tributyl Tin Oxide (TBTO)   | -  | 0.398                      | 56-35-9                 |
| R        | A28                   | Tri-substiituted organostannic compounds                              | Triphenyltin=N, N-<br>dimethyldithiocarbamate  | 0.252                      | 1803-12-9               |
|          |                       |   | Triphenyltinfluoride   | 0.322                      | 379-52-2                |
|          |                       |   | Triphenyltinacetate  | 0.29                       | 900-95-8                |
|          |                       |   | Triphenyltinchloride   | 0.308                      | 639-58-7                |
|          |                       |   | Triphenyltinhydroxide  | 0.323                      | 76-87-9                 |
|          |                       |   | Triphenyltin fattyacid((9-11)salt)   | 0.234                      | 18380-71-7              |
|          |                       |   |  | 0.234                      | 18380-72-8              |
|          |                       |   |  | 0.228                      | 47672-31-1              |
|          |                       |   |  | 0.222                      | 94850-90-5              |
|          |                       |   | Triphenyltinchloroacetate  | 0.268                      | 7094-94-2               |
|          |                       |   | Tributyltinmethacrylate  | 0.316                      | 2155-70-6               |
|          |                       |   | Bis(tributyltin)fumalate   | 0.342                      | 6454-35-9               |
|          |                       |   | Tributyltinfluoride  | 0.384                      | 1983-10-4               |
|          |                       |   | Bis(tributyltin)2,3-dibromosuccinate   | 0.278                      | 31732-71-5              |
|          |                       |   | Tributyltinacetate   | 0.34                       | 56-36-0                 |
|          |                       |   | Tributyltinlaurate   | 0.243                      | 3090-36-6               |
|          |                       |   | Bis(tributyItin)phthalate  | 0.319                      | 4782-29-0               |
|          |                       |   | Coplymer of alkyl(c=8) acrylate,methyl<br>methacrylate and tributyltin<br>methacrylate                           | 0.18                       | 67772-01-4              |
|          |                       |   | TributyItinsulfamate   | 0.307                      | 6517-25-5               |
|          |                       |   | Bis(tributyltin)maleate  | 0.341                      | 14275-57-1              |
|          |                       |   | Tributyltinchloride  | 0.365                      | 1461-22-9, 7342-38<br>3 |
|          |                       |   | Tributyltin cyclopentane<br>carbonate=mixture  | **                         | 85409-17-2              |
|          |                       |   | Tributyltin-1, 2,3,4,4a, 4b, 5,6,10,10a-<br>decahydro-7-isoplopyl-1, 4a-dimethyl-<br>1-phenanthrencarboxylatemix | **                         | 26239-64-5              |
|          |                       |   | Other tri-substituted organostannic compounds  | -                          | -                       |
| I        | A19                   | Beryllium Oxide (BeO)   | -  | -                          | 1304-56-9               |
| R        | A20                   | Diarsenic Pentoxide   | -  | *                          | 1303-28-2               |
| R        | A21                   | Diarsenic Trioxide  | -  | *                          | 1327-53-3               |
| R        | A22                   | Cobalt dichloride (CoCl2)   | -  | *                          | 7646-79-9               |
| R        | A23                   | DibutyItin (DBT) compounds  | Dibutyltin oxide   | 0.477                      | 818-08-6                |
|          |                       |   | Dibutyltin diacetate   | 0.338                      | 1067-33-0               |
|          |                       |   | Dibutyltin dilaurate   | 0.188                      | 77-58-7                 |
|          |                       |   | Dibutyltin maleate   | 0.342                      | 78-04-6                 |
|          |                       |   | Other dibutyltin compounds   | _                          | _                       |

| 3/10     |                       |   |  | -                          |                          |
|----------|-----------------------|---|--|----------------------------|--------------------------|
| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor | CAS No.                  |
| R        | A24                   | Dioctyltin (DOT) compounds  | Dioctyl Tin Oxide  | 0.329                      | 870-08-6                 |
|          |                       |   | Dioctyltin dilaurate   | 0.160                      | 3648-18-8                |
|          |                       |   | Other Dioctyltin compounds   | -                          | -                        |
| R        | A25                   | Lead chromate   | -  | *                          | 7758-97-6                |
| R        | A26                   | Lead chromate molybdate sulphate red (C.I. Pigment Red 104)           | -  | *                          | 12656-85-8               |
| R        | A27                   | Lead sulfochromate yellow (C.I.<br>Pigment Yellow 34)                 | -  | *                          | 1344-37-2                |
| R        | A29                   | Strontium chromate  | -  | *                          | 7789-06-2                |
| R        | A30                   | Potassium hydroxyoctaoxodizincate dichromate                          | -  | *                          | 11103-86-9               |
| R        | A31                   | Pentazinc chromate octahydroxide                                      | -  | *                          | 49663-84-5               |
| <u>R</u> | <u>A48</u>            | Sulfurous acid, lead salt, dibasic                                    | -  | <u>*</u>                   | 62229-08-7               |
| <u>R</u> | <u>A49</u>            | Trilead dioxide phosphonate   | -  | <u>*</u>                   | 12141-20-7               |
| <u>R</u> | <u>A50</u>            | Tetralead trioxide sulfate  | _<br>_   | <u>*</u>                   | 12202-17-4               |
| <u>R</u> | <u>A51</u>            | Orange lead (lead tetroxide)  |  | <u>*</u>                   | 1314-41-6                |
| <u>R</u> | <u>A52</u>            | Pyrochlore, antimony lead yellow                                      | _  | *                          | 8012-00-8                |
| <u>R</u> | <u>A53</u>            | Pentalead tetraoxide sulphate   | _  | *                          | 12065-90-6               |
| R        | <u>A54</u>            | Dibutyltin dichloride (DBTC)  |  | <u>*</u>                   | <u>683-18-1</u>          |
| <u>R</u> | <u>A55</u>            | Lead cynamidate   | <u> </u>   | <u>*</u>                   | 20837-86-9               |
| R        | <u>A56</u>            | Silicic acid (H2Si2O5), barium salt                                   | -  | <u>*</u>                   | 68784-75-8               |
| R        | A57                   | (1:1), lead-doped<br>Lead titanium trioxide                           | _  | *                          | 12060-00-3               |
| _<br>R   | A58                   | Lead titanium zirconium oxide   |  | <u> </u>                   | 12626-81-2               |
|          | A59                   | Lead oxide sulfate  | -  | <u>+</u>                   | 12036-76-9               |
| <u>R</u> | <u>A60</u>            | [Phthalato(2-)]dioxotrilead   |  | <u>*</u>                   | 69011-06-9               |
|          | <u>A61</u>            | Dioxobis(stearato)trilead   | -  |                            | 12578-12-0               |
| <u>R</u> |                       |   | -  | <u>*</u>                   |                          |
| <u>R</u> | <u>A62</u>            | Fatty acids, C16-18, lead salts                                       | -  | <u>*</u>                   | <u>91031-62-8</u>        |
| <u>R</u> | <u>A63</u>            | Lead dinitrate  | -  | *                          | <u>10099-74-8</u>        |
| R        | B02                   | Polybrominated Biphenyls (PBBs)                                       | Polybrominated Biphenyls   | -                          | 59536-65-1               |
|          |                       |   | Dibromobiphenyl  | -                          | 92-86-4                  |
|          |                       |   | 2-Bromobiphenyl  | -                          | 2052-07-5                |
|          |                       |   | 3-Bromobiphenyl  | -                          | 2113-57-7                |
|          |                       |   | 4-Bromobiphenyl  | -                          | 92-66-0                  |
|          |                       |   | Tribromobiphenyl   | -                          | 59080-34-1               |
|          |                       |   | Tetrabromobiphenyl   | -                          | 40088-45-7               |
|          |                       |   | Pentabrphenyl  | -                          | 56307-79-0               |
|          |                       |   | Hexabromobiphenyl  | -                          | 59080-40-9               |
|          |                       |   | hexabromo-1,1-biphenyl<br>Firemaster FF-1                            | -                          | 36355-01-8<br>67774-32-7 |
|          |                       |   |  |                            |                          |
|          |                       |   | Heptabromobiphenyl   | -                          | 35194-78-6               |
|          |                       |   | Octabromobiphenyl  | -                          | 61288-13-9               |
|          |                       |   | Nonabiphenyl   | -                          | 27753-52-2               |
|          |                       |   | Decabromobiphenyl  | -                          | 13654-09-6               |

| Criteria | Classification<br>No.  | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table>   | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex>  | Metal Conversion<br>Factor | CAS No.   |
|----------|--|---|---|----------------------------|---|
| R        | B03  | Polybrominated Diphenylethers   | Bromodiphenyl ether   | -                          | 101-55-3  |
|          |  | (PBDEs)   | Dibromodiphenyl ethers  | -                          | 2050-47-7   |
|          |  |   | Tribromodiphenyl ether  | -                          | 49690-94-0  |
|          |  |   | Tetrabromodiphenyl ethers   | -                          | 40088-47-9  |
|          |  |   | Pentabromodidphenyl ether<br>(note: Commercially available PeBDPO<br>is a complex reaction mixture<br>containing a variety of brominated<br>diphenyloxides.)  | -                          | 32534-81-9 (CAS<br>number used for<br>commercial grades of<br>PeBDPO) |
|          |  |   | Hexabromodiphenyl ether   | -                          | 36483-60-0  |
|          |  |   | Heptabromodiphenylether   | -                          | 68928-80-3  |
|          |  |   | Octabromodiphenyl ether   | -                          | 32536-52-0  |
|          |  |   | Nonabromodiphenylether  | -                          | 63936-56-1  |
|          |  |   | Decabromodiphenyl ether   | -                          | 1163-19-5   |
| R        | B11  | Hexabromocyclododecane(HBCDD)<br>and all major diastereoisomers   | Hexabromocyclododecane (HBCDD)  | -                          | 25637-99-4, 3194-<br>55-6   |
|          |  |   | alpha-hexabromocyclododecane  | -                          | 134237-50-6   |
|          |  |   | beta-hexabromocyclododecane   | -                          | 134237-51-7   |
|          |  |   | gamma-hexabromocyclododecane  | -                          | 134237-52-8   |
| I        | B08 Brominated flame retardants (other<br>than PBBs,PBDEs, or HBCDD) | Brominated flame retardant which<br>comes under notation of ISO 1043-4<br>code number FR(14)[Aliphatic/alicyclic<br>brominated compounds] | -   | -                          |   |
|          |  |   | Brominated flame retardant w hich<br>comes under notation of ISO 1043-4<br>code number FR(15)[Aliphatic/alicyclic<br>brominated compounds in combination<br>w ith antimony compounds]   | -                          | -   |
|          |  |   | Brominated flame retardant w hich<br>comes under notation of ISO 1043-4<br>code number FR(16)[Aromatic<br>brominated compounds excluding<br>brominated diphenyl ether and<br>biphenyls)]  | -                          | -   |
|          |  |   | Brominated flame retardant w hich<br>comes under notation of ISO 1043-4<br>code number FR(17)[Aromatic<br>brominated compounds excluding<br>brominated diphenyl ether and<br>biphenyls) in combination w ith<br>antimony compounds] | -                          | -   |
|          |  |   | Brominated flame retardant w hich<br>comes under notation of ISO 1043-4<br>code number FR(22)[Aliphatic/alicyclic<br>chlorinated and brominated<br>compounds]   | -                          | -   |
|          |  |   | Brominated flame retardant w hich<br>comes under notation of ISO 1043-4<br>code number FR(42)[Brominated<br>organic phosphorus compounds]   | -                          | -   |
|          |  |   | Poly(2,6-dibromo-phenylene oxide)   | -                          | 69882-11-7  |
|          |  |   | Tetra-decabromo-diphenoxy-benzene   | -                          | 58965-66-5  |
|          |  |   | 1,2-Bis(2,4,6-tribromo-phenoxy)<br>ethane   |                            | 37853-59-1  |
|          |  |   | 3,5,3',5'-Tetrabromo-bisphenol A<br>(TBBA)  | -                          | 79-94-7   |
|          |  |   | TBBA, unspecified   | -                          | 30496-13-0  |
|          |  | 1   | Į   | ļ                          | 1   |

| riteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor | CAS No.     |
|---------|-----------------------|---|--|----------------------------|-------------|
| I       | B08                   | Brominated flame retardants (other than PBBs,PBDEs, or HBCDD)         | TBBA-epichlorhydrin oligomer   | -                          | 40039-93-8  |
|         |                       | (con'd)   | TBBA-TBBA-diglycidyl-ether oligomer                                  | -                          | 70682-74-5  |
|         |                       |   | TBBA carbonate oligomer  | -                          | 28906-13-0  |
|         |                       |   | TBBA carbonate oligomer, phenoxy end capped                          | -                          | 94344-64-2  |
|         |                       |   | TBBA carbonate oligomer, 2,4,6-<br>tribromo-phenol terminated        | -                          | 71342-77-3  |
|         |                       |   | TBBA-bisphenol A-phosgene polymer                                    | -                          | 32844-27-2  |
|         |                       |   | Brominated epoxy resin end-capped with tribromophenol                | -                          | 139638-58-7 |
|         |                       |   | Brominated epoxy resin end-capped with tribromophenol                | -                          | 135229-48-0 |
|         |                       |   | TBBA-(2,3-dibromo-propyl-ether)                                      | -                          | 21850-44-2  |
|         |                       |   | TBBA bis-(2-hydroxy-ethyl-ether)                                     | -                          | 4162-45-2   |
|         |                       |   | TBBA-bis-(allyl-ether)   |                            | 25327-89-3  |
|         |                       |   | TBBA-dimethyl-ether  | -                          | 37853-61-5  |
|         |                       |   | Tetrabromo-bisphenol S   | -                          | 39635-79-5  |
|         |                       |   |  |                            |             |
|         |                       |   | TBBS-bis-(2,3-dibromo-propyl-ether)                                  | -                          | 42757-55-1  |
|         |                       |   | 2,4-Dibromo-phenol   | -                          | 615-58-7    |
|         |                       |   | 2,4,6-tribromo-phenol  | -                          | 118-79-6    |
|         |                       |   | Pentabromo-phenol  | -                          | 608-71-9    |
|         |                       |   | 2,4,6-Tribromo-phenyl-alltl-ether                                    | -                          | 3278-89-5   |
|         |                       |   | Tribromo-phenyl-allyl-ether,<br>unspecified                          | -                          | 26762-91-4  |
|         |                       |   | Bis(methyl)tetrabromo-phtalate                                       | -                          | 55481-60-2  |
|         |                       |   | Bis(2-ethlhexyl)tetrabromo-phtalate                                  | -                          | 26040-51-7  |
|         |                       |   | 2-Hydroxy-propyl-2-(2-hydroxy-<br>ethoxy)-ethyl-TBP                  | -                          | 20566-35-2  |
|         |                       |   | TBPA, glycol-and propylene-oxide esters                              | -                          | 75790-69-1  |
|         |                       |   | N,N'-Ethylene -bis-(tetrabromo-<br>phthalimide)                      | -                          | 32588-76-4  |
|         |                       |   | Ethylene-bis(5,6-dibromo-norbornane-<br>2,3-dicarboximide)           | -                          | 52907-07-0  |
|         |                       |   | 2,3-Dibromo-2-butene-1,4-diol  | -                          | 3234-02-4   |
|         |                       |   | Dibromo-neopentyl-glycol   | -                          | 3296-90-0   |
|         |                       |   | Dibromo-propanol   | -                          | 96-13-9     |
|         |                       |   | Tribromo-neopentyl-alcohol   | -                          | 36483-57-5  |
|         |                       |   | Poly tribromo-styrene  |                            | 57137-10-7  |
|         |                       |   | Tribromo-styrene   |                            | 61368-34-1  |
|         |                       |   | Dibromo-styrene grafted PP   |                            | 171091-06-8 |
|         |                       |   | Poly-dibromo-styrene   | -                          | 31780-26-4  |
|         |                       |   | Bromo-/Chloro-paraffins  | -                          | 68955-41-9  |
|         |                       |   | Bromo-/Chloro-alpha-olefin   | -                          | 82600-56-4  |
|         |                       |   | Vinylbromide   | -                          | 593-60-2    |
|         |                       |   | Tris-(2,3-dibromo-propyl)-isocyanurate                               | -                          | 52434-90-9  |
|         |                       |   | Tris(2,4-Dibromo-phenyl) phosphate                                   |                            | 49690-63-3  |
|         |                       |   | Tris(tribromo-neopentyl) phosphate                                   | -                          | 19186-97-1  |
|         |                       |   | Chlorinated and brominated phosphate esther                          | -                          | 125997-20-8 |
|         |                       |   | Pentabromo-toluene   | -                          | 87-83-2     |

| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex>                           | Metal Conversion<br>Factor | CAS No.     |
|----------|-----------------------|---|--|----------------------------|-------------|
| I        | B08                   | Brominated flame retardants (other                                    | Pentabromo-benzyl bromide  | -                          | 38521-51-6  |
|          |                       | than PBBs,PBDEs, or HBCDD)<br>(con'd)                                 | 1,3-Butadiene<br>homopolymer,brominated  | -                          | 68441-46-3  |
|          |                       |   | Pentabromo-benzyl-acrylate, monomer  | -                          | 59447-55-1  |
|          |                       | Pentabromo-benzyl-acrylate, polymer -                                 | -  | 59447-57-3                 |             |
|          |                       |   | Decabromo-diphenyl-ethane  | -                          | 84852-53-9  |
|          |                       |   | Tribromo-bisphenyl-maleinimide   | -                          | 59789-51-4  |
|          |                       |   | Tetrabromo-chyclo-octane   | -                          | 31454-48-5  |
|          |                       |   | 1,2-Dibromo-4-(1,2 dibromo-methyl)-<br>cyclo-hexane  | -                          | 3322-93-8   |
|          |                       |   | TBPA Na salt   | -                          | 25357-79-3  |
|          |                       |   | Tetrabromo phthalic-anhydride  | -                          | 632-79-1    |
|          |                       |   | Octabromo-1,1,3-trimethyl-1-   |                            | 155612 02 7 |
|          |                       |   | phenylindane (FR-1808)   | -                          | 155613-93-7 |
|          |                       |   | Other Brominated Flame Retardants  | -                          | -           |
| Ι        | B18                   | Chlorinated flame retardants  | Tetrakis(2-<br>chloroethyl)dichloroisopentyldiphospha<br>te                                    | -                          | 38051-10-4  |
|          |                       |   | Tris(1-chloro-2-propyl)phosphate   | -                          | 13674-84-5  |
|          |                       |   | Tris(2,3-dichloro-1-propyl)phosphate   | -                          | 66108-37-0  |
| R        | B05                   | B05 Polychlorinated Biphenyls (PCBs)<br>and specific substitutes      | Polychlorinated Biphenyls<br>(all isomers and congeners)                                       | -                          | 1336-36-3   |
|          |                       |   | Monomethyl-tetrachloro-diphenyl<br>methane (Ugilec 141)  | -                          | 76253-60-6  |
|          |                       |   | Monomethyl-dichloro-diphenyl methane<br>(Ugilec 121, Ugilec 21)                                | -                          | 81161-70-8  |
|          |                       |   | Monomethyl-dibromo-diphenyl methane<br>(DBBT)  | -                          | 99688-47-8  |
| R        | B15                   | Polychlorinated Terphenyls (PCTs)                                     | Polychlorinated Terphenyls<br>(all isomers and congeners)                                      | -                          | 61788-33-8  |
| R        | B06                   | Polychlorinated Naphthalenes  | Polychlorinated Naphthalenes   | -                          | 70776-03-3  |
|          |                       | (more than 3 chlorine atoms)  | Other polychlorinated Naphthalenes   | -                          | -           |
| R        | B09                   | Shortchain Chlorinated Paraffins                                      | Alkanes, C10-13, chloro  | -                          | 85535-84-8  |
|          |                       | (C10 – C13)   | Alkanes, C10-12, chloro  |                            | 108171-26-2 |
|          |                       |   | Alkanes, C12-13, chloro  |                            | 71011-12-6  |
|          |                       |   | Alkanes, chloro  | _                          | 61788-76-9  |
|          |                       |   | Chlorinated polyethylene   | _                          | 64754-90-1  |
|          |                       |   | Other Short Chain Chlorinated  | -                          |             |
|          |                       | Tris(2-chloroethyl) phosphate   | Paraffins  | -                          | -           |
| R        | B16                   | (TCEP)  | -  | -                          | 115-96-8    |
| R        | B12                   | Perchlorates  | Lithium perchlorate  | -                          | 7791-03-9   |
|          |                       |   | Other perchlorate compounds  |                            | -           |
| R        | B13                   | Perfluorooctane sulfonate (PFOS)                                      | Perfluoroctane Sulfonates (PFOS)<br>$C_8F_{17}SO_2X$ , where X = OR, NR or other<br>derivative | -                          | -           |
| R        | B10                   | Fluorinated greenhouse gases<br>(PFC, SF <sub>6</sub> , HFC)          | Tetrafluoromethane (Carbon<br>tetrafluoride, PFC-14)   | -                          | 75-73-0     |
|          |                       |   | Hexafluoroethane (PFC-116)   | -                          | 76-16-4     |
|          |                       |   | Octafluoropropane (PFC-218)  | -                          | 76-19-7     |
|          |                       |   |  |                            |             |

| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table>   | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor                 | CAS No.          |
|----------|-----------------------|---|--|--|------------------|
| R        | R B10                 | Fluorinated greenhouse gases<br>(PFC, SF6, HFC)   | Dodecafluoropentane (PFC-41-12)                                      | -  | 678-26-2         |
|          |                       |   | Tetradecafluorohexane (PFC-51-14)                                    | -  | 355-42-0         |
|          |                       |   | Octafluorocyclobutane (PFC-c318)                                     | -  | 115-25-3         |
|          |                       |   | Sulfur Hexafluoride (SF6)  | -<br>IFC-134) -<br>IFC-<br>2a) -<br>13 ) - | 2551-62-4        |
|          |                       |   | Trifluoromethane - (HFC-23)  | -  | 75-46-7          |
|          |                       |   | Difluoromethane - (HFC-32)   | -  | 75-10-5          |
|          |                       |   | Methyl fluoride – (HFC-41)   | -  | 593-53-3         |
|          |                       |   | 2H,3H-Decafluoropentane – (HFC-43-<br>10mee)                         | -  | 138495-42-8      |
|          |                       |   | Pentafluoroethane (HFC-125)  | -  | 354-33-6         |
|          |                       |   | 1,1,2,2-Tetrafluoroethane – (HFC-134)                                | -  | 359-35-3         |
|          |                       |   | 1,1,1,2-Tetrafluoroethane – (HFC-<br>134a)                           | -  | 811-97-2         |
|          |                       |   | 1,1-Difluoroethane – (HFC-152a)                                      | -  | 75-37-6          |
|          |                       |   | 1,1,2-Trifluoroethane-(HFC-143)                                      | -  | 430-66-0         |
|          |                       |   | 1,1,1-Trifluoroethane – (HFC-143a)                                   | -  | 420-46-2         |
|          |                       |   | 2H-Heptafluoropropane- (HFC-227ea)                                   | -  | 431-89-0         |
|          |                       |   | 1,1,1,2,2,3-hexafluoro-propane (HFC-<br>236cb)                       | -  | 677-56-5         |
|          |                       |   | 1,1,1,2,3,3-Hexafluoropropane – (HFC-<br>236ea)                      | -  | 431-63-0         |
|          |                       |   | 1,1,1,3,3,3-Hexafluoropropane – (HFC-<br>236fa)                      | -  | 690-39-1         |
|          |                       |   | 1,1,2,2,3-Pentafluoropropane – (HFC-<br>245ca)                       | -  | 679-86-7         |
|          |                       |   | 1,1,1,3,3-Pentafluoropropane – (HFC-<br>245fa)                       | -  | 460-73-1         |
|          |                       |   | 1,1,1,3,3-Pentafluorobutane – (HFC-<br>365mfc)                       | -  | 406-58-6         |
|          |                       | Polyvinyl Chloride (PVC) and PVC<br>Copolymers  | Polyvinyl chloride (PVC)   | -  | 9002-86-2        |
| I        | B19                   |   | Other Polyvinyl chlorides  | -  | -                |
|          |                       |   | PVC Copolymers   | -  | -                |
| A        | B17                   | 4-[4,4'-bis(dimethylamino)<br>benzhydrylidene] cyclohexa-2,5-<br>dien-1-ylidene] dimethylammonium<br>chloride (C.I. Basic Violet 3) | -  | -  | 548-62-9         |
| R        | B20                   | 2,2'-dichloro-4,4'-methylenedianiline<br>(MOCA)   | -  | -  | 101-14-4         |
| <u>R</u> | <u>B23</u>            | Decabromodiphenyl ether   | -  | -  | <u>1163-19-5</u> |
| R        | C01                   | Asbestos  | Asbestos   | -  | 1332-21-4        |
|          |                       |   | Actinolite   | -  | 77536-66-4       |
|          |                       |   | Amosite (Grunerite)  | -  | 12172-73-5       |
|          |                       |   | Anthophyllite  | -  | 77536-67-5       |
|          |                       |   | Chrysotile   | _  | 12001-29-5       |
|          |                       |   |  |  |                  |
|          |                       |   | Crocidolite  | -  | 12001-28-4       |
|          |                       |   | Tremolite  | -  | 77536-68-6       |

| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor | CAS No.                  |
|----------|-----------------------|---|--|----------------------------|--------------------------|
| R        | C02                   | Azocolourants and azodyes which                                       | biphenyl-4-ylamine   | -                          | 92-67-1                  |
|          |                       | form certain aromatic amines  | Benzidine  | -                          | 92-87-5                  |
|          |                       |   | 4-chloro-o-toluidine   | -                          | 95-69-2                  |
|          |                       |   | 2-naphthylamine  | -                          | 91-59-8                  |
|          |                       |   | o-aminoazotoluene  | -                          | 97-56-3                  |
|          |                       |   | 5-nitro-o-toluidine  | -                          | 99-55-8                  |
|          |                       |   | 4-chloroaniline  | -                          | 106-47-8                 |
|          |                       |   | 4-methoxy-m-phenylenediamine   | -                          | 615-05-4                 |
|          |                       |   | 4,4'-methylenedianiline  | -                          | 101-77-9                 |
|          |                       |   | 3,3'-dichlorobenzidine   | -                          | 91-94-1                  |
|          |                       |   | 3,3'-dimethoxybenzidine  | -                          | 119-90-4                 |
|          |                       |   | 3,3'-dimethylbenzidine   | -                          | 119-93-7                 |
|          |                       |   | 4,4'-methylenedi-o-toluidine   | -                          | 838-88-0                 |
|          |                       |   | 6-methoxy-m-toluidine  | -                          | 120-71-8                 |
|          |                       |   | 4,4'-methylene-bis(2-chloroaniline)                                  | -                          | 101-14-4                 |
|          |                       |   | 4,4'-oxydianiline  | -                          | 101-80-4                 |
|          |                       |   | 4.4'-thiodianiline   | -                          | 139-65-1                 |
|          |                       |   | ,  | -                          |                          |
|          |                       |   | o-toluidine  | <u>-</u>                   | 95-53-4<br>95-80-7       |
|          |                       |   | 4-methyl-m-phenylenediamine<br>2,4,5-trimethylaniline                | -                          | 95-80-7<br>137-17-7      |
|          |                       |   | o-anisidine  | -                          | 90-04-0                  |
|          |                       |   |  | -                          | 90-04-0<br>60-09-3       |
| _        |                       | Ozone Depleting Substances  | 4-amino azobenzene   | -                          |                          |
| R        | C04                   |   | Trichlorofluoromethane (CFC-11)<br>Dichlorodifluoromethane (CFC-12)  | -                          | 75-69-4<br>75-71-8       |
|          |                       |   |  |                            |                          |
|          |                       |   | Chlorotrifluoromethane (CFC-13)<br>Pentachlorofluoroethane (CFC-111) | -                          | 75-72-9<br>354-56-3      |
|          |                       |   | Tetrachlorodifluoroethane (CFC-112)                                  | -                          | 76-12-0                  |
|          |                       |   | 1,1,2,2-Tetrachloro-1,2-difluoroethane<br>(CFC-112)                  | -                          | 76-12-0                  |
|          |                       |   | 1,1,1,2-Tetrachloro-2,2-difluoroethane<br>(CFC-112a)                 | -                          | 76-11-9                  |
|          |                       |   | Trichlorotrifluoroethane (CFC-113)                                   | -                          | 76-13-1,                 |
|          |                       |   | 1,1,2-Trichloro-1,2,2 trifluoroethane<br>(CFC-113)                   | -                          | 76-13-1                  |
|          |                       |   | 1,1,1-Trichloro-2,2,2 trifluoroethane<br>(CFC-113a)                  | -                          | 354-58-5                 |
|          |                       |   | Dichlorotetrafluoroethane (CFC-114)                                  | -                          | 76-14-2                  |
|          |                       |   | Monochloropentafluoroethane (CFC-<br>115)                            | -                          | 76-15-3                  |
|          |                       |   | Heptachlorofluoropropane (CFC-211)                                   | -                          | 422-78-6                 |
|          |                       |   |  | -                          | 135401-87-5              |
|          |                       |   | 1,1,1,2,2,3,3-Heptachloro-3-<br>fluoropropane (CFC-211aa)            | -                          | 422-78-6                 |
|          |                       |   | 1,1,1,2,3,3,3-Heptachloro-2-<br>fluoropropane (CFC-211ba)            | -                          | 422-81-1                 |
|          |                       |   | Hexachlorodifluoropropane (CFC-212)                                  | -                          | 3182-26-1                |
|          |                       |   | Pentachlorotrifluoropropane (CFC-213)                                | -                          | 2354-06-5<br>134237-31-3 |
|          |                       |   | Tetrachlorotetrafluoropropane (CFC-<br>214)                          | -                          | 29255-31-0               |
|          |                       |   | 1,2,2,3-Tetrachloro-1,1,3,3-<br>tetrafluoropropane (CFC-214aa)       | -                          | 2268-46-4                |
|          |                       |   | 1,1,1,3-Tetrachloro-2,2,3,3-<br>tetrafluoropropane (CFC-214cb)       | -                          | -                        |

| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor | CAS No.   |
|----------|-----------------------|---|--|----------------------------|-----------|
| R        | C04                   | Ozone Depleting Substances<br>(cont'd)                                | Trichloropentafluoropropane (CFC-<br>215)                            | -                          | 1599-41-3 |
|          |                       |   | 1,2,2-Trichloropentafluoropropane<br>(CFC-215aa)                     | -                          | 1599-41-3 |
|          |                       |   | 1,2,3-Trichloropentafluoropropane<br>(CFC-215ba)                     | -                          | 76-17-5   |
|          |                       |   | 1,1,2-Trichloropentafluoropropane<br>(CFC-215bb)                     | -                          | -         |
|          |                       |   | 1,1,3-Trichloropentafluoropropane<br>(CFC-215ca)                     | -                          | -         |
|          |                       |   | 1,1,1-Trichloropentafluoropropane<br>(CFC-215cb)                     | -                          | 4259-43-2 |
|          |                       |   | Dichlorohexafluoropropane (CFC-216)                                  | -                          | 661-97-2  |
|          |                       |   | Chloroheptafluoropropane (CFC-217)                                   | -                          | 422-86-6  |
|          |                       |   | Bromochloromethane (Halon-1011)                                      | -                          | 74-97-5   |
|          |                       |   | Dibromodifluoromethane (Halon-1202)                                  | -                          | 75-61-6   |
|          |                       |   | Bromochlorodifluoromethane (Halon-<br>1211)                          | -                          | 353-59-3  |
|          |                       |   | Bromotrifluoromethane (Halon-1301)                                   | -                          | 75-63-8   |
|          |                       |   | Dibromotetrafluoroethane (Halon-2402)                                | -                          | 124-73-2  |
|          |                       | Tetrachloromethane (carbon tetrachloride)                             | -  | 56-23-5                    |           |
|          |                       |   | 1,1,1-Trichloroethane<br>(methylchloroform)                          | -                          | 71-55-6   |
|          |                       |   | Bromomethane (methyl bromide)  | -                          | 74-83-9   |
|          |                       |   | Bromoethane (ethyl bromide)  | -                          | 74-96-4   |
|          |                       |   | 1-Bromopropane (n-propyl bromide)                                    | -                          | 106-94-5  |
|          |                       |   | Trifluoroiodomethane (trifluoromethyl iodide)                        | -                          | 2314-97-8 |
|          |                       |   | Chloromethane (methyl chloride)                                      | -                          | 74-87-3   |
|          |                       |   | Dibromofluoromethane (HBFC-21 B2)                                    | -                          | 1868-53-7 |
|          |                       |   | Bromodifluoromethane (HBFC-22 B1)                                    | -                          | 1511-62-2 |
|          |                       |   | Bromofluoromethane (HBFC-31 B1)                                      | -                          | 373-52-4  |
|          |                       |   | Tetrabromofluoroethane (HBFC-121<br>B4)                              | -                          | 306-80-9  |
|          |                       |   | Tribromodifluoroethane (HBFC-122 B3)                                 | -                          | -         |
|          |                       |   | Dibromotrifluoroethane (HBFC-123 B2)                                 | -                          | 354-04-1  |
|          |                       |   | Bromotetrafluoroethane (HBFC-124<br>B1)                              | -                          | 124-72-1  |
|          |                       |   | Tribromofluoroethane (HBFC-131 B3)                                   | -                          | -         |
|          |                       |   | Dibromodifluoroethane (HBFC-132 B2)                                  | -                          | 75-82-1   |
|          |                       |   | Bromotrifluoroethane (HBFC-133 B1)                                   | -                          | 421-06-7  |
|          |                       |   | Dibromofluoroethane (HBFC-141 B2)                                    | -                          | 358-97-4  |
|          |                       |   | Bromodifluoroethane (HBFC-142 B1)                                    | -                          | 420-47-3  |
|          |                       |   | Bromofluoroethane (HBFC-151 B1)                                      | -                          | 762-49-2  |
|          |                       |   | Hexabromofluoropropane (HBFC-221<br>B6)                              | -                          | -         |
|          |                       |   | Pentabromodifluoropropane (HBFC-222<br>B5)                           | -                          | -         |
|          |                       | 1   | Tetrabromotrifluoropropane (HBFC-223                                 |                            |           |

| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor | CAS No.     |
|----------|-----------------------|---|--|----------------------------|-------------|
| R        | C04                   | Ozone Depleting Substances<br>(cont'd)                                | Tribromotetrafluoropropane (HBFC-224<br>B3)                          | -                          | -           |
|          |                       |   | Dibromopentafluoropropane (HBFC-<br>225 B2)                          | -                          | 431-78-7    |
|          |                       |   | Bromohexafluoropropane (HBFC-226<br>B1)                              | -                          | 2252-78-0   |
|          |                       |   | Pentabromofluoropropane (HBFC-231<br>B5)                             | -                          | -           |
|          |                       |   | Tetrabromodifluoropropane (HBFC-232<br>B4)                           | -                          | -           |
|          |                       |   | Tribromotrifluoropropane (HBFC-233<br>B3)                            | -                          | -           |
|          |                       |   | Dibromotetrafluoropropane (HBFC-234<br>B2)                           | -                          | -           |
|          |                       |   | Bromopentafluoropropane (HBFC-235<br>B1)                             | -                          | 460-88-8    |
|          |                       |   | Tetrabromofluoropropane (HBFC-241<br>B4)                             | -                          | -           |
|          |                       |   | Tribromodifluoropropane (HBFC-242<br>B3)                             | -                          | 70192-80-2  |
|          |                       |   | Dibromotrifluoropropane (HBFC-243<br>B2)                             | -                          | 431-21-0    |
|          |                       |   | Bromotetrafluoropropane (HBFC-244<br>B1)                             | -                          | 679-84-5    |
|          |                       |   | Tribromofluoropropane (HBFC-251 B3)                                  | -                          | 75372-14-4  |
|          |                       |   | Dibromodifluoropropane (HBFC-252<br>B2)                              | -                          | 460-25-3    |
|          |                       |   | Bz)<br>Bromotrifluoropropane (HBFC-253 B1)                           | -                          | 421-46-5    |
|          |                       |   | Dibromofluoropropane (HBFC-261 B2)                                   | -                          | 51584-26-0  |
|          |                       |   | Bromodifluoropropane (HBFC-262 B1)                                   | -                          | -           |
|          |                       |   | Bromofluoropropane (HBFC-271 B1)                                     | -                          | 1871-72-3   |
|          |                       |   | Dichlorofluoromethane (HCFC-21)                                      | -                          | 75-43-4     |
|          |                       |   | Chlorodifluoromethane (HCFC-22)                                      | -                          | 75-45-6     |
|          |                       |   | Chlorofluoromethane (HCFC-31)  | -                          | 593-70-4    |
|          |                       |   | Tetrachlorofluoroethane (HCFC-121)                                   | -                          | 134237-32-4 |
|          |                       |   | 1,1,2,2-Tetrachloro-1-fluoroethane<br>(HCFC-121)                     | -                          | 354-14-3    |
|          |                       |   | 1,1,1,2-Tetrachloro-2-fluoroethane<br>(HCFC-121a)                    | -                          | 354-11-0    |
|          |                       |   | Trichlorodifluoroethane (HCFC-122)                                   |                            | 41834-16-6  |
|          |                       |   | 1,2,2-Trichloro-1,1-difluoroethane<br>(HCFC-122)                     | -                          | 354-21-2    |
|          |                       |   | 1,1,2-Trichloro-1,2-difluoroethane<br>(HCFC-122a)                    | -                          | 354-15-4    |
|          |                       |   | 1,1,1-Trichloro-2,2-difluoroethane<br>(HCFC-122b)                    | -                          | 354-12-1    |
|          |                       |   | Dichlorotrifluoroethane(HCFC-123)                                    | -                          | 34077-87-7  |
|          |                       |   | 1,1-Dichloro-2,2,2-trifluoroethane<br>(HCFC-123)                     | -                          | 306-83-2    |
|          |                       |   | 1,2-Dichloro-1,1,2-trifluoroethane                                   | -                          | 354-23-4    |
|          |                       |   | (HCFC-123a)  | -                          | 90454-18-5  |
|          |                       |   | 1,1-Dichloro-1,2,2-trifluoroethane<br>(HCFC-123b)                    | -                          | 812-04-4    |

| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor | CAS No.                |
|----------|-----------------------|---|--|----------------------------|------------------------|
| R        | C04                   | Ozone Depleting Substances<br>(cont'd)                                | Chlorotetrafluoroethane (HCFC-124)                                   | -                          | 63938-10-3             |
|          |                       |   | 2-chloro-1,1,1,2-tetrafluoroethane<br>(HCFC-124)                     | -                          | 2837-89-0              |
|          |                       |   | 1-chloro-1,1,2,2-tetrafluoroethane<br>(HCFC-124a)                    | -                          | 354-25-6               |
|          |                       |   | Trichlorofluoroethane (HCFC-131)                                     | -                          | 27154-33-2;            |
|          |                       |   |  | -                          | (134237-34-6)          |
|          |                       |   | 1,1,2-Trichloro-2-fluoroethane (HCFC-<br>131)                        | -                          | 359-28-4               |
|          |                       |   | 1,1,2-Trichloro-1-fluoroethane<br>(HCFC131a)                         | -                          | 811-95-0               |
|          |                       |   | 1,1,1-Trichloro-2-fluoroethane (HCFC-<br>131b)                       | -                          | 2366-36-1              |
|          |                       |   | Dichlorodifluoroethane (HCFC-132)                                    | -                          | 25915-78-0             |
|          |                       |   | 1,2-Dichloro-1,2-difluoroethane (HCFC-<br>132)                       | -                          | 431-06-1               |
|          |                       |   | 1,1-Dichloro-2,2-difluoroethane (HCFC-<br>132a)                      | -                          | 471-43-2               |
|          |                       |   | 1,2-Dichloro-1,1-difluoroethane (HCFC-<br>132b)                      | -                          | 1649-08-7              |
|          |                       |   | 1,1-Dichloro-1,2-difluoroethane (HCFC-<br>132c)                      | -                          | 1842-05-3              |
|          |                       |   | Chlorotrifluoroethane (HCFC-133)                                     | -                          | 1330-45-6              |
|          |                       |   |  | -                          | 431-07-2               |
|          |                       |   | 1-Chloro-1,2,2-trifluoroethane (HCFC-<br>133)                        | -                          | 1330-45-6              |
|          |                       |   | 2-Chloro-1,1,1-trifluoroethane (HCFC-<br>133a)                       | -                          | 75-88-7                |
|          |                       |   | 1-Chloro-1,1,2-trifluoroethane (HCFC-<br>133b)                       | -                          | 421-04-5               |
|          |                       |   | Dichlorofluoroethane(HCFC-141)                                       | -                          | 1717-00-6;             |
|          |                       |   |  | -                          | (25167-88-8)           |
|          |                       |   | 1,2-Dichloro-1-fluoroethane (HCFC-<br>141)                           | -                          | 430-57-9               |
|          |                       |   | 1,1-Dichloro-2-fluoroethane (HCFC-<br>141a)                          | -                          | 430-53-5               |
|          |                       |   | 1,1-Dichloro-1-fluoroethane (HCFC-<br>141b)                          | -                          | 1717-00-6              |
|          |                       |   | Chlorodifluoroethane (HCFC-142)                                      | -                          | 25497-29-4             |
|          |                       |   | 2-Chloro-1,1-Difluoroethane (HCFC-<br>142)                           | -                          | 338-65-8               |
|          |                       |   | 1-Chloro-1,1-difluoroethane (HCFC-<br>142b)                          | -                          | 75-68-3                |
|          |                       |   | 1-Chloro-1,2-difluoroethane (HCFC-<br>142a)                          | -                          | 338-64-7               |
|          |                       |   | Chlorofluoroethane (HCFC-151)  | -                          | 110587-14-9            |
|          |                       |   | 1-Chloro-2-fluoroethane (HCFC-151)                                   | -                          | 762-50-5               |
|          |                       |   | 1-Chloro-1-fluoroethane (HCFC-151a)                                  | -                          | 1615-75-4              |
|          |                       |   | Hexachlorofluoropropane (HCFC-221)                                   | -                          | 134237-35-7            |
|          |                       |   | 1,1,1,2,2,3-Hexachloro-3-<br>fluoropropane (HCFC-221ab)              | -                          | 29470-94-8<br>422-26-4 |

| riteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex>                          | Metal Conversion<br>Factor | CAS No.                 |
|---------|-----------------------|---|---|----------------------------|-------------------------|
| R       | C04                   | Ozone Depleting Substances<br>(cont'd)                                | Pentachlorodifluoropropane (HCFC-<br>222)   | -                          | 134237-36-8             |
|         |                       |   | 1,1,1,3,3-pentachloro-2,2-<br>difluoropropane (HCFC-222ca))                                   | -                          | 422-49-1                |
|         |                       |   | 1,2,2,3,3-pentachloro-1,1-<br>difluoropropane (HCFC-222aa)                                    | -                          | 422-30-0                |
|         |                       |   | Tetrachlorotrifluoropropane (HCFC-<br>223)  | -                          | 134237-37-9             |
|         |                       |   | 1,1,3,3-Tetrachloro-1,2,2-<br>trifluoropropane (HCFC-223ca)                                   | -                          | 422-52-6                |
|         |                       |   | 1,1,1,3-Tetrachloro-2,2,3-<br>trifluoropropane (HCFC-223cb)                                   | -                          | 422-50-4                |
|         |                       |   | Trichlorotetrafluoropropane (HCFC-<br>224)  | -                          | 134237-38-0             |
|         |                       |   | 1,3,3-Trichloro-1,1,2,2-<br>tetrafluoropropane (HCFC-224ca)                                   | -                          | 422-54-8                |
|         |                       |   | 1,1,3-Trichloro-1,2,2,3-<br>tetrafluoropropane (HCFC-224cb)<br>1,1,1-Trichloro-2,2,3,3-       | -                          | 422-53-7                |
|         |                       |   | tetrafluoropropane (HCFC-224cc)<br>Dichloropentafluoropropane (HCFC-                          | -                          | 422-51-7                |
|         |                       |   | 225)<br>2,2-Dichloro-1,1,1,3,3-   | -                          | 127564-92-5             |
|         |                       |   | pentafluoropropane(HCFC-225aa)<br>2,3-Dichloro-1,1,1,2,3-                                     | -                          | 128903-21-9<br>422-48-0 |
|         |                       |   | pentafluoropropane (HCFC-225ba)<br>1,2-Dichloro-1,1,2,3,3-                                    | -                          | 422-44-6                |
|         |                       |   | pentafluoropropane (HCFC-225bb)<br>3,3-Dichloro-1,1,1,2,2-                                    | -                          | 422-56-0                |
|         |                       |   | pentafluoropropane (HCFC-225ca)<br>1,3-Dichloro-1,1,2,2,3-<br>pentafluoropropane (HCFC-225cb) | -                          | 507-55-1                |
|         |                       |   | 1,1-Dichloro-1,2,2,3,3-<br>pentafluoropropane(HCFC-225cc)                                     | -                          | 13474-88-9              |
|         |                       |   | 1,2-Dichloro-1,1,3,3,3-<br>pentafluoropropane (HCFC-225da)                                    | -                          | 431-86-7                |
|         |                       |   | 1,3-Dichloro-1,1,2,3,3-<br>pentafluoropropane (HCFC-225ea)                                    | -                          | 136013-79-1             |
|         |                       |   | 1,1-Dichloro-1,2,3,3,3-<br>pentafluoropropane(HCFC-225eb)                                     | -                          | 111512-56-2             |
|         |                       |   | Chlorohexafluoropropane (HCFC-226)<br>2-Chloro-1,1,1,3,3,3-hexafluoro-                        | -                          | 134308-72-8             |
|         |                       |   | propane (HCFC-226da)  | -                          | 431-87-8                |
|         |                       |   | Pentachlorofluoropropane (HCFC-231)<br>1,1,1,2,3-pentachloro-2-fluoro-propane                 | -                          | 134190-48-0             |
|         |                       |   | (HCFC-231bb)<br>Tetrachlorodifluoropropane (HCFC-   | -                          | 421-94-3                |
|         |                       |   | 232)<br>1,1,1,3-Tetrachloro-3,3-  | -                          | 134237-39-1             |
|         |                       |   | difluoropropane (HCFC-232fc)  | -                          | 460-89-9                |
|         |                       |   | Trichlorotrifluoropropane (HCFC-233)<br>1,1,1-Trichloro-3,3,3-trifluoropropane                | -                          | 134237-40-4             |
|         |                       |   | (HCFC-233fb)  | -                          | 7125-83-9               |
|         |                       |   | Dichlorotetrafluoropropane (HCFC-234)   | -                          | 127564-83-4             |
|         |                       |   | 1,2-Dichloro-1,2,3,3-tetrafluoropropane<br>(HCFC-234db)                                       | -                          | 425-94-5                |
|         |                       |   | Chloropentafluoropropane (HCFC-235)   | -                          | 134237-41-5             |
|         |                       |   | 1-Chloro-1,1,3,3,3-pentafluoropropane<br>(HCFC-235fa)   | -                          | 460-92-4                |
|         |                       |   | Tetrachlorofluoropropane (HCFC-241)   | -                          | 134190-49-1             |
|         |                       |   | 1,1,2,3-Tetrachloro-1-fluoropropane<br>(HCFC-241db)   | -                          | 666-27-3                |

| 13/10    |                       |   |  |                            |             |
|----------|-----------------------|---|--|----------------------------|-------------|
| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor | CAS No.     |
| R        | C04                   | Ozone Depleting Substances<br>(cont'd)                                | Trichlorodifluoropropane (HCFC-242)                                  | -                          | 134237-42-6 |
|          |                       |   | 1,3,3,Trichloro-1,1-difluoropropane<br>(HCFC-242fa)                  | -                          | 460-63-9    |
|          |                       |   | Dichlorotrifluoropropane (HCFC-243)                                  | -                          | 134237-43-7 |
|          |                       |   | 1,1-Dichloro-1,2,2-trifluoropropane<br>(HCFC-243cc)                  | -                          | 7125-99-7   |
|          |                       |   | 2,3-Dichloro-1,1,1-trifluoropropane<br>(HCFC-243db)                  | -                          | 338-75-0    |
|          |                       |   | 3,3-Dichloro-1,1,1-trifluoropropane<br>(HCFC-243fa)                  | -                          | 460-69-5    |
|          |                       |   | Chlorotetrafluoropropane (HCFC-244)                                  | -                          | 134190-50-4 |
|          |                       |   | 3-Chloro-1,1,2,2-tetrafluoropropane<br>(HCFC-244ca)                  | -                          | 679-85-6    |
|          |                       |   | 1-Chloro-1,1,2,2-tetrafluoropropane<br>(HCFC-244cc)                  | -                          | 421-75-0    |
|          |                       |   | Trichlorofluoropropane (HCFC-251)                                    | -                          | 134190-51-5 |
|          |                       |   | 1,1,3-Trichloro-1-fluoropropane<br>(HCFC-251fb)                      | -                          | 818-99-5    |
|          |                       |   | (1,1,2-Trichloro-1-fluoropropane<br>(HCFC-251dc)                     | -                          | 421-41-0    |
|          |                       |   | Dichlorodifluoropropane (HCFC-252)                                   | -                          | 134190-52-6 |
|          |                       |   | 1,3-Dicloro-1,1-difluoropropane (HCFC-<br>252fb)                     | -                          | 819-00-1    |
|          |                       |   | Chlorotrifluoropropane (HCFC-253)                                    | -                          | 134237-44-8 |
|          |                       |   | 3-Chloro-1,1,1-trifluoropropane (HCFC-<br>253fb)                     | -                          | 460-35-5    |
|          |                       |   | Dichlorofluoropropane (HCFC-261)                                     | -                          | 134237-45-9 |
|          |                       |   | 1,1-Dichloro-1-fluoropropane (HCFC-<br>261fc)                        | -                          | 7799-56-6   |
|          |                       |   | 1,2-Dichloro-2-fluoro-propane (HCFC-<br>261ba)                       | -                          | 420-97-3    |
|          |                       |   | Chlorodifluoropropane (HCFC-262)                                     | -                          | 134190-53-7 |
|          |                       |   | 1-Chloro-2,2-difluoropropane (HCFC-<br>262ca)                        | -                          | 420-99-5    |
|          |                       |   | 2-Chloro-1,3-difluoropropane (HCFC-<br>262da)                        | -                          | 102738-79-4 |
|          |                       |   | 1-Chloro-1,1-difluoropropane (HCFC-<br>262fc)                        | -                          | 421-02-3    |
|          |                       |   | Chlorofluoropropane (HCFC-271)                                       | -                          | 134190-54-8 |
|          |                       |   | 2-Chloro-2-fluoropropane (HCFC-<br>271ba)                            | -                          | 420-44-0    |
|          |                       |   | 1-Chloro-1-fluoropropane (HCFC-<br>271fb)                            | -                          | 430-55-7    |
| R        | C06                   | Radioactive substances  | Uranium-238  | -                          | 7440-61-1   |
|          |                       |   | Radon  | -                          | 10043-92-2  |
|          |                       |   | Americium-241  | -                          | 14596-10-2  |
|          |                       |   | Thorium-232  | -                          | 7440-29-1   |
|          |                       |   | Cesium-137   | -                          | 10045-97-3  |
|          |                       |   | Strontium-90   | -                          | 10098-97-2  |
|          |                       |   | Other radioactive substances   | -                          | -           |
| R        | C07                   | Formaldehyde  | -  | -                          | 50-00-0     |
|          |                       |   |  |                            |             |

| <u>14/16</u> |                       |   |   |                            |                           |
|--------------|-----------------------|---|---|----------------------------|---------------------------|
| Criteria     | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex>  | Metal Conversion<br>Factor | CAS No.                   |
| R            | C08                   | Phenol,2-(2H-benzotriazol-2-yl)-4,6-<br>bis(1,1-dimethylethyl)        | -   | -                          | 3846-71-7                 |
| R            | C09                   | Selected Phthalates<br>Group 1<br>(BBP, DBP, DEHP)                    | Butyl benzyl phthalate (BBP)  | -                          | 85-68-7                   |
|              |                       |   | Dibutylphthalate (DBP)  | -                          | 84-74-2                   |
|              |                       |   | Bis (2-ethylhexyl) phthalate (DEHP)   | -                          | 117-81-7                  |
| R            | C10                   | Selected Phthalates<br>Group 2<br>(DIDP, DINP, DNOP)                  | 1,2-Benzenedicarboxylic acid<br>diisodecyl ester (DIDP)   | -                          | 26761-40-0 68515-<br>49-1 |
|              |                       |   | Diisononyl phthalate (DINP)   | -                          | 28553-12-0 68515-<br>48-0 |
|              |                       |   | Di-n-octyl phthalate (DNOP)   | -                          | 117-84-0                  |
| R            | C11                   | Dimethyl fumarate   | -   | -                          | 624-49-7                  |
| R            | C12                   | Bis (2-ethylhexyl) phthalate (DEHP)                                   | -   | -                          | 117-81-7                  |
| R            | C13                   | Dibutylphthalate (DBP)  | -   | -                          | 84-74-2                   |
| R            | C14                   | Butyl benzyl phthalate (BBP)  | -   | -                          | 85-68-7                   |
| R            | C15                   | Diisobutyl phthalate (DIBP)   | -   | -                          | 84-69-5                   |
| R            | C16                   | Refractory Ceramic Fibres,<br>Aluminosilicate                         | are fibres covered by index number<br>650-017-00-8 in Annex VI, part 3, table<br>3.2 of Regulation (EC) No 1272/2008 of<br>the European Parliament and of the<br>Council of 16 December 2008 on<br>classification, labelling and packaging<br>of substances and mixtures, and fulfill<br>the three follow ing conditions:<br>a) oxides of aluminium and silicon are<br>the main components present (in the<br>fibres) w ithin variable concentration<br>ranges<br>b) fibres have a length w eighted<br>geometric mean diameter less tw o<br>standard geometric errors of 6 or less<br>micrometres (µm)<br>c) alkaline oxide and alkali earth oxide<br>(Na2O+K2O+CaO+MgO+BaO) content<br>less or equal to 18% by w eight | -                          | -                         |

| 15/10    |                       |  |  |                            |                 |
|----------|-----------------------|--|--|----------------------------|-----------------|
| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table>                  | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex>   | Metal Conversion<br>Factor | CAS No.         |
| R        | C17                   | Refractory Ceramic Fibres, Zirconia<br>Aluminosilicate                                 | are fibres covered by index number<br>650-017-00-8 in Annex VI, part 3, table<br>3.2 of Regulation (EC) No 1272/2008 of<br>the European Parliament and of the<br>Council of 16 December 2008 on<br>classification, labelling and packaging<br>of substances and mixtures, and fulfill<br>the three follow ing conditions:<br>a) oxides of aluminium, silicon and<br>zirconium are the main components<br>present (in the fibres) w ithin variable<br>concentration ranges<br>b) fibres have a length w eighted<br>geometric mean diameter less tw o<br>standard geometric errors of 6 or less<br>micrometres (µm)<br>c) alkaline oxide and alkali earth oxide<br>(Na2O+K2O+CaO+MgO+BaO) content<br>less or equal to 18% by w eight | -                          | -               |
| R        | C18                   | Boric acid   | -  | -                          | 10043-35-3      |
|          |                       |  | -  | -                          | 11113-50-1      |
|          |                       |  | Disodium tetraborate decahydrate   | -                          | 1303-96-4       |
| R        | C19                   | Disodium tetraborate, anhydrous  | Disodium tetraborate, anhydrous  | -                          | 1330-43-4       |
|          |                       |  | Disodium tetraborate, pentahydrate   | -                          | 12179-04-3      |
| R        | C20                   | Tetraboron disodium heptaoxide,<br>hydrate   | -  | -                          | 12267-73-1      |
| R        | C21                   | 1,2-Benzenedicarboxylic acid, di-<br>C6-8-branched alkyl esters, C7-rich<br>(DIHP)     | -  | -                          | 71888-89-6      |
| R        | C22                   | 1,2-Benzenedicarboxylic acid, di-<br>C7-11-branched and linear alkyl<br>esters (DHNUP) | -  | -                          | 68515-42-4      |
| R        | C23                   | Bis(2-methoxyethyl) phthalate  | -  | -                          | 117-82-8        |
| R        | C24                   | 4-(1,1,3,3-tetramethylbutyl)phenol,<br>(4-tert-Octylphenol)                            | -  | -                          | 140-66-9        |
| R        | C25                   | Bis(2-methoxyethyl) ether  | -  | -                          | 111-96-6        |
| R        | C26                   | N,N-dimethylacetamide (DMAC)   | -  | -                          | 127-19-5        |
| <u>R</u> | <u>C38</u>            | 1,2-bis(2-methoxyethoxy)ethane<br>(TEGDME; triglyme)                                   |  |                            | <u>112-49-2</u> |
| <u>R</u> | <u>C39</u>            | 1,2-dimethoxyethane; ethylene<br>glycol dimethyl ether (EGDME)                         |  |                            | 110-71-4        |
| <u>R</u> | <u>C40</u>            | 4-Aminoazobenzene  |  |                            | <u>60-09-3</u>  |
| <u>R</u> | <u>C41</u>            | 1,2-Diethoxyethane   |  |                            | <u>629-14-1</u> |
| <u>R</u> | <u>C42</u>            | Diboron trioxide   |  |                            | 1303-86-2       |
| <u>R</u> | <u>C43</u>            | N,N-dimethylformamide  |  |                            | <u>68-12-2</u>  |

| Criteria | Classification<br>No. | Substance Group<br><table 4.1="" a="" ed="" jig-101="" of=""></table> | Substance name<br><annex 4.1="" b="" ed="" jig-101="" of=""></annex> | Metal Conversion<br>Factor | CAS No.                      |
|----------|-----------------------|---|--|----------------------------|------------------------------|
| <u>R</u> | <u>C44</u>            | 1.2-Benzenedicarboxylic acid,<br>dipentylester, branched and linear   |  |                            | 84777-06-0                   |
| <u>R</u> | <u>C45</u>            | Diisopentylphthalate (DIPP)   |  |                            | <u>605-50-5</u>              |
| <u>R</u> | <u>C46</u>            | N-pentyl-isopentylphthalate   |  |                            | 776297-69-9                  |
| <u>R</u> | <u>C47</u>            | Di-isodecyl phthalate (DIDP)  |  |                            | 68515-49-1 and<br>26761-40-0 |
| <u>R</u> | <u>C48</u>            | Di-n-hexyl Phthalate (DnHP)   |  |                            | <u>84-75-3</u>               |

Note 7) <u>C47</u> and <u>C48</u> (phthalate esters) in the above substance group classifications are substances that were added to the IEC 62474 DB substance list (Version D4.00) in June 2013. Proposition 65 (warning label requirements) of the State of California in the United States is excerpted, and intended uses include cable plasticizer, etc.

### Exhibit 9: Survey and Response Format (Data Format Ver4.31)

Output file (JGP file) Specifications

1 Line code

| Basic information line 1           | Line code | 100 |            |
|------------------------------------|-----------|-----|------------|
| Basic information line 2           | Line code | 110 |            |
| Basic information line 3           | Line code | 120 |            |
| Part unit line                     | Line code | 200 |            |
| Substance groups unit line         | Line code | 300 |            |
| Substance unit line                | Line code | 400 | (not used) |
| Material unit line                 | Line code | 500 | (not used) |
| Intended use/Application unit line | Line code | 600 |            |
| Substance unit line                | Line code | 700 |            |

2 Composition of JGP file Ver4.31 for chemical substances



Version Upgrade Management Rules for Data Format (Former JGPSSI):

- (1) The first number in the version number is raised only when a definition change or addition to a data item or data line arises. (Example: Ver4.00  $\rightarrow$  Ver5.00)
- (2) For revisions to the "Intended Use Master" in which additions or changes to the intended use classifications are reflected due to an addition or change to exemptions such as the RoHS Directive, and due to additions or deletions of applicable substance groups/substances, the first number after the decimal point is raised. (Example: Ver4.00 → Ver4.10)
- (3) In cases where survey responses are not directly affected, such as changes to specifications (example: length of data) of data items, the second number after the decimal point is raised. (Example: Ver4.10  $\rightarrow$  Ver4.11)
- (4) For other changes, decisions will be made separately upon holding discussions in the relevant WG.

#### Data Format Ver4.31

(In the Data Format Ver.4.31, changes have not been made to survey items (data items) from Date Format Ver.4.20)

\*1 Not used for Ver4.31  $\rightarrow$ 

| Basic inform | ation line 1 |  |                        |               |               |                    |                           |              |                                    |  |
|--------------|--------------|--|------------------------|---------------|---------------|--------------------|---------------------------|--------------|------------------------------------|--|
| Data order   | 1            | 2  | 3                      | 4             | 5             | 6                  | 7                         | 8            | 9                                  | 10   |
| Content      | Line code    | Language flag                              | format version Note 1: | Reference No. | Date of entry | Parts mass unit *1 | Substance mass unit<br>*1 |              | Respondent's date of<br>data entry | Response type  |
| Byte         | 3            | 1  | 5 and below            | 40 and below  | 10            | 1                  | 1                         | 40 and below | 10                                 | 1  |
| Remarks      | 100          | 0 : Japanese<br>1 : English 2 :<br>Chinese | 4.31                   |               |               |                    | 1 :mg 2 :g<br>3 :kg 4 t   |              | YYYY/MM/DD                         | Fixed with 0.<br>Note: For Tool Ver.3,<br>0 : Standard type<br>1 : Detailed type |

Note 1: When the intended use application list is updated, raise the number of the first decimal place. Raise the number of the second decimal place for other change.

#### Basic information line 2

| Data order | 1             | 2               | 3                                    | 4                                   | 5             | 6                           | 7            | 8            | 9            | 10                                    |
|------------|---------------|-----------------|--------------------------------------|-------------------------------------|---------------|-----------------------------|--------------|--------------|--------------|---------------------------------------|
| Content    | Line code     |                 | Requester Contact<br>Name (English)  | Requester Telephone<br>No.          |               | Requester Email<br>Address  |              |              |              | Respondent Company<br>Name (English)  |
| Byte       | 3             | 200 and below   | 200 and below                        | 100 and below                       | 100 and below | 100 and below               | 80 and below | 80 and below | 80 and below | 200 and below                         |
| Remarks    | 110           |                 |                                      |                                     |               |                             |              |              |              |                                       |
|            |               |                 |                                      |                                     |               |                             |              |              |              |                                       |
|            | 11            | 12              | 13                                   | 14                                  | 15            | 16                          | 17           | 18           | 19           | 20                                    |
|            |               |                 | Respondent Contact<br>Name (English) | Respondent<br>Telephone No.         |               | Respondent Email<br>Address |              |              |              | Requester's Company<br>Name (English) |
|            | 200 and below | 200 and below   | 200 and below                        | 100 and below                       | 100 and below | 100 and below               | 80 and below | 80 and below | 80 and below | 200 and below                         |
|            |               |                 |                                      |                                     |               |                             |              |              |              |                                       |
|            | 21            | 22              | 23                                   | 24                                  | ſ             |                             |              |              |              |                                       |
|            |               | Respondent DUNS |                                      | Message from<br>requester (English) |               |                             |              |              |              |                                       |
|            | 9             | 9               | 200 and below                        | 200 and below                       |               |                             |              |              |              |                                       |
|            | L             |                 |                                      |                                     |               |                             |              |              |              |                                       |

#### Basic information line 3

| Data order | 1         | 2             | 3   | 4  | 5                    | 6             | 7  | 8                           | 9                | 10  |
|------------|-----------|---------------|---|--|----------------------|---------------|--|-----------------------------|------------------|---|
| Content    | Line code | Name          | Requester Contact<br>Name<br>(Japanese/Chinese) | Respondent Company<br>Name<br>(Japanese/Chinese) | ( lenences (Chinese) | INGING        | Respondent Contact<br>Name<br>(Japanese/Chinese) | Requester's Company<br>Name | regarding survey | Message from<br>requester<br>(Japanese/Chinese) |
| Byte       | 3         | 200 and below | 40 and below                                    | 200 and below                                    | 200 and below        | 200 and below | 40 and below                                     | 200 and below               | 200 and below    | 200 and below                                   |
| Remarks    | 120       |               |   |  |                      |               |  |                             |                  |   |

### Part unit line

|    | 2                                      | 3  | 4   | 5   | 6  | 7   | 8  | 9   | 10  |
|----|--|--|---|---|--|---|--|---|---|
|    |  |  |   |   |  |   |  |   |   |
|    | Product/subpart<br>number of requester | Product /<br>subpart/material name<br>of requester             | Requester's<br>Item1  |   |  | Manufacturer Name   |  | Respondent's Item1  | Respondent's Item2  |
|    | 200 and below                          | 160 and below  | 40 and below  | 40 and below  | 40 and below   | 200 and below   | 200 and below  | 200 and below   | 200 and below   |
| 00 |  |  |   |   |  |   |  |   | ī   |
|    | e code                                 | e code Product/subpart<br>number of requester<br>200 and below | e code Product/subpart<br>number of requester of requester<br>200 and below 160 and below | e code Product/subpart<br>number of requester of requester litem1<br>200 and below 160 and below 40 and below | e code Product/subpart<br>number of requester slippart/material name<br>of requester 200 and below 160 and below 40 and below 40 and below | e code Product/subpart<br>number of requester<br>200 and below 160 and below 40 and below 40 and below 40 and below | e code Product/subpart subpart subpart/material name Requester's Hequester's Item Item 2 Item 3 Item 3 Manufacturer Name 200 and below 160 and below 40 and below 40 and below 40 and below 40 and below 200 and below | e code Product/subpart<br>number of requester<br>200 and below 160 and below 40 and below 40 and below 40 and below 200 and below 200 and below 200 and below | e code Product/subpart<br>number of requester<br>200 and below 160 and below 40 and below 40 and below 40 and below 200 and below |

| 11              | 12                 | 13                           | 14  | 15                                   | 16                 | 17                  | 18             | 19                  | 20   |
|-----------------|--------------------|------------------------------|---|--------------------------------------|--------------------|---------------------|----------------|---------------------|--|
|                 | Surveying<br>Unit  | Survey Unit Mass<br>(g∕unit) | Use of ozone-<br>depleting substances<br>*1 | List A substances<br>contained<br>*1 | Column 7<br>*1     | Column 8<br>*1      | Column 9<br>*1 | Column 10<br>*1     | Column 11<br>*1  |
| 00 and below    | 20 and below       | 20 and below                 | 1   | 1                                    | 80 and below       | 80 and below        | 80 and below   | 80 and below        | 80 and below   |
|                 |                    |                              | 0: No                                       | 0: No                                |                    |                     |                |                     |  |
|                 |                    |                              |   | 1: Yes                               |                    |                     |                |                     |  |
| 1               | 22                 | 23                           |   |                                      | 26                 | 27                  | 28             | 29                  | 30   |
| olumn 12        | 22<br>Data Version | 23<br>Revision Date          | 1: Yes<br>24                                | 1: Yes                               | 26<br>Coloring No. | 27<br>Thickness(mm) | 28<br>Color    | 29<br>Diameter (mm) | 30<br>Respondent's<br>product/subparts,<br>material name |
| Column 12<br>*1 |                    |                              | 1: Yes<br>24<br>Material Grade No.          | 1: Yes<br>25<br>Metal Type •         |                    |                     |                |                     | Respondent's<br>product/subparts                         |

| Overall | Content Flag |
|---------|--------------|
|         |              |
| ) · N   | *3           |

\*3 Input Y, when more than one content flag is Y, Input N when all of content flags are N. However, even one blank (null) in any content flag, makes this column blank (null).

#### Substance group unit line

| Data order | 1         | 2                  | 0               | 4                     | J                      | 0                    | 1               | 0               | 3               | 10                                 |
|------------|-----------|--------------------|-----------------|-----------------------|------------------------|----------------------|-----------------|-----------------|-----------------|------------------------------------|
| Content    | Line code | Classification No. | Total sum<br>*1 | Total Content<br>(mg) | Application area<br>*1 | Purpose of use<br>*1 | Column 13<br>*1 | Column 14<br>*1 | Column 15<br>*1 | Content Flag by<br>Threshold Level |
| Byte       | 3         | 3                  | 20 and below    | 20 and below          | 80 and below           | 80 and below         | 80 and below    | 80 and below    | 80 and below    | 1                                  |
| Remarks    | 300       |                    |                 |                       |                        |                      |                 |                 |                 | 0:N<br>1:Y                         |

| 11         |             |
|------------|-------------|
| Additional | information |
| on materia | d .         |
| compositio |             |
| products * | 4           |
| 120 and b  | elow        |

\*4 Use this column to input data at every substance group, when use standard type.

#### Intended use/Application unit line

| Data order | 1   | 2            | 3                              | 4                | 5            | 6                             | 7   |
|------------|-----|--------------|--------------------------------|------------------|--------------|-------------------------------|---|
| Content    |     |              | Purpose of<br>use/Intended use | Application area | Content (mg) | Maximum content rate<br>(ppm) | Additional information<br>on material<br>composition of<br>products |
| Byte       | 3   | 12 and below | 120 and below                  | 120 and below    | 20 and below | 20 and below                  | 80 and below  |
| Remarks    | 600 |              |                                |                  |              |                               |   |

\_

#### Substance unit line

| Data order | 1         | 2            | 3            | 4                              | 5                | 6                                    | 7   |
|------------|-----------|--------------|--------------|--------------------------------|------------------|--------------------------------------|---|
| Content    | Line code | CAS Number   |              | Purpose of<br>use/Intended use | Application area | per surveying unit<br>(automatically | Additional information<br>on material<br>composition of<br>products |
| Byte       | 3         | 12 and below | 20 and below | 120 and below                  | 120 and below    | 20 and below                         | 120 and below   |
| Remarks    | 700       |              |              |                                |                  |                                      |   |

### Exhibit 10:

### Format Ver4.31 for Handwritten Response (Compliant with JIG-101 Ed 4.1 + Substances added to IEC62474 DB declarable substance list D4.00)

Requester & Respondent Information

|                       | Reference Number       |  |
|-----------------------|------------------------|--|
|                       | Date of Data Entry     |  |
| Ē                     |                        |  |
| latic                 | Company Name           |  |
| form                  | DUNS Number            |  |
| er Ini                | Division Name          |  |
| Requester Information | Contact Name           |  |
| equ                   | Telephone Number       |  |
| ц                     | Fax Number             |  |
|                       | Email Address          |  |
|                       | Message from requester |  |

|                        |   | < Level 1 > | Page 1/5 |
|------------------------|---|-------------|----------|
|                        | Respondent's date of data                               |             |          |
| uo                     | Company Name  |             |          |
|                        | DUNS Number   |             |          |
| Respondent Information | Division Name   |             |          |
| for                    | Address   |             |          |
| 보                      | Contact Name  |             |          |
| der                    | Telephone Number  |             |          |
| por                    | Fax Number  |             |          |
| Rec                    | Email Address   |             |          |
|                        | Additional information<br>regarding survey<br>responses |             |          |

#### **Product/Parts Information**

| Product/Parts Number | Product/Parts Name | Manufacturer<br>Name | Туре | Data<br>Version | Revision<br>Date | Surveying Unit | Survey Unit<br>Mass | Overall<br>Content Flag<br>(Y/N) |
|----------------------|--------------------|----------------------|------|-----------------|------------------|----------------|---------------------|----------------------------------|
|                      |                    |                      |      |                 |                  |                | (g)                 |                                  |

| Criteria | Classification<br>No. | Substance Group (JIG-101 Ed 4.1 + DIDP & DnHP)  | Content I | Flag(Y/N) | Total Content<br>(mg) |
|----------|-----------------------|---|-----------|-----------|-----------------------|
| R        | A05                   | Cadmium and Cadmium Compounds   | Y         | N         |                       |
| R        | A07                   | Hexavalent Chromium Compounds   | Y         | N         |                       |
| R        | A09                   | Lead and Lead Compounds   | Y         | N         |                       |
| R        | A10                   | Mercury and Mercury Compounds   | Y         | N         |                       |
| R        | A11                   | Nickel  | Y         | N         |                       |
| R        | A17                   | Tributyl Tin Oxide (TBTO, CAS.No.56-35-9)   | Y         | N         |                       |
| R        | A28                   | Tri-substiluted organostannic compounds   | Y         | N         |                       |
| R        | A23                   | Dibutyltin (DBT) compounds  | Y         | N         |                       |
| R        | A24                   | Dioctyltin (DOT) compounds  | Y         | N         |                       |
| I        | A19                   | Beryllium Oxide (CAS No. 1304-56-9)   | Y         | N         |                       |
| R        | B02                   | Polybrominated Biphenyls (PBBs)   | Y         | N         |                       |
| R        | B03                   | Polybrominated Diphenyl ethers (PBDEs)  | Y         | N         |                       |
| Ι        | B08                   | Brominated flame retardants (other than PBBs,PBDEs, or HBCDD)                             | Y         | N         |                       |
| Ι        | B18                   | Chlorinated flame retardants  | Y         | N         |                       |
| R        | B05                   | Polychlorinated Biphenyls (PCBs) and specific substitutes (See Annex B of JIG-101 Ed 4.1) | Y         | N         |                       |
| R        | B15                   | Polychlorinated Terphenyls (PCTs)   | Y         | N         |                       |
| R        | B06                   | Polychlorinated Naphthalenes (more than 3 chlorine atoms)                                 | Y         | N         |                       |
| R        | B12                   | Perchlorates  | Y         | N         |                       |
| R        | B13                   | Perfluorooctane sulfonate (PFOS)  | Y         | N         |                       |
| R        | B10                   | Fluorinated greenhouse gases (PFC, SF6, HFC)  | Y         | N         |                       |
| Ι        | B19                   | Polyvinyl Chloride (PVC) and PVC Copolymers   | Y         | N         |                       |
| R        | C01                   | Asbestos  | Y         | N         |                       |
| R        | C02                   | Azocolourants and azodyes which form certain aromatic amines                              | Y         | N         |                       |
| R        | C04                   | Ozone Depleting Substances  | Y         | N         |                       |
| R        | C06                   | Radioactive Substances  | Y         | N         |                       |
| R        | C07                   | Formaldehyde  | Y         | N         |                       |
| R        | C08                   | Phenol,2-(2H-benzotriazol-2-yl)-4,6-bis(1,1-dimethylethyl) (CAS No. 3846-71-7)            | Y         | N         |                       |
| R        | C09                   | Selected PhthalatesGroup 1(BBP, DBP, DEHP)  | Y         | N         |                       |
| R        | C10                   | Selected PhthalatesGroup 2(DIDP, DINP, DNOP)  | Y         | N         |                       |
| R        | C11                   | Dimethyl fumarate (CAS# 624-49-7)   | Y         | N         |                       |
| R        | <u>C47</u>            | Di-isodecyl phthalate (DIDP)  | Y         | N         |                       |
| R        | <u>C48</u>            | Di-n-hexyl Phthalate (DnHP)   | Y         | N         |                       |

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| Containe               | ed substance g                         | < Level 2 > Page 2/5        |                  |                                 |  |
|------------------------|--|-----------------------------|------------------|---------------------------------|--|
| Classifica<br>tion No. | Intended use<br>classification<br>code | Purpose of use/Intended use | Application area | Maximum<br>content<br>rate(ppm) | Additional information on material composition of products |
| A05                    |  |                             |                  |                                 |  |
| A07                    |  |                             |                  |                                 |  |
| A09                    |  |                             |                  |                                 |  |
| A10                    |  |                             |                  |                                 |  |
| A11                    |  |                             |                  |                                 |  |
| A17                    |  |                             |                  |                                 |  |
| A28                    |  |                             |                  |                                 |  |
| A23                    |  |                             |                  |                                 |  |
| A24                    |  |                             |                  |                                 |  |
| A19                    |  |                             |                  |                                 |  |
| B02                    |  |                             |                  |                                 |  |
| B03                    |  |                             |                  |                                 |  |
| B08                    |  |                             |                  |                                 |  |
| B18                    |  |                             |                  |                                 |  |
| B05                    |  |                             |                  |                                 |  |
| B15                    |  |                             |                  |                                 |  |
| B06                    |  |                             |                  |                                 |  |
| B12                    |  |                             |                  |                                 |  |
| B13                    |  |                             |                  |                                 |  |
| B10                    |  |                             |                  |                                 |  |
| B19                    |  |                             |                  |                                 |  |
| C01                    |  |                             |                  |                                 |  |
| C02                    |  |                             |                  |                                 |  |
| C04                    |  |                             |                  |                                 |  |
| C06                    |  |                             |                  |                                 |  |
| C07                    |  |                             |                  |                                 |  |
| C08                    |  |                             |                  |                                 |  |
| C09                    |  |                             |                  |                                 |  |
| C10                    |  |                             |                  |                                 |  |
| C11                    |  |                             |                  |                                 |  |
| <u>C47</u>             |  |                             |                  |                                 |  |
| <u>C48</u>             |  |                             |                  |                                 |  |

#### SVHC: Contained substance - detailed information 1/3

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| Criteria | Classificati<br>on No. | CAS No.     | EC No.    | Substance name   |   | itent<br>(Y/N) | Intended use<br>classification<br>code | Compound content per<br>surveying unit (mg) | Purpose of<br>use/Intended use | Application area | Weight concentration<br>per surveying unit<br>(%) | Additional information on material<br>composition of products |
|----------|------------------------|-------------|-----------|--|---|----------------|--|---|--------------------------------|------------------|---|---|
| R        | A17                    | 56-35-9     | 200-268-0 | Tributyl Tin Oxide (TBTO)  | Y | N              | A17-J-                                 |   |                                |                  |   |   |
| R        | A20                    | 1303-28-2   | 215-116-9 | Diarsenic Pentoxide  | Y | N              | A20-J-                                 |   |                                |                  |   |   |
| R        | A21                    | 1327-53-3   | 215-481-4 | Diarsenic Trioxide   | Y | Ν              | A21-J-                                 |   |                                |                  |   |   |
|          |                        | 25637-99-4  | 247-148-4 |  |   |                |  |   |                                |                  |   |   |
|          |                        | 3194-55-6   | 221-695-9 |  |   |                |  |   |                                |                  |   |   |
| R        | B11                    | 134237-50-6 | -         | Hexabromocyclododecane (HBCDD) and all<br>major diastereoisomers | Y | N              | B11-J-                                 |   |                                |                  |   |   |
|          |                        | 134237-51-7 | -         |  |   |                |  |   |                                |                  |   |   |
|          |                        | 134237-52-8 | -         |  |   |                |  |   |                                |                  |   |   |
| R        | B09                    | 85535-84-8  | 287-476-5 | Shortchain Chlorinated Paraffins (C10 - C13)                     | Y | N              | B09-J-                                 |   |                                |                  |   |   |
| R        | B16                    | 115-96-8    | 204-118-5 | Tris (2-chloroethyl) phosphate (TCEP)                            | Y | N              | B16-J-                                 |   |                                |                  |   |   |
| R        | C12                    | 117-81-7    | 204-211-0 | Di(2-ethylhexyl) phthalate (DEHP)                                | Y | N              | C12-J-                                 |   |                                |                  |   |   |
| R        | C13                    | 84-74-2     | 201-557-4 | Dibutyl phthalate (DBP)  | Y | N              | C13-J-                                 |   |                                |                  |   |   |
| R        | C14                    | 85-68-7     | 201-622-7 | Butylbenzyl phthalate (BBP)                                      | Y | N              | C14-J-                                 |   |                                |                  |   |   |
| R        | A22                    | 7646-79-9   | 231-589-4 | Cobalt dichloride (CoCl2)  | Y | N              | A22-J-                                 |   |                                |                  |   |   |
| R        | A25                    | 7758-97-6   | 231-846-0 | Lead chromate  | Y | N              | A25-J-                                 |   |                                |                  |   |   |
| R        | A26                    | 12656-85-8  | 235-759-9 | Lead chromate molybdate sulphate red (C.I.<br>Pigment Red 104)   | Y | N              | A26-J-                                 |   |                                |                  |   |   |
| R        | A27                    | 1344-37-2   | 215-693-7 | Lead sulfochromate yellow (C.I. Pigment<br>Yellow 34)            | Υ | N              | A27-J-                                 |   |                                |                  |   |   |
| R        | C15                    | 84-69-5     | 201-553-2 | Diisobutyl phthalate (DIBP)                                      | Y | N              | C15-J-                                 |   |                                |                  |   |   |
| R        | C16                    | -           | -         | Refractory Ceramic Fibres, Aluminosilicate                       | Y | Ν              | C16-J-                                 |   |                                |                  |   |   |
| R        | C17                    | -           | -         | Refractory Ceramic Fibres, Zirconia<br>Aluminosilicate           | Y | N              | C17-J-                                 |   |                                |                  |   |   |
| R        | C18                    | 10043-35-3  | 233-139-2 | Boric acid   | Y | N              | C18-J-                                 |   |                                |                  |   |   |
| IX.      | 010                    | 11113-50-1  | 234-343-4 | Boric acid   | Y | N              | 010-0-                                 |   |                                |                  |   |   |
|          |                        | 1303-96-4   | -         | Disodium tetraborate decahydrate                                 | Y | N              |  |   |                                |                  |   |   |
| R        | C19                    | 1330-43-4   | 215-540-4 | Disodium tetraborate, anhydrous                                  | Y | N              | C19-J-                                 |   |                                |                  |   |   |
|          |                        | 12179-04-3  | -         | Disodium tetraborate, pentahydrate                               | Y | N              | N                                      |   |                                |                  |   |   |
| R        | C20                    | 12267-73-1  | 235-541-3 | Tetraboron disodium heptaoxide, hydrate                          | Y | N              | C20-J-                                 |   |                                |                  |   |   |

### SVHC: Contained substance - detailed information 2/3

< Level 3 > Page 4/5

| Criteria | Classificati<br>on No. | CAS No.    | EC No.    | Substance name   |   | Content<br>Flag(Y/N) |        | Compound content per<br>surveying unit (mg) | Purpose of use/Intended use | Application area | Weight concentration<br>per surveying unit<br>(%) | Additional information on material composition of products |
|----------|------------------------|------------|-----------|--|---|----------------------|--------|---|-----------------------------|------------------|---|--|
| R        | C21                    | 71888-89-6 | 276-158-1 | 1,2-Benzenedicarboxylic acid, di-C6-8-<br>branched alkyl esters, C7-rich (DIHP)  | Y | N                    | C21-J- |   |                             |                  |   |  |
| R        | C22                    | 68515-42-4 | 271-084-6 | 1,2-Benzenedicarboxylic acid, di-C7-11-<br>branched and linear alkyl esters (DHNUP)  | Y | N                    | C22-J- |   |                             |                  |   |  |
| A        | B17                    | 548-62-9   | 208-953-6 | 4-[4,4'-bis(dimethylamino) benzhydrylidene]<br>cyclohexa-2,5-dien-1-ylidene]<br>dimethylammonium chloride (C.I. Basic Violet<br>3) | Y | N                    | B17-J- |   |                             |                  |   |  |
| R        | A29                    | 7789-06-2  | 232-142-6 | Strontium chromate   | Y | N                    | A29-J- |   |                             |                  |   |  |
| R        | B20                    | 101-14-4   | 202-918-9 | 2,2'-dichloro-4,4'-methylenedianiline (MOCA)   | Y | N                    | B20-J- |   |                             |                  |   |  |
| R        | A30                    | 11103-86-9 | 234-329-8 | Potassium hydroxyoctaoxodizincate<br>dichromate  | Y | N                    | A30-J- |   |                             |                  |   |  |
| R        | A31                    | 49663-84-5 | 256-418-0 | Pentazinc chromate octahydroxide   | Y | N                    | A31-J- |   |                             |                  |   |  |
| R        | C23                    | 117-82-8   | 204-212-6 | Bis (2-methoxyethyl) phthalate   | Y | N                    | C23-J- |   |                             |                  |   |  |
| R        | C24                    | 140-66-9   | 205-426-2 | 4-(1,1,3,3-tetramethylbutyl)phenol,<br>(4-tert-Octylphenol)  | Y | N                    | C24-J- |   |                             |                  |   |  |
| R        | C25                    | 111-96-6   | 203-924-4 | Bis (2-methoxyethyl) ether   | Y | N                    | C25-J- |   |                             |                  |   |  |
| R        | C26                    | 127-19-5   | 204-826-4 | N,N-dimethylacetamide (DMAC)   | Y | N                    | C26-J- |   |                             |                  |   |  |
| R        | <u>B23</u>             | 1163-19-5  | 214-604-9 | Decabromodiphenyl ether  | Y | N                    | B23-J- |   |                             |                  |   |  |
| R        | <u>A48</u>             | 62229-08-7 | 263-467-1 | Sulfurous acid, lead salt, dibasic   | Y | N                    | A48-J- |   |                             |                  |   |  |
| R        | <u>C38</u>             | 112-49-2   | 203-977-3 | 1,2-bis(2-methoxyethoxy)ethane (TEGDME;<br>triglyme)   | Υ | N                    | C38-J- |   |                             |                  |   |  |
| R        | <u>A49</u>             | 12141-20-7 | 235-252-2 | Trilead dioxide phosphonate  | Υ | N                    | A49-J- |   |                             |                  |   |  |
| R        | <u>C39</u>             | 110-71-4   | 203-794-9 | 1,2-dimethoxyethane; ethylene glycol dimethyl<br>ether (EGDME)   | Υ | N                    | C39-J- |   |                             |                  |   |  |
| R        | <u>C40</u>             | 60-09-3    | 200-453-6 | 4-Aminoazobenzene  | Y | N                    | C40-J- |   |                             |                  |   |  |
| R        | <u>A50</u>             | 12202-17-4 | 235-380-9 | Tetralead trioxide sulfate   | Y | N                    | A50-J- |   |                             |                  |   |  |
| R        | <u>A51</u>             | 1314-41-6  | 215-235-6 | Orange lead (lead tetroxide)   | Y | N                    | A51-J- |   |                             |                  |   |  |
| R        | <u>A52</u>             | 8012-00-8  | 232-382-1 | Pyrochlore, antimony lead yellow   | Y | N                    | A52-J- |   |                             |                  |   |  |
| R        | <u>A53</u>             | 12065-90-6 | 235-067-7 | Pentalead tetraoxide sulphate  | Υ | N                    | A53-J- |   |                             |                  |   |  |

### SVHC: Contained substance - detailed information 3/3

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| Criteria | Classificati<br>on No. | CAS No.     | EC No.    | Substance name   | Content<br>Flag(Y/N) |   | Intended use<br>classification<br>code | Compound content per<br>surveying unit (mg) | Purpose of use/Intended use | Application area | Weight concentration<br>per surveying unit<br>(%) | Additional information on material<br>composition of products |
|----------|------------------------|-------------|-----------|--|----------------------|---|--|---|-----------------------------|------------------|---|---|
| R        | <u>C41</u>             | 629-14-1    | 211-076-1 | 1,2-Diethoxyethane   | Y                    | Ν | C41-J-                                 |   |                             |                  |   |   |
| R        | <u>C42</u>             | 1303-86-2   | 215-125-8 | Diboron trioxide   | Y                    | Ν | C42-J-                                 |   |                             |                  |   |   |
| R        | <u>A54</u>             | 683-18-1    | 211-670-0 | Dibutyltin dichloride (DBTC)                                     | Y                    | Ν | A54-J-                                 |   |                             |                  |   |   |
| R        | <u>A55</u>             | 20837-86-9  | 244-073-9 | Lead cynamidate  | Y                    | N | A55-J-                                 |   |                             |                  |   |   |
| R        | <u>C43</u>             | 68-12-2     | 200-679-5 | N,N-dimethylformamide  | Y                    | Ν | C43-J-                                 |   |                             |                  |   |   |
| R        | <u>A56</u>             | 68784-75-8  | 272-271-5 | Silicic acid (H2Si2O5), barium salt (1:1), lead-<br>doped        | Y                    | N | A56-J-                                 |   |                             |                  |   |   |
| R        | <u>C44</u>             | 84777-06-0  | 282-032-2 | 1.2-Benzenedicarboxylic acid, dipentylester, branched and linear | Y                    | N | C44-J-                                 |   |                             |                  |   |   |
| R        | <u>C45</u>             | 605-50-5    | 210-088-4 | Diisopentylphthalate (DIPP)                                      | Y                    | Ν | C45-J-                                 |   |                             |                  |   |   |
| R        | <u>C46</u>             | 776297-69-9 | -         | N-pentyl-isopentylphthalate                                      | Y                    | Ν | C46-J-                                 |   |                             |                  |   |   |
| R        | <u>A57</u>             | 12060-00-3  | 235-038-9 | Lead titanium trioxide   | Y                    | Ν | A57-J-                                 |   |                             |                  |   |   |
| R        | <u>A58</u>             | 12626-81-2  | 235-727-4 | Lead titanium zirconium oxide                                    | Y                    | N | A58-J-                                 |   |                             |                  |   |   |
| R        | <u>A59</u>             | 12036-76-9  | 234-853-7 | Lead oxide sulfate   | Y                    | N | A59-J-                                 |   |                             |                  |   |   |
| R        | <u>A60</u>             | 69011-06-9  | 273-688-5 | [Phthalato(2-)]dioxotrilead                                      | Y                    | Ν | A60-J-                                 |   |                             |                  |   |   |
| R        | <u>A61</u>             | 12578-12-0  | 235-702-8 | Dioxobis (stearato)trilead                                       | Y                    | Ν | A61-J-                                 |   |                             |                  |   |   |
| R        | <u>A62</u>             | 91031-62-8  | 292-966-7 | Fatty acids, C16-18, lead salts                                  | Y                    | Ν | A62-J-                                 |   |                             |                  |   |   |
| R        | <u>A63</u>             | 10099-74-8  | 233-245-9 | Lead dinitrate   | Y                    | Ν | A63-J-                                 |   |                             |                  |   |   |

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